

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Zive, Gregg W.	2. Court or Organization U.S. Bankruptcy Court - Nevada	3. Date of Report 07/16/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U.S Courthouse 300 Booth Street, 5th Floor Reno, NV 89509		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee Emeritus	University of Nevada, Reno Foundation
2.	Advisory Board	Nevada Land Trust
3.	Limited Partner	Plumas Garden Partners, Reno NV
4.	Trustee	Trust #3
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Zive, Gregg W.

Date of Report

07/16/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Bighorns Basketball LLC-scorekeeper	\$250.00
2. 2014	Pacific Coast League-scorekeeper	\$660.00
3. 2014	Reno-Sparks Convention & Visitor's Authority-scorekeeper	\$225.00
4. 2014	Board of Regents Nevada System of Higher Education-guest speaker	\$733.85

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	██████████ Wages
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Boyd School of Law	January 12-17, 2014	Las Vegas, NV	Conference	(transportation, meals and room)
2. American Bankruptcy Institute	January 22-24, 2014	Denver, CO	Conference	(transportation, meals and room)
3. American Bankruptcy Institute	February 5-10, 2014	Dallas, TX	Conference	(transportation, meals and room)
4. American Bankruptcy Institute	April 23-26, 2014	Washington, D.C.	Conference	(transportation, meals and room)
5. American Bankruptcy Institute	May 19-23, 2014	Dallas, TX	Conference	(transportation, meals and room)

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Zive, Gregg W.

Date of Report

07/16/2015

6.	American Bankruptcy Institute	September 5, 2014	Las Vegas, NV	Conference	(transportation, meals and room)
7.	National Conference of Bankruptcy Judges	September 16-19, 2014	Washington, D.C.	Reception	(transportation, meals and room)
8.	National Conference of Bankruptcy Judges	October 7-10, 2014	Chicago, IL	Conference	(transportation, meals and room)
9.	American Bankruptcy Institute	December 3-5, 2014	La Quinta, CA	Conference	(transportation, meals and room)

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Zive, Gregg W.

Date of Report

07/16/2015

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

Zive, Gregg W.

Date of Report

07/16/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Plumas Garden Partners, Reno, Nevada	A	Interest	K	R					See comments (Part VIII)
2.	C	Rent							
3. Bank of America, Reno, NV	A	Interest	K	T					
4. Bank of America, Reno, NV		None	J	T					
5. City National Bank Money Market, Reno NV	A	Interest	M	T					
6. ██████████ Profit Sharing Plan		None	M	T					
7. Wells Fargo Advisors Rollover IRA	E	Dividend	M	T					
8. - Investment Co. of America									
9. - Wells Fargo Bank Deposit									
10. - Franklin Mutual Global Discovery FD									See Comments (Part VIII)
11. Wells Fargo Advisors IRA	E	Dividend	N	T					
12. -Wells Fargo Bank Deposit									
13. - Lehman Bros. Holding									
14. - Chevron Corporation									
15. - Franklin Real Estate Secs Tr									
16. - Europacific Growth Fund									
17. - Growth Fund America Inc (Class A)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

Zive, Gregg W.

Date of Report

07/16/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Growth Fund America Inc (Class C)									
19. - Franklin Mutual Global Discovery FD (Class C)									See comments (Part VIII)
20. - Allianz FDS Technology FD (Class C)									See comments (Part VIII)
21. - Alger Health Sciences Fund (Class C)									
22. Wells Fargo Advantage Muni Money Market		None			Closed	11/01/14	J		
23. Boeing Company	A	Dividend	J	T					
24. Coca-Cola	A	Dividend	K	T					
25. Blackrock FDS US Opportunities Port (Class C)	D	Dividend	L	T					
26. Alger Cap Appreciation Fund Class C	C	Dividend	K	T					
27. Eaton Vance Natl Ltd Maty Income FD Class C	B	Interest	L	T					
28. Wells Fargo Bank Deposit		None	J	T					
29. Harbor Fd Intl Fd Inv CL	A	Dividend	J	T					
30. Buffalo Small Cap Fd	A	Dividend	J	T					
31. Hotchkis & Wiley Fds Diversified Value Fund	A	Dividend	J	T					
32. MFS Ser Tr Value Fund Class W	A	Dividend	J	T					
33. Pimco Fds Mgmt Ser Real Return Strat Fd	A	Dividend	J	T					
34. Rainier Funds Md Cap Equity Portfolio	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

Zive, Gregg W.

