

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) PARKER, JAMES A.	2. Court or Organization U.S. DISTRICT COURT	3. Date of Report 05/06/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S.DIST.JUDGE(SENIOR)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 421 Gold Ave., S.W. ALBUQUERQUE, N.M. 87102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Manager	JPFP Family, LLC
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bascom ValueAdded Apartment Investors II	A	Interest	L	U					
2. Bank of Am Checking/Savings (Albuquerque, NM)	A	Interest	K	T					
3. Bank of Am Checking /SavingsAcct (LLC) (Denver, CO)	A	Interest	J	T					
4. REAL ESTATE INTERESTS (H)									
5. Parcel #1, Harris Co., TX (Undivided 50%) (LLC) (\$278,174)		None	J	S					
6.									
7. Parcel #1, Live Oak Co., TX (Undivided 50%) (LLC) (\$53,349)	A	Rent	J	S					
8. Parcel #1, Bernalillo Co. NM (Undivided 25%) (LLC) (\$40,725)		None	J	S					
9. Parcel #2, Bernalillo Co., NM (Undivided 50%) (LLC) (\$37,850)		None	J	S					
10. Parcel #1, La Plata Co., CO (Undivided 50%) (LLC) (\$55,000)	A	Rent	J	S					
11. MINERAL PROPERTIES OKLAHOMA: (H)									
12. Love Co.	B	Royalty	J	W					
13. MINERAL PROPERTIES TEXAS: (H)									
14. Live Oak Co (LLC)	A	Royalty	J	W					
15. MINERAL PROPERTIES COLORADO: (H)									
16. Adams Co.(LLC)	A	Distribution	J	U					
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Weld Co. (LLC)	C	Distribution	J	U					
19. Washington Co. (LLC)	A	Distribution	J	U					
20.									
21. Westfield Res. Partners (LLC)		None	J	W					
22. Denver Minerals & Royalty	D	Distribution	M	W					
23. Apex Operating Co., LLC		None	M	W					
24. FIDUCIARY TRUST INT'L ACCOUNT: (H)									
25. Celgene Corp		None	K	T					
26. Franklin Int'l Growth Fund	A	Interest	K	T	Sold (part)	12/14/18	J	A	
27.									
28. Franklin Custodian Fds Growth Adv Class	D	Int./Div.	O	T	Sold (part)	11/30/18	J	C	
29. Franklin Small Cap	A	Dividend	M	T	Sold (part)	12/14/18	J	D	
30. Franklin US Government Securities Fund	C	Interest	M	T	Sold (part)	12/08/18	J		Sold for a loss
31. Artisan Small Cap	A	Dividend	M	T					
32.									
33. Broadcom Ltd	A	Dividend	L	T					
34. Chubb Ltd	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35.									
36.									
37. Apple Inc	A	Dividend	L	T					
38. ISHARES MSCI Emerging Markets	B	Dividend	M	T					
39. JP Morgan Chase	A	Dividend	K	T					
40.									
41. International Equity Series CL P	A	Int./Div.	K	T	Sold (part)	12/20/18	J	B	
42.									
43.									
44.									
45. Noble Energy	A	Dividend	L	T					
46.									
47. Siemens AG	A	Dividend	J	T					
48. Johnson & Johnson	B	Dividend	K	T					
49.									
50. Compass/BBVA check acct.	A	Interest	K	T					
51.									

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52. AXA IRA (92 holdings all below reporting threshold: \$32.84 to \$626.04)	B	Int./Div.	K	T					
53. USAA CDs	A	Interest	J	T					
54. USAA FUND ADVISOR ACCOUNT: (H)									
55. USAA Growth Fund Instl	A	Distribution	L	T	Buy (add'l)	12/10/18	J		
56. USAA Income Stock Fund Instl	B	Dividend	L	T	Buy (add'l)	12/10/18	J		
57. USAA International Fund	B	Dividend	M	T	Buy (add'l)	12/10/18	J		
58. USAA Small Cap Stock Fund	A	Distribution	L	T	Buy (add'l)	12/18/18	J		
59. USAA Emerging Markets Fund	A	Dividend	K	T	Buy (add'l)	12/14/18	J		
60.									
61. USAA High Income Fund	B	Distribution	K	T	Buy (add'l)	12/14/18	J		
62. USAA Income Fund Institutional	C	Dividend	K	T	Buy (add'l)	12/10/18	J		
63. USAA Intrm-Term Bond Fund	C	Dividend	M	T	Buy (add'l)	12/31/18	J		
64.									
65. USAA Short-Term Bond Fund	A	Interest	K	T	Buy (add'l)	12/11/18	J		
66. USAA Managed Allocation Fund	A	Dividend	K	T	Buy (add'l)	12/11/18	J		
67. USAA Subscriber's Account	A	Distribution	J	T					
68.									

