

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) NEWMAN, Jon O.	2. Court or Organization U.S.C.A., Second Circuit	3. Date of Report 05/13/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U.S. Courthouse 40 Foley Square New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Corporator	Hartford Hospital
2.	Corporator	Institute of Living
3.	Elector	Hartford Atheneum
4.	Life Regent	University of Hartford
5.	Board Member	Connecticut Appleseed Foundation
6.	Trustee	No. 1 ☐ Trust (assets listed in Part VII, lines 81-108)

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 3 of 50

Name of Person Reporting

NEWMAN, Jon O.

Date of Report

05/13/2013

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)☐ NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Yale University	three nights lodging and meals at Global Constitutional seminar, The Hague, Netheland	\$1,000.00
2.	HARVARD UNIVERSITY	ONE NIGHT LODGING TO	\$160.-
3.		ATTENA SYMPOSIUM ON	
4.		JUDGE FRIENDLY BIOGRAPHY	
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)☒ NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 2 of 50

Name of Person Reporting

NEWMAN, Jon O.

Date of Report

05/13/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amounts not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Harvard University	11/14/2012	Cambridge, Mass.	attend symposium on Judge Friendly biography	round trip ROUND TRIP TRAIN NYC TO BOSTON
2. Osborn Maledon law firm	11/30/2012-12/4/2012	Phoenix, Ariz.	attend reception for newly appointed 9th Circuit judge	round trip airfare Sarasota, Fla. to Phoenix, Ariz.
3. Yale University	8/27/12-9/1/12	The Hague, Netherlands	attend Global Constitutional Seminar	round trip air fare New York City to The Hague, Netherlands
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 4 of 50

Name of Person Reporting

NEWMAN, Jon O.

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05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BANK ACCOUNTS AND CASH:									
2. Bank of America -- Sarasota, FL., Checking Acct.	A	Interest	K	T					
3. Smith Barney, New Haven, CT., Money Market Acct.	A	Interest	J	T					
4. ☐ People's Bank	A	Interest	J	T					
5. ☐ Sovereign Bank	A	Interest			Closed	3/1/12	J		
6. ☐ Wells Fargo Bank		None	J	T					
7. BONDS:									
8. Conn. Health '13 4.9	B	Interest			Redeemed	3/1/12	K		
9. CT Tax '12 4.3	A	Interest			Matured	7/2/12	J		
10. CT Spl. Tax '12 5.5	B	Interest			Matured	11/1/12	K		
11. Groton '12 4.0	B	Interest			Matured	10/1/12	K		
12. CT '13 4.25	B	Interest	L	T					
13. Puerto Rico '14 5.25	C	Interest	K	T					
14. Bridgeport '12 5.0	B	Interest			Matured	8/15/12	K		
15. CT G.O. '13 5.0	B	Interest	L	T					
16. Hartford Cty. '13 3.25	C	Interest	M	T					
17. Fairfield '15 4.25	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 50

Name of Person Reporting

NEWMAN, Jon O.

Date of Report

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18. Bridgeport '13 5.0	B	Interest	L	T					
19. CT G.O. '13 5.375	C	Interest			Redeemed	6/15/12	L		
20. CT G.O. '14 5.0	C	Interest	M	T					
21. Glastonbury '13 4.25	B	Interest	L	T					
22. CT RFDG, '16 5.0	C	Interest	M	T					
23. CT Spl. Tax '15 2.75	B	Interest	M	T					
24. CT Health '16 5.0	C	Interest	M	T					
25. New Haven '12 4.0	B	Interest			Matured	2/1/12	K		
26. CT Health '15 3.4	A	Interest	L	T					
27. Hartford '15 5.25	C	Interest	L	T					
28. North Branford '16 3.75	C	Interest			Redeemed	11/1/12	L		
29. CT G.O. '16 4.0	B	Interest	L	T					
30. Norwich '13 4.375	B	Interest			Redeemed	4/16/12	L		
31. UConn. '16 5.0	B	Interest	L	T					
32. Darien '14 2.0	A	Interest	L	T					
33. Brookfield '14 3.5	B	Interest	L	T					
34. Stratford '13 3.25	A	Interest			Redeemed	2/15/12	K		

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FINANCIAL DISCLOSURE REPORT

Page 6 of 50

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35. CT Hsg. Fin. '12 0.9	A	Interest			Matured	5/15/12	K		
36. UConn '12 3.0	B	Interest			Matured	11/15/12	L		
37. Shelton '17 2.125	A	Interest	K	T					
38. CT Ser. C '16 5.0	B	Interest	L	T					
39. Danbury '19 2.5	A	Interest	L	T					
40. Bay County, FL '19 4.25	C	Interest	M	T					
41. Florida Turnpike '14 5.0	B	Interest	L	T					
42. Babylon, NY '13 5.0	B	Interest	L	T					
43. NY Hsg. '18 2.25	A	Interest	L	T					
44. N.J. HCF '13 5.0	B	Interest	L	T	Buy	1/27/12	L		
45. N.Y. Dorm. Auth. '14 4.0	B	Interest	L	T	Buy	1/27/12	L		
46. Rensselaer Cnty N.Y. '15 4.2	B	Interest	L	T	Buy	2/8/12	L		
47. Tarrant Cnty TX '13 5.0	B	Interest	L	T	Buy	3/7/12	L		
48. Tulsa Cnty OK '14 4.0		None	L	T	Buy	3/7/12	L		
49. Lakewood CO CTFS Ref '15 4.0	A	Interest	L	T	Buy	4/24/12	L		
50. Fremont CA CTFS '15 3.0		None	L	T	Buy	5/10/12	L		
51. Tampa Bay FL Wtr '18 5.0	B	Interest	L	T	Buy	5/10/12	L		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 50

