

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Schreier, Karen E.	2. Court or Organization U.S. District Court, South Dakota	3. Date of Report 03/03/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address United States District Court 400 S. Phillips Avenue, Rm. 233 Sioux Falls, SD 57104		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member Board of Directors	South Dakota Children's Home Society
2. Member Board of Directors	Federal Judges Association
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Self-employed (attorney)
2. 2015	State of South Dakota
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federal Judges Association	April 13-15, 2015	Washington, DC	Board of Directors Meeting	Hotel and meals
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BROKERAGE ACCOUNT #1 (H)									
2. T. ROWE PRICE EQUITY INCOME FUND	C	Dividend	L	T					
3. T. ROWE PRICE GROWTH STOCK FUND	D	Distribution	L	T					
4. T. ROWE PRICE NEW HORIZONS FUND	D	Distribution	M	T					
5. T. ROWE PRICE SCIENCE AND TECHNOLOGY FUND	D	Distribution	L	T					
6. T. ROWE PRICE VALUE FUND	D	Dividend	L	T					
7. IRA #1 RBC WEALTH MANAGEMENT (H)									
8. PRIME MONEY MARKET FUND (cash account)	A	Interest	J	T					
9. PUTNAM DYNAMIC ASSET ALLOCATION GROWTH FUND CL C	A	Dividend			Buy	02/24/15	M		
10.					Sold (part)	12/16/15	L		
11.					Sold	12/17/15	K		
12. PUTNAM FD FOR GROWTH & INCOME CL C SHS		None	L	T	Buy	12/16/15	L		
13. PUTNAM FDS TR CAPITAL SPECTRUM FD CL C		None	K	T	Buy	12/16/15	K		
14. PACIFIC LIFE FDS OPTIMIZATION MODERATE CLASS C FUND		None			Sold	02/24/15	M	E	
15. PIMCO FDS SENIOR FLOATING RATE FD CL C MUTUAL FUND	B	Dividend	K	T					
16. TRANSAMERICA ASSET ALLOCATION GROWTH PORTFOLIO CLASS C MUTUAL FUND	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
17. NEW YORK LIFE INSURANCE CO. - ANNUITY	B	Interest	K	T					
18. NEW YORK LIFE UNIVERSAL LIFE POLICY	B	Dividend	K	T					
19. LINCOLN FINANCIAL GROUP UNIVERSAL LIFE INS. POLICY	A	Interest	J	T					
20. JEFFERSON NATIONAL UNIVERSAL LIFE INS. POLICY	A	Interest	J	T					
21. METLIFE INS. CO. LIFE INS. POLICY	A	Interest	K	T					
22. HOME FEDERAL BANK ACCOUNT	A	Interest	J	T					
23. FIRST DAKOTA TITLE INS. LTD. PTR. (1 UNIT)	C	Int./Div.	N	U					
24. BROKERAGE ACCOUNT #2 RBC WEALTH MGT (H)									
25. PRIME MONEY MKT FUND (cash account)	A	Interest	M	T					
26. PIMCO MONEY MARKET FUND CL C (cash account)	A	Interest	K	T	Open	10/15/15	K		
27. ALPS ETF TR ALERIAN MLP FUND	B	Dividend			Sold	12/31/15	K		
28. ALTRIA GROUP INC. COMMON	B	Dividend	K	T					
29. APPLE INC	A	Dividend	J	T	Buy	08/27/15	J		
30. BERKSHIRE HATHAWAY CL B COMMON		None	L	T	Buy (add'l)	08/27/15	J		
31. BP PLC SPONSORED ADR (Y)									
32. CHEVRON CORP COMMON	A	Dividend	K	T	Buy (add'l)	08/27/15	J		
33. CITIGROUP INC. COMMON	A	Dividend	J	T					

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34. COCA-COLA COMPANY COMMON	A	Dividend	K	T					
35. COLGATE-PALMOLIVE COMPANY COMMON	A	Dividend	J	T					
36. CONAGRA COMMON		None	J	T					
37. DEERE & CO.-COMMON	A	Dividend	J	T					
38. EATON VANCE SHORT DURATION GOV'T INCOME FD CL C	A	Dividend	K	T	Buy	12/31/15	K		
39. EMC CORP. MASS COMMON	A	Dividend	J	T					
40. EXXON MOBIL CORP COMMON		None	K	T	Buy (add'l)	08/27/15	J		
41. GENERAL ELECTRIC COMPANY COMMON	A	Dividend	J	T					
42. HOME DEPOT INC. COMMON	A	Dividend	K	T					
43. INTEL CORPORATION COMMON	A	Dividend	K	T	Buy (add'l)	08/27/15	J		
44. ISHARES GLOBAL TELECOM ETF	A	Dividend	J	T	Buy	11/03/15	J		
45. ISHARES NASDAQ BIOTECHNOLOGY INDEX FD	A	Dividend	J	T	Buy	11/03/15	J		
46. ISHARES RUSSELL 2000 ETF	A	Dividend	J	T	Buy	11/03/15	J		
47. ISHARES RUSSELL MID CAP ETF	A	Dividend	J	T	Buy	11/03/15	J		
48. ISHARES US PHARMACEUTICALS ETF	A	Dividend	J	T	Buy	11/03/15	J		
49. KRAFT HEINZ COMPANY COMMON	A	Dividend	J	T	Sold (part)	07/06/15	J	A	
50. MEDTRONIC PLC COMMON	E	Dividend	K	T					