Date of Report

07/16/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Touchstone Instl Fds Tr Sands Cap Instl Growth	A	Dividend	J	T					
36. WT Mut Fd Crm Mid Cap Value Fd Instl	A	Dividend	J	T					
37. Janus Invt FD Flexible BD FD Class I	A	Dividend	J	T					
38. Lazard Developing Markets Equity CL	A	Dividend	J	T					
39. Oppenheimer Intl Growth FD Class Y	A	Dividend	J	T					
40. Pimco FDS Pac Invt Mgmt Series Total Return	A	Dividend	J	T					
41. Pioneer Fund Class Y	A	Dividend	J	T					
42. Stratton FDS Inc Small Co Oppty CL I	A	Dividend	J	T					
43. Victory Portfolios Small Co Oppty CL I	A	Dividend	J	T					
44. Wells Fargo FDS TR Advantage FD CL I	A	Dividend	J	T					
45. Thornburg Intl Value FD	A	Dividend	J	T					
46. William Blair FDS Small Cap Growth Fd CL	A	Dividend	J	T					
47. Bond Fund of America	A	Dividend	K	T					
48. Aston Funds Montag & Caldwell Growth (X)	A	Dividend	J	T					
49. T Rowe Price Real Estate Fund Inc (X)	A	Dividend	J	T					
50. Trust #3	E	Int./Div.	N						See Comments (Part VIII)
51. -City National Bank Money Mkt, Reno NV				T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Zive, Gregg W.

Date of Report

07/16/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. - Brokerage #1				T					
53. -Money Market Fund-Stifel Nicolaus									
54. - Franklin Cust FDS Income US Govt Ser Class A									
55. - Franklin Income Fund CL A									
56. - Nuveen All American Muni Bond FD CL A									
57. - Eaton Vance Insured Municipal Bond Fd									
58. - Pimco Muni Income Fd III									
59. - Federated Stat Value Dividend CL A									
60. - AIM Invesco Equity and Income CL A									
61. - Nuveen High Yield Muni Bond					Buy	06/05/14	J		
62. Nevada State Bank, Reno, NV Money Market	A	Interest	M	T					
63. RBC Dain Rauscher IRA	D	Int./Div.	M	T					See Comments (Part VIII)
64.	D	Distribution							
65. -Tamarack Invst FDS Prime Money Market									
66. -Invesco Select Real Estate Income Fund									
67. - Growth Fund of America									
68. - Henderson Global Intl Opps									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

Zive, Gregg W.

Date of Report

07/16/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. - Franklin US Gov Sec Series									
70. - US Treasury Bonds Moody AAA					Sold	05/13/14	J	B	
71. - Franklin Founding FD Alloc A									
72. - Columbia FDS Ser TR II Mass Seligman Comm & Info CL C									
73. - Ivy FDS Inc Small Cap Growth FD CL C									
74. Hidden Valley Country Club		None	J	T					
75. Wells Fargo Bank, N.A., Reno, NV		None	K	T					See Comments (Part VIII)

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Zive, Gregg W.

Date of Report

07/16/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII Line 1. 6.25 % limited partnership interest; apartment complex. Original purchase price of \$50,000 in April 1994.

VII Line 10. Formerly Mutual Ser FD Inc Discovery Fund.

VII Line 19. Formerly Mutual Ser FD Inc Discovery Fund.

VII Line 20. Formerly RCM Global Technology Fund.

VII. Line 50. This trust was created February 12, 2007. 100% beneficial interest in this trust.

VII. Line 63. Beneficiary of this decedent IRA from which Required Minimum Distributions (RMD) are required to be taken annually.

VIII. Line 75. This account is not new, but has been inadvertently omitted from previous financial disclosure reports.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Zive, Gregg W.

Date of Report

07/16/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Gregg W. Zive

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544