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52. FL Hurricane Cat. Fund '16 5.0	B	Interest	L	T	Buy	5/10/12	L		
53. STOCKS:									
54. Royal Dutch Petroleum	C	Dividend	M	T					
55. Air Products	B	Dividend	M	T					
56. Johnson Contr.	C	Dividend	M	T					
57. Merck	C	Dividend	M	T					
58. Cummings Engine	B	Dividend	M	T					
59. Minn. Mining	B	Dividend	L	T					
60. Johnson & Johnson	B	Dividend	L	T					
61. Microsoft	A	Dividend	L	T					
62. Cisco	A	Dividend	L	T					
63. Total Fina	D	Dividend	M	T					
64. Devon Energy	A	Dividend	L	T					
65. Medco		None			Sold	4/3/12	L	F	
66. Calamos growth & income C Fund	A	Dividend	M	T					
67. Henderson Intl. Opportunities C Fund		None	K	T					
68. Prudential Jennison SM Comp C Fund	A	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 50

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69. Dreyfus Tech GRW C Fund		None	K	T					
70. Mainstay large cap GRW C Fund	A	Dividend	K	T					
71. Fed Max Cap index I Fund	A	Dividend	K	T					
72. Schwab 1000 index inv Fund	A	Dividend	K	T					
73. Express Scripts		None	M	T	Spinoff (from line 65)	3/1/12	J		
74. Oppenheimer Sr floating rate C Fund	B	Dividend	L	T	Buy	3/5/12	L		
75. OTHER:									
76. 1/2 Int. W. Htfd., CT., Condo purchased 8/9/88 \$115,000		None	L	R					
77. Thames & Hudson Royalty Contract	A	Royalty	J	W					
78. Condo, University Park, FL purchased 4/9/99, \$179,000		None	M	R					
79. AIG Annuity (IRA)	B	Interest	K	T					
80. AIG Annuity (IRA)	A	Interest	J	T					
81. TRUST # 1	E	Int./Div.	P1	T					
82. - Dupont									
83. - Exxon Mobil									
84. - G.E.									
85. - Norfolk Southern									

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FINANCIAL DISCLOSURE REPORT

Page 9 of 50

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86. - M & T Bank									
87. - Pfizer									
88. - Insteel									
89. - Alexander and Baldwin									
90. - Corning									
91. - Honeywell									
92. - Pitney Bowes									
93. - Philips									
94. - Tyco Int'l.									
95. - Hartford Cty. '12 3,125					Matured	6/1/12	K		
96. - New Caanan '13 3.75					Redeemed	4/2/12	K		
97. - Newtown '15 3.5									
98. - Ledyard '13 5.0									
99. - New Haven '20 5.0					Redeemed	11/1/12	K		
100. - Covidien									
101. - Tyco									
102. - CT Spl. Tax '20 3.9									

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FINANCIAL DISCLOSURE REPORT

Page 10 of 50

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103. - SmithBarney Bank Deposit Program									
104. - NY Prior Issues '17 5.0									
105. - Niskayuna NY '15 5.0									
106. - Lee Cnty FL '19 4,750					Buy	11/21/11	L		
107. - Pentair					Spinoff (from line 101)	9/28/12	J		
108.					Sold	9/28/12	J	A	
109. ■ TRUST # 2	G	Int./Div.	P1	T					
110. - Home Depot									
111. - McDonald's									
112. - General Mills									
113. - Pepsico									
114. - Procter & Gamble									
115. - Sara Lee					Merged (with line 233)	7/10/12	J		
116. - American Express					Buy (add'l)	11/9/12	J		
117. - Plum Creek Timber									
118. - St. Paul Travelers									
119. - Baxter International									

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Page 11 of 50

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Cigna									
121. - Edwards Lifescience									
122. - Medco Health Solutions					Sold (part)	4/3/12	K	D	
123. - Emerson Electric									
124. - Minnesota Mining									
125. - Agilent									
126. - Automatic Data									
127. - Intel									
128. - RPM Int'l									
129. - Dominion Resources									
130. - Wethersfield CT '12 3.1					Matured	6/15/12	K	A	
131. - CT State '12 4.0					Matured	7/2/12	K		
132. - CT State '13 3.5									
133. - CT State '13 4.0									
134. - Tolland CT '13 4.0									
135. - University CT '14 3.7									
136. - CT State '14 4.0									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 50

Name of Person Reporting

NEWMAN, Jon O.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Glastonbury '14 3.75									
138. - CT State '14 4.0									
139. - South Central Water '15 4.0									
140. - Groton CT '15 3.75									
141. - CT State '15 3.5									
142. - Becton					Sold	9/10/12	J		
143. - T. Rowe Price									
144. - CT Hsg. Fin. '16 3.9					Redeemed	2/10/12	J		
145. - CT Health '15 3.5									
146. - CT Health '17 3.5									
147. - Hartford County '18 3.5									
148. - Watertown CT '17 4.0					Redeemed	8/1/12	K	A	
149. - Dentsply Intl.									
150. - CT Elec. '16 4.0									
151. - CT '14 3.3									
152. - IBM									
153. - Southington CT '18 3.5									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 50

