

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Houston, III, David W.	2. Court or Organization U.S. Bankruptcy Court	3. Date of Report 04/13/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 703 Hwy. 145 N Aberdeen, MS 39730		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Legislative Committee Chair	National Conference of Bankruptcy Judges
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Administrative Office of U.S. Courts
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. University of Mississippi	2/10 - 11/11	Memphis, TN	Speaking at CLE Program	Reimbursement of travel, meals, and lodging
2. Mississippi Bankruptcy Conference	2/16 - 17/11	Jackson, MS	Judging Moot Court Competition	Reimbursement of travel, meals, and lodging
3. National Conference of Bankruptcy Judges	4/3 - 6/11	Palm Desert, CA	Attending Board of Governors and Legislative Committee Meetings	Reimbursement of travel, meals, and lodging
4. Tennessee Bar Association	4/28 - 5/1/11	Gatlinburg, TN	Speaking at CLE Program	Reimbursement of travel, meals, and lodging
5. American Bankruptcy Institute	5/19 - 20/11	Memphis, TN	Speaking at CLE Program	Reimbursement of travel, meals, and lodging

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6.	National Conference of Bankruptcy Judges	6/3 - 5/11	Columbia, SC	Attending Legislative Meeting	Reimbursement of travel, meals, and lodging
7.	Mississippi Bar Association	7/14 - 17/11	San Destin, FL	To receive Judicial Excellence Award	Reimbursement of lodging
8.	National Conference of Bankruptcy Judges	7/24 - 25/11	Washington, DC	Attending Legislative Meeting	Reimbursement of travel, meals, and lodging
9.	American Bankruptcy Institute	7/27 - 30/11	Kiawah Island, SC	Speaking at CLE Program	Reimbursement of travel, meals, and lodging
10.	National Conference of Bankruptcy Judges	9/13 - 15/11	Washington, DC	Attending Legislative Meeting	Reimbursement of travel, meals, and lodging
11.	National Conference of Bankruptcy Judges	9/19 - 20/11	New York, NY	Attending NCBJ Meeting	Reimbursement of travel, meals, and lodging
12.	National Conference of Bankruptcy Judges	10/11 - 16/11	Tampa, FL	Attending Board of Governors & Legislative Committee Meetings	Reimbursement of travel, meals, and lodging
13.	Memphis Bar Association	10/27 - 28/11	Memphis, TN	Speaking at CLE Program	Reimbursement of travel, meals, and lodging
14.	Mid-South Commercial Law Institute	12/1 - 4/11	Nashville, TN	Speaking at CLE Program	Reimbursement of travel, meals, and lodging
15.	Mississippi Bankruptcy Conference	12/14 - 16/11	Jackson, MS	Speaking at CLE Program	Reimbursement of travel, meals, and lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit Card	J
2.	U.S. Bank	Credit Card	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1.	1st Nat'l Minerals Trust Cert. of Int., c/o Trustmark Bank	A	Dividend	J	T				
2.	Pruett Prod. Co., Oil, Gas & Mineral Lease, Monroe Co., MS	A	Royalty	J	T				
3.	Samson Pro. Serv. Co., Oil, Gas & Min. Lease, Monroe Co., MS	A	Royalty	J	T				
4.	Tellus Opr. Grp., Oil, Gas & Min. Lease, Moree Co., MS	A	Royalty	J	T				
5.	Triangle Fed. Credit Union	A	Int./Div.	J	T				
6.									
7.									
8.									
9.									
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

N/A

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David W. Houston, III**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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