

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Springmann, Theresa L.	2. Court or Organization Northern District of Indiana	3. Date of Report 05/10/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. Courthouse 1300 South Harrison Street Fort Wayne, IN 46802		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 12

Name of Person Reporting

Springmann, Theresa L.

Date of Report

05/10/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 12

Name of Person Reporting

Springmann, Theresa L.

Date of Report

05/10/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Master Card	Credit Card	K
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 12

Name of Person Reporting

Springmann, Theresa L.

Date of Report

05/10/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1									
2. -Riversource Life Ins. Fixed account	A	Dividend			Redeemed (part)	01/02/13	J		
3.					Redeemed (part)	02/01/13	J		
4.					Redeemed	02/26/13	J		
5. -Riversource VP Div Bond C13	A	Interest			Redeemed (part)	01/02/13	J		
6.					Redeemed (part)	02/01/13	J		
7.					Redeemed	02/26/13	J		
8. -Riversource VP Cash Mgmt C13	A	Interest			Redeemed (part)	01/02/13	J		
9.					Redeemed (part)	02/01/13	J		
10.					Redeemed	02/26/13	J		
11.									
12. Annuity - Riversource Fixed Annuity	A	Dividend	K	T	Sold (part)	02/26/13	J		
13.									
14. IRA #3									
15. -Riversource Life Ins. Fixed Account	B	Int./Div.	L	T					
16. -Riversource COL VP Div Bond C13	B	Dividend	L	T					
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 12

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Springmann, Theresa L.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. IRA #4									
19. -Riversource Life Ins. Fixed Account	A	Dividend	J	T					
20. -Riversource COL VP Div Bond C13	A	Interest	K	T					
21. -Riversource COL VP Cash Mgmt C13	A	Interest	J	T					
22.									
23. Chase Bank Account	A	Interest	J	T					
24.									
25. T.Rowe Price Summit Cash Reserve	A	Interest	J	T	Buy (add'l)	01/10/13	J		
26.					Buy (add'l)	01/15/13	J		
27.					Buy (add'l)	01/16/13	J		
28.					Buy (add'l)	01/28/13	J		
29.					Buy (add'l)	01/31/13	J		
30.					Buy (add'l)	02/14/13	J		
31.					Buy (add'l)	02/28/13	J		
32.					Buy (add'l)	03/11/13	J		
33.					Buy (add'l)	03/12/13	J		
34.					Buy (add'l)	03/22/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 12

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Date of Report

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Buy (add'l)	03/28/13	J		
36.					Buy (add'l)	04/01/13	J		
37.					Buy (add'l)	04/26/13	J		
38.					Buy (add'l)	04/29/13	J		
39.					Buy (add'l)	04/29/13	J		
40.					Buy (add'l)	04/30/13	J		
41.					Buy (add'l)	05/31/13	J		
42.					Buy (add'l)	06/28/13	J		
43.					Buy (add'l)	07/31/13	J		
44.					Buy (add'l)	09/10/13	J		
45.					Buy (add'l)	12/10/13	J		
46.					Buy (add'l)	12/31/13	J		
47.					Sold (part)	02/14/13	J		
48.					Sold (part)	02/14/13	J		
49.					Sold (part)	02/14/13	J		
50.					Sold (part)	02/14/13	J		
51.					Sold (part)	02/14/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 12

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Sold (part)	02/14/13	J		
53.					Sold (part)	04/29/13	J		
54.					Sold (part)	04/29/13	J		
55.					Sold (part)	04/29/13	J		
56.					Sold (part)	05/06/13	J		
57.					Sold (part)	05/06/13	J		
58.					Sold (part)	07/15/13	J		
59. T. Rowe Price Capital Appreciation Fund	C	Dividend	K	T	Buy (add'l)	02/14/13	J		
60.					Buy (add'l)	04/29/13	J		
61.					Buy (add'l)	05/06/13	J		
62.					Buy (add'l)	07/19/13	J		
63.					Buy (add'l)	12/13/13	J		
64.					Buy (add'l)	12/13/13	J		
65.					Buy (add'l)	12/13/13	J		
66.					Sold (part)	10/23/13	J		
67. T. Rowe Price Personal Strategy Income	A	Dividend			Buy (add'l)	02/14/13	J		
68.					Buy (add'l)	03/26/13	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 12

Name of Person Reporting

Springmann, Theresa L.

Date of Report

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69.					Sold	04/29/13	J		
70. T. Rowe Price Emerging Markets Bond	A	Dividend			Buy (add'l)	01/31/13	J		
71.					Buy (add'l)	02/14/13	J		
72.					Buy (add'l)	02/28/13	J		
73.					Buy (add'l)	03/28/13	J		
74.					Sold	04/29/13	J		
75. T. Rowe Price Real Estate	A	Dividend			Sold	02/14/13	J		
76. Chevron common shares	A	Dividend			Buy	07/10/13	J		
77. Chevron common shares	A	Dividend			Sold	12/31/13	J		
78. Ford Common shares	A	Dividend			Sold	01/11/13	J		
79. Toll Brothers common shares	A	Dividend			Sold	01/23/13	J		
80. Bank of America	A	Dividend			Sold	03/06/13	J		
81. Coach Inc. common shares	A	Dividend			Sold	04/23/13	J		
82. T. Rowe Price New Asia	A	Dividend			Sold	01/15/13	J		
83. T. Rowe Price Emerging Markets Stock Mutual	A	Int./Div.			Sold	01/10/13	J		
84. T. Rowe Price New Income Fund	A	Dividend	J	T	Buy (add'l)	01/31/13	J		
85.					Buy (add'l)	02/14/13	J		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 12

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Springmann, Theresa L.

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86.					Buy (add'l)	02/28/13	J		
87.					Buy (add'l)	03/28/13	J		
88.					Buy (add'l)	04/29/13	J		
89.					Buy (add'l)	04/30/13	J		
90.					Buy (add'l)	05/31/13	J		
91.					Buy (add'l)	06/28/13	J		
92.					Buy (add'l)	07/31/13	J		
93.					Buy (add'l)	08/31/13	J		
94.					Buy (add'l)	09/30/13	J		
95.					Buy (add'l)	10/31/13	J		
96.					Buy (add'l)	11/29/13	J		
97.					Buy (add'l)	12/06/13	J		
98.					Buy (add'l)	12/31/13	J		
99.					Sold (part)	07/19/13	K		
100.	T. Rowe Price Retirement Income Fund	B	Dividend	L	T	Buy (add'l)	01/31/13	J	
101.					Buy (add'l)	02/14/13	J		
102.					Buy (add'l)	02/28/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 10 of 12

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103.					Buy (add'l)	03/31/13	J		
104.					Buy (add'l)	04/29/13	J		
105.					Buy (add'l)	04/30/13	J		
106.					Buy (add'l)	05/06/13	J		
107.					Buy (add'l)	05/31/13	J		
108.					Buy (add'l)	06/28/13	J		
109.					Buy (add'l)	07/31/13	J		
110.					Buy (add'l)	08/30/13	J		
111.					Buy (add'l)	09/30/13	J		
112.					Buy (add'l)	10/23/13	J		
113.					Buy (add'l)	10/31/13	J		
114.					Buy (add'l)	11/29/13	J		
115.					Buy (add'l)	12/20/13	J		
116.					Buy (add'l)	12/31/13	J		
117.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 11 of 12

Name of Person Reporting

Springmann, Theresa L.

Date of Report

05/10/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

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05/10/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Theresa L. Springmann

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544