Name of Person Reporting

NEWMAN, Jon O.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Plymouth CT '17 3.0									
155. - Bethel CT '17 3.0									
156. - Guilford CT '18 3.0									
157. - People's United Fin.									
158. - Newton CT '16 2.25									
159. - Adobe									
160. - SmithBarney Bank Deposit Program									
161. - NY Dorm. '17 5.0									
162. - Abbott Labs									
163. - Air Products					Buy (add'l)	4/30/12	J		
164. - Apache					Buy (add'l)	5/12/12	J		
165. - Apple					Buy (add'l)	2/2/12	J		
166. - Arcos Dorados					Buy (add'l)	1/11/12	J		
167. - Blackrock					Buy (add'l)	2/2/12	J		
168. - Caterpillar					Buy (add'l)	6/28/12	J		
169. - Chevron									
170. - Cisco									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 50

Name of Person Reporting

NEWMAN, Jon O.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Coca-cola					Buy (add'l)	2/2/12	J		
172. - Conoco									
173. - Disney									
174. - Exxon									
175. - Franklin Resources									
176. - Freeport McMoran					Buy (add'l)	5/14/12	J		
177. - General Dynamics					Sold	2/2/12	J		
178. - General Electric									
179. - Green Mt. Coffee					Sold	3/12/12	J		
180. - HSBC									
181. - Intel									
182. - IBM					Buy (add'l)	9/13/12	J		
183. - Intuitive Surgical									
184. - JP Morgan Chase									
185. - Johnson & Johnson									
186. - Kraft									
187. - Estee Lauder					Buy (add'l)	2/14/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000 J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000 K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000 L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000 M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 15 of 50

Name of Person Reporting

NEWMAN, Jon O.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - McDonalds									
189. - McGraw Hill					Sold	11/12/12	J	A	
190. - Medtronic					Sold	11/9/12	J		
191. - Merck					Sold (part)	5/14/12	J		
192. - Nestle									
193. - News Corp.									
194. - Novo-Nordisk									
195. - Occidental Petroleum					Buy (add'l)	4/16/12	J		
196. - Phillip Morris									
197. - Praxair					Buy (add'l)	2/14/12	J		
198. - Prudential Fin.									
199. - Qualcomm									
200. - Rio Tinto					Buy (add'l)	6/1/12	J		
201. - Roche Holding									
202. - Royal Dutch					Buy (add'l)	5/17/12	J		
203. - Target									
204. - Texas Instruments									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 50

Name of Person Reporting

NEWMAN, Jon O.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - Time Warner									
206. - Total					Buy (add'l)	5/8/12	J		
207. - United Technologies									
208. - Wal-mart									
209. - Walgreen					Sold (part)	2/2/12	J		
210. - Powershares fundamental pure mid value Fund									
211. - Powershares fundamental pure mid growth Fund					Buy	12/2/11	J		
212. - SPDR Dow Jones Wilshire Intl. Real Est. Fund									
213. - SPDR Nuveen Barclays capital M Fund									
214. - Vanguard total world stock index Fund									
215. - Artisan midcap value INV Fund									
216. - Calamos market neutral inc I Fund									
217. - Cohen & Steers Realty SHS Fund									
218. - Davis NY venture Y Fund									
219. - Franklin convertible SEC ADV Fund									
220. - Henderson Intl. Fund					Sold	5/11/12	K		
221. - Invesco Premier tax exempt Inst. Fund									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 17 of 50

Name of Person Reporting

NEWMAN, Jon O.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. - Ivy Global Natural Resources Fund					Buy (add'l)	5/18/12	J		
223. - JP Morgan dynamic Sm CP GR SEL Fund									
224. - Lord Abbett bond deb F Fund									
225. - Mainstay large cap. GRW I Fund									
226. - Nuveen limited term muni bond I Fund									
227. - Nuveen tradewinds Emerg. Mkts. I Fund									
228. - Oppenheimer Intl. bond Y Fund									
229. - Prudential Jennison mid cap GW Z Fund									
230. - Royce Premier INV Fund									
231. - Legg Mason Western Assets Emerg. Mkt. debt I Fund									
232. - Westport CT '13 5.0					Buy	11/8/12	M		
233. - DeMaster Blender					Buy	7/10/12	K		
234. - Oracle					Buy	5/16/12	J		
235. - Pepsico					Buy	2/15/12	J		
236. - Procter & Gambl'd'e					Buy	2/14/12	J		
237. - Submillier					Buy	3/13/12	J		
238. - Xilinx					Buy	5/15/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 18 of 50

Name of Person Reporting

NEWMAN, Jon O.

Date of Report

05/13/2013

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. -College Charleston SC higher ed fac rfdg '15 2.0					Buy	2/8/12	L		
240. - TRUST #3	F	Int./Div.	P1	T					
241. - Staples					Sold	9/19/12	J		
242. - Target					Buy (add'l)	1/6/12	J		
243. - Pepsico									
244. - Procter & Gamble					Buy (add'l)	10/11/12	J		
245. - Apache									
246. - ExxonMobil									
247. - Wells Fargo									
248. - Johnson & Johnson									
249. - Minnesota Mining									
250. - Danaher									
251. - Emerson Electric									
252. - Accenture									
253. - Microsoft									
254. - Wethersfield CT '12 3.1					Matured	6/15/12	K	A	
255. - CT State '12 4.0					Matured	7/1/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 50

Name of Person Reporting

NEWMAN, Jon O.