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69. Arden Real Estate Investors III		None	L	U	Buy	10/26/18	L		Arden R. E. Investors III
70. Charles Schwab Money Fund	A	Interest	M	T					
71.									
72.									
73.									
74.									
75.									
76.									
77.									
78.									
79.									
80.									
81.									
82.									
83.									
84.									
85.									

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86.									
87.									
88.									
89.									
90.									
91.									
92.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The entries on Lines 3,5,7,8,9,10,14,16,18,19, and 21, Part VII reflect my 2% ownership interest in JPFP Family, LLC, which is the owner of the assets described on those lines.

The Adams Co, Colorado mineral properties reported on Line 16, Part VII are working interests; in addition there were royalty interests in Adams Co. that produced income in category A and that had a year end Value J under value code U.

The Weld Co., Colorado mineral properties reported on Line 18, Part VII are working interests; in addition there were royalty interests in Weld Co that produced income in category C and that had a year end Value J under value code U.

The securities shown on Lines 25 through 48 of Part VII were held during 2018 by Fiduciary Trust International either in an I.R.A. account and/or in managed accounts.

The securities shown on Lines 55 through 67 of Part VII were held during 2018 by USAA either in an I.R.A. account and/or in a managed account.

I own interests in Denver Minerals & Royalty (Line 22, Part VII) both individually and through my 2% ownership of JPFP Family, LLC, which also owns an interest in Denver Minerals & Royalty. The income and year end values shown on Line 22 are a combination of my personal and derivative interests.

Continental Resources Inc.; XTO, Inc.; and Enterprise, Inc. paid royalties during 2018 on the mineral properties in Love County, Oklahoma shown on Line 12, Part VII.

HRM Resources, LLC. and Petroshare paid royalties or made distributions on working interests during 2018 on the mineral properties in Adams County, Colorado shown on Line 16, Part VII.

Noble Energy; Kerr-McGee Oil & Gas; Extraction Oil & Gas; Great Western Operating Co; Crestone Peak Resources; PDC Energy; and Suncor Energy Marketing, Inc paid royalties or made distributions on working interests during 2018 on the mineral properties in Weld County, Colorado shown on Line 18, Part VII.

Plains Marketing, LP; made distributions on working interests during 2018 on the mineral properties in Washington County, Colorado shown on Line 19, Part VII.

Ace Gathering, Inc. and Welder Exploration, Inc. paid royalties during 2018 on the mineral interests in Live Oak County, Texas shown on Line 14, Part VII.

The transactions in various USAA Funds shown on Lines 55-59, 61-63, 65, and 66, Part VII as "Buy add'l" were simply reinvestment in the same fund of money derived from the sale on the same day of the amount reinvested. (For example, on December 10, 2018 some of the USAA Income Fund Institutional (Line 62, Part VII) was sold for a gain and the proceeds were reinvested the same day in the same Fund establishing a new, higher basis.)

The gross value of the USAA CDs reported on Line 53 of this 2018 Report as value J should have been reported on Line 53 of the 2017 Report also as value J instead of value K.

The gross value of the USAA Income Fund Institutional reported on Line 62 of this 2018 Report as value K should have been reported on Line 62 of the 2017 Report also as value K instead of value M.

Apex Operating Company, LLC reported on Line 23 of this 2018 Report was reported on Line 40 of the 2017 Report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ JAMES A. PARKER

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544