

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ABEL, MARK R.	2. Court or Organization U.S. Dist. Ct., S.D. Ohio	3. Date of Report 11/03/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full-time U.S.M.J. serving on recall	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2013 to 12/31/2013
7. Chambers or Office Address 218 U.S. Courthouse 85 Marconi Blvd. Columbus, OH 43215		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PNC Bank, fka National City Bank, Cols., OH Checking Acct.	A	Interest	L	T					
2. PNC Bank, fka National City Bank, Cols. OH, IRA Sav. Acct.	A	Interest	J	T					
3. Ivesco, Kansas City, MO, fkaAIM Blue Chip Fund, Houston, TX,	A	Div.	J	T					
4. Keybank Nat'l Assn, Cleveland, OH, CD	A	Interest	J	T					
5. Keybank Nat'l Assn. Cleveland, OH, CD	A	Interest	J	T					
6. PNC Bank, fka National City Bank, Cols, OH.	A	Interest	L	T					
7. Valic, Fixed Accout Plus, Houston, TX (ERISA)	D	Int./Div.	N	T					
8. USBank, St. Louis, MO,	A	Interest	K	T					
9. First City Bank, Cols. OH Sav. Acct.	A	Interest	J	T					
10. First City Bank, Cols. OH	A	Interest	K	T					
11. Prudential Ins. Co., Philadelphi, PA	A	Interest	J	T					
12. Socially Responsible Fund		None	J	T					
13. SunAmerica Stock Index Fund		None	J	T					
14. Vanguard Windsor II		None	J	T					
15. Ariel Appreciation Fund		None	J	T					
16. SunAmerica Mid Cap Index Fund		None	J	T					
17. Wells Cap/Dreman Small Cap Special Value Fund		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Wellington/Robeco/Tocqueville Mid Cap Value Fund		None	J	T					
19. JP Morgan/Met West Small Cap Value Fund		None	J	T					
20. JP Morgan Emerging Economies Fund		None	J	T					
21. Franklin Templeton Foreign Value Fund		None	J	T					
22. Franklin Templeton Global Strategy Fund		None	J	T					
23. PineBridge International Equities Fund		None	J	T					
24. USB Global Asset Management/MFS International Opportunities		None	J	T					
25. Invesco/Goldman Sax Global Real Estate Fund		None	J	T					
26. T. Rowe Price Health Sciences Fund		None	J	T					
27. T Rowe Price/RCM/Bridgeway Science & Technology Fund		None	J	T					
28. BlackRock Core Equity Fund		None	J	T					
29.									
30.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

This amended financial disclosure report is filed in response to the Committee on Financial Disclosure's September 10, 2014 letter to me. The letter asked for additional information on the entries in Part VII, page 4, lines 7 and 11. Each is addressed below.

Part VII, p. 4, line 7: [REDACTED] retirement account is with VALIC. Line 7 was amended to reflect her investment in the VALIC Fixed Account Plus Fund. Lines 12 through 28 report other funds in her VALIC account that had a value of more than \$1,000 at the end of the reporting period.

Part VII, p. 4, line 11: I am not sure whether this is reportable. For the first time since 1973, [REDACTED] received a communication from Prudential about "accumulated dividends" in an amount just under \$30. The communication does not identify the asset producing the dividend, but does include a policy number. The dividend Prudential reported to the IRS in 2013 was just over \$30. [REDACTED] believes that the policy referred to may be a \$1,000 policy [REDACTED]
[REDACTED]

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **MARK R. ABEL**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544