Date of Report

05/13/2013

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. - N.J. State '13 5.7					Redeemed (part)	5/1/12	J	A	
257. - University CT '14 3.7									
258. - Glastonbury CT '14 3.75									
259. - Apple									
260. - CT ST '14 3.0									
261. - Southington CT '18 3.5									
262. - CT High '17 3.85									
263. - Griswold CT '18 3.0									
264. - Marlborough CT '16 4.0									
265. - SmithBarney Bank Deposit Program									
266. - Air Liquide									
267. - Allianz									
268. - American Movil									
269. - American Express					Sold (part)	5/30/12	J	A	
270. - Arm Holdings					Buy	6/4/12	J		
271.					Sold (part)	12/13/12	J	A	
272. - BG Group									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 50

Name of Person Reporting

NEWMAN, Jon O.

Date of Report

05/13/2013

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. - CR Bard									
274. - Barrick Gold					Buy (add'l)	8/12/12	J		
275. - Bunge									
276. - CSL Ltd.									
277. - CVS					Sold (part)	5/30/12	J	A	
278. - Canadian Nat. Ry.					Buy (add'l)	6/1/12	J		
279. - Canon					Sold	7/31/12	J		
280. - Carnival					Sold	5/10/12	J		
281. - Chevron					Sold (part)	12/21/12	J	A	
282. - China Res. Ent.					Sold	4/5/12	J		
283. - Chubb					Buy (add'l)	1/27/12	J		
284. - Cisco					Sold (part)	12/11/12	J	A	
285. - Cochlear									
286. - Conoco					Buy (add'l)	5/3/12	J		
287. - DBS Group									
288. - Dessault systems					Sold (part)	2/16/12	J	A	
289. - Dell					Buy (add'l)	5/23/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 50

Name of Person Reporting

NEWMAN, Jon O.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. - Dr. Pepper					Buy (add'l)	3/2/12	J		
291. - eBay									
292. - Erste Group					Sold	7/17/12	J		
293. - Fanuc					Buy (add'l)	6/1/12	J		
294. - Fresenius									
295. - Gazprom									
296. - Glaxo									
297. - Google					Sold (part)	10/8/12	J	A	
298. - HSBC									
299. - Hoya					Sold	12/4/12	J		
300. -Icici Bank									
301. - Imperial Oil									
302. - Itau					Buy (add'l)	9/16/12	J		
303. - JGC									
304. - Jupiter Telecom					Sold	5/25/12	J		
305. - Kimberly Clark					Sold	1/4/12	J	A	
306. - Li & Fung					Buy (add'l)	5/14/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 22 of 50

Name of Person Reporting

NEWMAN, Jon O.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. - L'Oreal									
308. - LVMH Moet									
309. - Lilly					Sold	2/1/12	J	A	
310. - Lonza					Buy (add'l)	4/9/12	J		
311. - Microsoft									
312. - Molson									
313. - MTN group					Sold (part)	5/30/12	J	A	
314. - Nestle					Buy (add'l)	5/31/12	J		
315. - Newmont					Buy (add'l)	3/5/12	J		
316. - Northrop									
317. - Novartis					Sold	9/24/12	J	A	
318. - Petroleo					Sold	10/24/12	J	A	
319. - PNC Financial					Buy (add'l)	10/18/12	J		
320. - Qiagen-Eur.									
321. - Roche									
322. - SAP Ag					Buy (add'l)	6/1/12	J		
323. - Sasol									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 50

Name of Person Reporting

NEWMAN, Jon O.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. - Schlumberger					Buy (add'l)	5/31/12	J		
325. - Schneider									
326. - Schwab									
327. - Sigma-Aldrich									
328. - Sonova									
329. - Suntrust									
330. - Swatch					Buy (add'l)	6/1/12	J		
331. - Taiwan semiconductor					Buy (add'l)	8/1/12	J		
332. - Tesco									
333. - Torchmark									
334. - Travelers									
335. - Turkiye Garanti									
336. - Unicharm									
337. - US Bancorp					Buy (add'l)	6/4/12	J		
338. - WPP Plc					Buy (add'l)	6/1/12	J		
339. - Wal-mart Mexico					Sold	5/10/12	J		
340. - Wal-mart					Sold (part)	5/29/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 24 of 50

Name of Person Reporting

NEWMAN, Jon O.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. - iShares TR MSCI small cap Fund									
342. - Vanguard total world stock index Fund					Sold	1/30/12	J	A	
343. - Alliance Bernstein discovery value adv Fund									
344. - Franklin fed. Int Trm Tx FR A Fund									
345. - Gabelli equity income I Fund									
346. - Invesco Premier Tx Exempt Inst. Fund									
347. - Oakmark intl. small cap I Fund									
348. - Lord Abbett Emerg. mkts. curr. F Fund									
349. - Lord Abbett Convertible F Fund									
350. - Nuveen Ltd. trm muni bond I Fund									
351. - Oppenheimer intl. bond Y Fund									
352. - Pimco Real Return P Fund					Buy (add'l)	3/15/12	J		
353. - Thornburg intl. value I Fund									
354. - Tweedy Browne global value Fund									
355. - Greece NY '15					Buy	3/30/12	L		
356. - Henderson NV '15 5.0					Buy	3/30/12	L		
357. - Bay County FL '18 3.5					Buy	3/7/12	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 25 of 50

Name of Person Reporting

NEWMAN, Jon O.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. - AIA Group					Buy	9/25/12	J		
359. - Anheuser-Busch					Buy	6/28/12	J		
360. - Atlas Copco					Buy	3/6/12	J		
361. - Becton					Buy	6/1/12	J		
362. - Exelon					Buy	11/15/12	J		
363. - Medtronic					Buy	1/30/12	J		
364. - Nokian Tyres					Buy	6/28/12	J		
365. - Petroleo ADS					Buy	10/4/12	J		
366. - Phillips 66					Spinoff (from line 286)	5/1/12	J		
367.					Sold	5/3/12	J		
368. - Potash					Buy	9/26/12	J		
369. - Svenska					Buy	7/23/12	J		
370. - Sysmex					Buy	5/24/12	J		
371. - Unilever NV NY					Buy	6/1/12	J		
372. - Xinyi					Buy	7/11/12	J		
373. ■ TRUST #4	E	Int./Div.	P1	T					
374. - Procter & Gamble									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 26 of 50

Name of Person Reporting

NEWMAN, Jon O.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. - ExxonMobil									
376. - Noble									
377. - Danaher									
378. - United Technologies									
379. - Accenture									
380. - Automatic Data Processing									
381. - Ecolab									
382. - Wethersfield CT '12 3.1					Matured	6/15/12	K	A	
383. - CT State '12 4.0					Matured	7/2/12	K		
384. - University CT '14 3.7									
385. - Glastonbury CT '14 3.75									
386. - U.S. Treasury '18 9.0									
387. - Apple									
388. - Nike Class B									
389. - Southington CT '18 3.5									
390. - SmithBarney Bank Deposit Program									
391. - Air Liquide									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 27 of 50

Name of Person Reporting

NEWMAN, Jon O.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. - Allianz									
393. - AMC									
394. - American Movil									
395. - Anadarko					Buy (add'l)	3/28/12	J		
396. - Anasys									
397. - Arm Holdings					Buy (add'l)	8/1/12	J		
398.					Sold (part)	12/13/12	J	A	
399. - Atlas Copco									
400. - BG group					Buy (add'l)	4/10/12	J		
401. - Biogen									
402. - Blackbaud									
403. - Brown & Brown					Sold	8/17/12	J	A	
404. - Bunge									
405. - CSL									
406. - Cablevision					Buy (add'l)	6/1/12	J		
407. - Canadian Nat. Ry.									
408. - Canon					Sold	7/31/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 28 of 50

Name of Person Reporting

NEWMAN, Jon O.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. - Carnival					Sold	5/10/12	J		
410. - Cherokee					Sold	1/12/12	J		
411. - China Res. Ent.					Sold	4/5/12	J		
412. - Choice Hotels					Sold	1/24/12	J		
413. - Church & Dwight					Sold (part)	8/17/12	J	A	
414. - Citrix					Buy (add'l)	10/19/12	J		
415. - Clarcor									
416. - Cochlear									
417. - Comcast									
418. - Covidien									
419. - Cree									
420. - CSL									
421. - DBS									
422. - Dassault									
423. - Directv									
424. - Donaldson									
425. - Dresser-Rand									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 29 of 50

Name of Person Reporting

NEWMAN, Jon O.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. - Eaton Vance									
427. - Erste group					Sold	7/18/12	J		
428. - Factset Research					Buy (add'l)	1/24/12	J		
429. - Fanuc									
430. - Federated Invs.					Sold	12/20/12	J		
431. - Fluor					Buy (add'l)	6/1/12	J		
432. - Forest Labs.									
433. - Freeport McMoran					Buy (add'l)	3/28/12	J		
434. - Fresenius									
435. - Gazprom					Buy (add'l)	1/11/12	J		
436. - HSBC									
437. - Helco									
438. - Henry (Jack)									
439. - Hoya					Sold	12/4/12	J		
440. - Human Genome					Sold	7/16/12	J		
441. - Icici Bank									
442. - Imperial Oil									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. - Isis Pharmaceuticals									
444. - Itau Unibanco					Buy (add'l)	9/26/12	J		
445. - JGC									
446. - Jupiter					Sold	5/25/12	J		
447. - Landstar					Sold (part)	8/17/12	J	A	
448. - L3 Comm.									
449. - Li & Fung					Buy (add'l)	2/10/12	J		
450. - Liberty media co liberty cap A									
451. - Liberty interactive co inter A					Sold (part)	8/17/12	J		
452. - Lonza									
453. - L'Oreal									
454. - LVMH Moet									
455. - MSC Indus.									
456. - Microchip									
457. - MTN group									
458. - National Instruments					Sold (part)	8/17/12	J		
459. - Nestle									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. - Novartis					Sold	9/24/12	J	A	
461. - Nucor					Buy (add'l)	6/1/12	J		
462. - Pall									
463. - Petroleo					Sold	10/4/12	J		
464. - Price Smart					Sold (part)	1/24/12	J	A	
465. - Qiagen									
466. - RBC Bearings					Buy (add'l)	4/9/12	J		
467. - Roche									
468. - Ross Stores					Sold (part)	1/24/12	J	A	
469. - Sandisk					Buy (add'l)	4/12/12	J		
470. - SAP AG					Buy (add'l)	5/4/12	J		
471. - Sasol									
472. - Schlumberger					Buy (add'l)	5/10/12	J		
473. - Schneider Elec.									
474. - Seagate Tech.									
475. - Silgan									
476. - Sirona									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477. - Sonova									
478. - Stericycle					Sold	3/1/12	J		
479. - Swatch									
480. - Taiwan Semiconductor					Buy (add'l)	8/1/12	J		
481. - TE Connectivity									
482. - Techne									
483. - Tesco									
484. - Turkiye Garanti									
485. - Tyco Intl.									
486. - Unicharm									
487. - Unilever									
488. - United Health									
489. - VCA Amtech					Sold	9/21/12	J		
490. - Vertex									
491. - Wal-mart					Sold	5/10/12	J	A	
492. - Weatherford Intl.					Buy (add'l)	6/1/12	J		
493. - Wiley									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

494. - Wolverine					Buy (add'l)	4/18/12	J		
495. - World Fuel					Sold (part)	7/23/12	J	A	
496. - WPP					Sold (part)	9/2/12	J		
497. - iShares Russell 3000 Index Fund									
498. - iShares MSCI Value Index Fund									
499. - Vanguard total world stock index Fund					Sold	1/30/12	J	A	
500. - Alliance Bernstein Global Bond ADV Fund									
501. - Columbia Acorn Z Fund									
502. - Franklin Fed Int Trm tax-free A Fund									
503. - Lord Abbett emerg. Curr F Fund									
504. - Lord Abbett Conv. F Fund									
505. - Nuveen Tradewinds Emerg Mkts I Fund					Buy (add'l)	6/1/12	J		
506. - Pimco Real Return Bond P Fund									
507. - TCW Total Return Bond I Fund									
508. - Wells Fargo Adv Opport Admin Fund									
509. - Copart					Buy	5/10/12	J		
510.					Sold (part)	12/20/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511. - Engility					Spinoff (from line 448)	7/17/12	J		
512.					Sold	7/17/12	J	A	
513. - Phoenix AZ Civic '15 4.0					Buy	3/7/12	L		
514. - NYC Transition Finance '16 5.0					Buy	4/24/12	L		
515. - Phila. Pa. School '14 5.0					Buy	4/24/12	L		
516. - CT G.O. Ser. C '14 5.25					Buy	11/8/12	M		
517. - AIA Group					Buy	9/28/12	J		
518. - Anheuser-Busch					Buy	6/28/12	J		
519. - Autodesk					Buy	1/30/12	J		
520. - Dolby					Buy	1/30/12	J		
521. - Hillenbrand					Buy	2/28/12	J		
522. - Liberty Interactive Corp Interactive ser. A					Buy	5/10/12	J		
523.					Sold (part)	8/17/12	J		
524. - Liberty Media Co					Buy	5/10/12	J		
525. - MSCI Inc Com					Buy	9/21/12	J		
526. - Nokian Tyres					Buy	6/28/12	J		
527. - Petroleo SA ADS					Buy	10/4/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
528. - Potash					Buy	9/26/12	J		
529. - Svenska					Buy	7/26/12	J		
530. - Sysmex					Buy	12/5/12	J		
531. - Tempur-pedic intl					Buy	5/7/12	J		
532.					Sold	6/7/12	J		
533. - Xinyi					Buy	7/13/12	J		
534. - Broadcom					Buy	12/7/12	J		
535. - Cohen & Steers					Buy	12/20/12	J		
536. - Expeditors Intl Wash.					Buy	8/17/12	J		
537. - Immunogen					Buy	12/7/12	J		
538. - National Oilwell Varco					Buy	5/10/12	J		
539. - Pentair					Buy	5/10/12	J		
540. - The ADT Corp.					Buy	5/10/12	J		
541. - Invesco Premier Tx Exempt Inst. Fund					Buy	5/10/12	K		
542. IRA consisting of Stocks and Corporate Bonds:	E	Int./Div.	P1	T					
543. - Walt Disney									
544. - McDonalds									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 ~\$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 ~More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545. - Staples									
546. - Procter & Gamble									
547. - ExxonMobil									
548. - Bank of New York									
549. - Johnson & Johnson									
550. - G.E.									
551. - Intel					Buy (add'l)	10/10/12	J		
552. - I.B.M.					Sold (part)	7/18/12	J	A	
553. - Microsoft									
554. - Oracle									
555. - Verizon									
556. - Goldman Sachs '12 5.7					Sold	9/4/12	K		
557. - Kimberly Clark '13 5.0									
558. - Pitney Bowes '14 4.875									
559. - United Technologies									
560. - CVS									
561. - G.E. '14 5.65									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562. - Bell South '16 5.2									
563. - Lowes '15 5.0									
564. - Caterpillar '15 4.75									
565. - Bank of America '16 5.75									
566. - Goldman Sachs M/C Fund									
567. - SmithBarney Bank Deposit Program									
568. - Aetna									
569. - Air Liquide									
570. - Alcatel					Sold	7/28/12	J		
571. - Allergan					Sold	10/31/12	J	A	
572. - Allianz					Buy (add'l)	5/7/12	J		
573. - Allstate									
574. - Amazon					Sold (part)	02/17/12	J		
575. - American Movil									
576. - American Express					Sold (part)	05/21/12	J	A	
577. - American Tower									
578. - Anadarko					Sold (part)	03/14/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579. - Apache									
580. - Apple					Sold (part)	10/31/12	J	A	
581. - Arm Holding									
582. - Astrazeneca									
583. - Atlas Copco									
584. - BG Group									
585. - BP									
586. - Baidu					Sold	11/16/12	J		
587. - Bank of America									
588. - Best Buy									
589. - BHP Billiton									
590. - Blackrock			J						
591. - Boeing					Sold (part)	04/11/12	J		
592. - Bunge									
593. - Canadian Nat. Ry.									
594. - Canon					Sold	07/31/12	J		
595. - Capital One					Sold (part)	05/14/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596. - Carnival					Sold (part)	05/10/12	L		
597. - Chesapeake Energy									
598. - China Res. Enter.					Sold	04/05/12	J		
599. - Cisco					Sold (part)	05/25/12	J		
600. - Citigroup					Sold	08/16/12	J		
601. - Coach					Sold	01/11/12	J		
602. - Cochlear									
603. - Conoco					Sold (part)	03/14/12	J		
604. - Dassault									
605. - DBS Group									
606. - Devon Energy									
607. - Diageo					Sold	12/2/12	J	A	
608. - Directv					Sold	2/17/12	J		
609. - DuPont					Sold	10/23/12	J		
610. - Eaton									
611. - EMC					Sold (part)	5/17/12	J		
612. - Emerson Elec.					Sold	8/1/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613. - Ensco					Sold (part)	9/26/12	J	A	
614. - Erste					Sold	7/17/12	J	A	
615. - Express Scripts									
616. - Fanuc									
617. - FedEx									
618. - Fifth Third Bank									
619. - Fresenius									
620. - Gazprom									
621. - General Motors					Buy (add'l)	10/31/12	J		
622.					Sold (part)	5/8/12	J		
623. - Gilead					Buy (add'l)	10/5/12	J		
624. - Goldman Sachs					Buy (add'l)	12/21/12	J		
625. - Google					Sold (part)	3/14/12	J	A	
626. -Halliburton					Sold (part)	2/16/12	J		
627.					Buy (add'l)	12/12/12	J		
628. - Hartford Fin. Serv.									
629. - Home Depot									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630. - Hoya					Sold	12/4/12	J		
631. - HSBC					Buy (add'l)	5/23/12	J		
632. - Ieici Bank									
633. - Imperial Oil					Buy (add'l)	6/21/12	J		
634. - Itau					Buy (add'l)	12/13/12	J		
635. - JGC					Buy (add'l)	12/7/12	J		
636. - JP Morgan Chase					Buy (add'l)	12/18/12	J		
637. - Kraft					Buy (add'l)	5/21/12	J		
638. - Li & Fung					Buy (add'l)	5/14/12	J		
639. - Lonza					Buy (add'l)	5/23/12	J		
640. - Lowes					Sold	2/1/12	J	A	
641.					Buy	11/21/12	J		
642. - LVMH Moet					Buy (add'l)	5/24/12	J		
643. - Mastercard									
644. - Merck									
645. - Metlife					Sold (part)	3/21/12	J	A	
646.					Buy (add'l)	5/23/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647. - Microsoft					Sold (part)	2/1/12	J		
648.					Buy (add'l)	3/5/12	J		
649. - Monsanto									
650. - MTN Group					Sold (part)	2/9/12	J		
651. - Nestle					Buy (add'l)	6/5/12	J		
652. - Newmont					Buy (add'l)	4/10/12	J		
653. - News Corp.					Sold (part)	1/25/12	J	A	
654.					Buy (add'l)	7/6/12	J		
655. - Nike					Sold	12/13/12	J		
656. - Northrop Grumman					Sold	8/13/12	J	A	
657. - Novartis					Sold	9/24/12	J	A	
658. - Occidental Petroleum					Sold	10/9/12	J		
659. - Oracle					Sold	4/17/12	J		
660. - Petroleo					Sold (part)	10/4/12	J		
661. - Pfizer					Buy (add'l)	10/2/12	J		
662. - Phillip Morris					Sold (part)	8/21/12	J	A	
663. - PNC Financial					Sold (part)	9/26/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
664. - Precision Castparts					Buy (add'l)	5/21/12	J		
665.					Sold (part)	9/19/12	J	A	
666. - Qiagen									
667. - Qualcomm					Sold (part)	7/9/12	J		
668.					Buy (add'l)	9/10/12	J		
669. - Roche					Buy (add'l)	5/18/12	J		
670. - SAP					Buy (add'l)	5/4/12	J		
671. - SAO					Sold	7/9/12	J	A	
672. - Sasol					Buy (add'l)	6/27/12	J		
673. - Schlumberger					Sold (part)	3/27/12	J		
674.					Buy (add'l)	5/10/12	J		
675. - Schneider Elec.					Buy (add'l)	6/1/12	J		
676. - Sonova					Buy (add'l)	5/16/12	J		
677. - Suntrust					Sold (part)	5/23/12	J		
678. - Swatch					Buy (add'l)	5/24/12	J		
679. - Taiwan Semiconductors					Buy (add'l)	12/6/12	J		
680. - Tesco					Buy (add'l)	1/11/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
681. - Turkiye Garanti					Buy (add'l)	7/6/12	J		
682. - Unicharm					Buy (add'l)	5/21/12	J		
683. - Unilever PC					Buy (add'l)	1/4/12	J		
684. - United Health Group					Sold	10/17/12	J	A	
685. - United Parcel					Sold	9/6/12	J		
686. - United Technologies					Sold (part)	5/17/12	J	A	
687.					Buy (add'l)	2/1/12	J		
688. - Union Pacific					Sold (part)	3/14/12	J	A	
689. - US Bancorp					Sold (part)	5/23/12	J	A	
690. - Valero Energy					Buy (add'l)	5/23/12	J		
691. - Viacom					Sold	4/20/12	J		
692. - Walmart de Mexico					Sold	4/24/12	J	A	
693. - Wellpoint					Sold	1/31/12	J	A	
694. - Wells Fargo									
695. - WPP					Buy (add'l)	6/1/12	J		
696. - Zimmer Holdings					Sold	6/19/12	J	A	
697. - iShares Barclays 7-10 year treasury bond Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
698. - iShares Barclays TIPS bond Fund									
699. - iShares MSCI EAFE Index Fund					Buy (add'l)	5/23/12	J		
700. - iShares Russell 1000 Index Value Fund									
701. - iShares Russell 1000 Index Fund					Sold (part)	2/6/12	J	A	
702. - iShares Iboxx H/Y Corp. bond Fund									
703. - Vanguard Utilities ETF Fund									
704. - Invesco mid cap core equity Y Fund									
705. - Invesco AIM ATST Premier money mkt. Inst. Fund									
706. - Eaton Vance Floating Rate I Fund									
707. - Legg Mason Western Assets emerg. mkt. debt I Fund									
708. - Lord Abbett Bond deb F Fund									
709. - Oppenheimer Intl Bond Y Fund									
710. - Pimco Total Return P Fund									
711. - Pimco Real Return P Fund									
712. - Dolton IL Refunding '12 4.9					Buy	2/8/12	L		
713. - Dolton IL Refunding '12 4.9					Sold	12/3/12	K		
714. - IL G.O. '15 4.421					Buy	2/8/12	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
715. - American Intl Group					Buy	10/10/12	J		
716. - Anheuser-Busch					Buy	6/28/12	J		
717. - Bank of New York Mellon					Buy	5/31/12	J		
718. - Biogen					Buy	10/31/12	J		
719. - Bristol Myers Squibb					Buy	7/18/12	J		
720. - Broadcom					Buy	3/5/12	J		
721.					Sold	5/25/12	J		
722. - Caterpillar					Buy	1/27/12	J		
723.					Sold (part)	7/27/12	J	A	
724. - Charles Schwab					Buy	3/9/12	J		
725. - Chevron					Buy	7/18/12	J		
726. - Comcast					Buy	11/7/12	J		
727. - Corning					Buy	6/4/12	J		
728. - CSL					Buy	5/31/12	J		
729. - CVS					Buy	5/3/12	J		
730. - eBay					Buy	6/21/12	J		
731. - Exxon Mobil					Buy	5/10/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
732. - Freeport McMoran					Buy	10/16/12	J		
733. - General Electric					Buy	6/21/12	J		
734. - Hewlett Packard					Buy	5/23/12	J		
735. - Keycorp New					Buy	8/21/12	J		
736. - L'Oreal					Buy	5/31/12	J		
737. - Las Vegas Sands					Buy	2/28/12	J		
738. - Marsh & McLennan					Buy	7/27/12	J		
739. - Mondelez Intl					Buy	1/18/12	J		
740. - Netapp					Buy	12/7/12	J		
741. - Nokian Tyres OYJ					Buy	3/12/12	J		
742. - Peabody Energy					Buy	12/13/12	J		
743. - Pepsico					Buy	5/17/12	J		
744. - Petroleo Bras SA ADS					Buy	10/4/12	J		
745. - Potash					Buy	9/26/12	J		
746. - Rio Tinto					Buy	12/14/12	J		
747. - St. Jude Medical					Buy	6/19/12	J		
748. - Staples					Buy	7/30/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
749. - Svenska					Buy	7/23/12	J		
750. - Sysmex Unspn ADR					Buy	5/24/12	J		
751. - Target					Buy	11/15/12	J		
752. - Thermo Fisher					Buy	4/25/12	J		
753. - Thomson Reuters					Buy	2/1/12	J		
754. - Time Warner					Buy	7/11/12	J		
755. - Walt Disney					Buy	2/9/12	J		
756. - Xinyi Glass					Buy	7/9/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII

Lines 1, 7, 53, 75 are headers

Line 2: This bank account is the same account listed on the 2011 report, but the account is now located at the Bank of America branch in Sarasota, FL.

Line 38: On the line above this entry on the 2011 report (line 47) was a duplicative entry of the bond on line 48 of the 2011 report. The duplicate line has been deleted. The one bond is now listed on line 38 of this 2012 report.

Lines 66-72: The names of these funds have been corrected per the Committee's Oct. 11, 2012, letter.

Lines 76 and 78: Since the death of my first wife, these properties have been owned solely by me.

Line 106: This bond, purchased in late 2011, was inadvertently omitted from the 2011 report.

Line 115: The Sara Lee transaction is listed on this report as a merger (for lack of a more accurate available entry option), but it was more complicated. Sara Lee shares were exchanged for Hillshire shares in a reverse split, and then Hillshire became DeMaster Blender, which is reported on line 233 as a buy (again, for lack of a more accurate available entry option).

Lines 210-231: The names of these funds have been corrected per the Committee's Oct. 11, 2012, letter.

Line 211: This fund was thought to be the same as the fund listed on line 210 of this report, but the funds are distinct and are now reported separately and identified by their correct names. They were bought on the same day in 2011 in the A value category. The fund was listed on line 245 of the 2011 report.

Line 214: The listing of Vanguard Intl. Fund, which had appeared as a buy on line 253 of the 2011 report, has been deleted as this is just another name for the fund that was shown on line 256 of the 2011 report. The entry on line 256 of the 2011 report should have shown in column D(1) "buy add'l" instead of "buy." The correct name of the fund is shown on line 214 of this 2012 report.

Lines 341-354: The names of these funds have been corrected per the Committee's Oct. 11, 2012, letter.

Line 349: The listing for this fund should have been identified as separate from the fund listed on line 348 of this 2012 report, which was listed on line 472 of the 2011 report. The two funds are now listed separately.

Line 450: The stock on this line, which was listed on line 600 of the 2011 report, is one of two closely related companies, which were both owned in 2011 and are now listed separately on lines 450 and 451 of this 2012 report.

Lines 497-508: The names of these funds have been corrected per the Committee's Oct. 11, 2012, letter.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Jon O. NEWMAN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

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