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51. MERCK & CO. INC. COMMON	A	Dividend	J	T					
52. MICROSOFT CORP COMMON	A	Dividend	K	T					
53. MONDELEZ INT. INC - COMMON	A	Dividend	K	T					
54. PEPSICO INCORPORATED COMMON	A	Dividend	K	T					
55. PFIZER INC. COMMON	A	Dividend	J	T					
56. PHILIP MORRIS INTL INC. COMMON	B	Dividend	L	T					
57. PROCTOR & GAMBLE CO. COMMON	A	Dividend	K	T					
58. TARGET CORP COMMON	A	Dividend	J	T					
59. UIT FIRST TRUST ENERGY SELECT PORT. SER. 47-COMMON	B	Dividend			Redeemed	06/25/15	K		
60. US BANCORP DEL- COMMON	A	Dividend	J	T					
61. 3M COMPANY COMMON		None	K	T	Buy (add'l)	08/27/15	J		
62. VAN ECK FUNDS GLOBAL ASSETS FUND CL C	A	Dividend	J	T					
63. WALGREENS BOOT ALLIANCE INC	A	Dividend	J	T					
64. BP PLC SPONS ADR COMMON		None	J	T					
65. AMERICAN FUNDS EURO PACIFIC GROWTH FD CL A		None	L	T					
66. OPPENHEIMER DEVELOPING MKTS FUND-CL C	A	Distribution	K	T					
67. ROYAL DUTCH SHELL PLC COMMON	A	Dividend	J	T					

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68. THORNBURG INVT TR INTL VALUE FD CLC MUTUAL FUND	A	Dividend	J	T					
69. THORNBURG INVT TR DEVELOPING WORLD FD CL C MUTUAL FUND		None	J	T					
70. UIT FIRST TRUST EUROPEAN DEEP VALUE SUB 10 DIVID SEC 7 MUTUAL FUND	A	Dividend			Redeemed	10/09/15	K		
71. UIT FIRST TRUST INTL CAP STRENGTH PORT SER 16 MUTUAL FUND	B	Dividend			Redeemed	06/04/15	K		
72. VANGUARD ADMIRAL FDS INC S&P 500 GROWTH INDEX FD ETF	A	Dividend	J	T	Buy	11/03/15	J		
73. ALLIANCE BERNSTEIN GLOBAL BOND FUND INC CL A	A	Dividend			Sold	06/08/15	K		
74. EATON VANCE MUTUAL FUNDS TRUST EMERGING MARKETS	B	Int./Div.			Sold	12/31/15	K		
75. PIMCO FDS HI YLD CL C	B	Dividend			Sold	06/08/15	K		
76. TEMPLETON GLOBAL BOND FUND-CL C	B	Distribution	K	T					
77. BANK OFAMERICA CHARLOTTE NA CD	A	Interest	J	T					
78. EATON VANCE NAT. MUN. OPPORTUNITIES TR		None	J	T					
79. NUVEEN FLAGSHIP ALL-AMERICAN MUNICIPAL BOND FUND CL A		None	K	T					
80. THORNBURG LTD TERM MUNICIPAL FUND CL A		None	K	T					
81. DESCHUTES & JEFFERSON CNTYS OR SD #02J		None	K	T					
82. YUBA CITY CAL. UNI. SCHOOL DIST. BOND		None	K	T					
83. CHELAN CNTY WA PUD #1 COLUMBIA ELEC. & PUB POWER BOND		None	K	T					

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84. FRANKLIN-MCKINLEY CAL. SCHOOL BOND		None	J	T					
85. ALLEN TX INDPT. SCHOOL DIST. BOND		None	K	T					
86. PENN. ST. TPK COMMON TPK TOLL ROADS BOND	A	Interest	J	T					
87. METROPOLITAN WASH. DC ARPT. DULLES TOLL ROAD BOND		None	K	T					
88. PIMCO ALL ASSET AUTH CL C FUND	B	Int./Div.			Sold	10/15/15	K		
89. PIMCO FDS PAC INVT MGMT SER REAL ESTATE RET STR CL C	D	Dividend	K	T					
90. LINCOLN NATL LIFE AMER. ANNUITY	A	Interest	K	T					
91. IRA ACCOUNT #2 RBC WEALTH MANAGEMENT (H)									
92. FEDERATED MONEY MKT FD (cash account)	A	Interest	K	T					
93. VOYA SER FD INC CORPORATE LEADERS TRUST SER B		None	J	T	Buy	11/03/15	J		
94. THORNBURG INVT TR INVT INCOME BUILDER FD CL I	A	Dividend	J	T	Buy	11/04/15	J		
95. ARTISAN FUNDS INC MID CAP FUND	B	Dividend	J	T					
96. ARTISAN GLOBAL SMALL CAP (X)		None	J	T					
97. HENDERSON GLOBAL FDS GLOBAL EQUITY INCOME FD CL I	A	Dividend	J	T	Buy	11/03/15	J		
98. HOTCHKIS & WILEY FUNDS SMALL CAP VALUE FUND CL I	A	Dividend	J	T	Buy (add'l)	08/27/15	J		
99.					Buy (add'l)	10/15/15	J		
100. ISHARES TR RUSSELL MID CAP ETF	A	Dividend	K	T					

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101. VANGUARD ADMIRAL FUNDS INC. S&P 500 GROWTH INDEX FUND	A	Dividend	K	T	Buy (add'l)	08/27/15	J		
102.					Buy (add'l)	10/15/15	J		
103. VANGUARD ADMIRAL FDS. INC.S&P 500 VALUE INDEX	A	Dividend	K	T	Buy (add'l)	08/27/15	J		
104.					Buy (add'l)	10/15/15	J		
105. VANGUARD FTSE EMERGING MARKETS ETF FTSE EMERGING INDEX	A	Dividend	J	T	Buy (add'l)	08/27/15	J		
106.					Buy (add'l)	10/15/15	J		
107. VANGUARD FTSE DEVELOPED MARKETS ETF	A	Dividend	K	T	Buy (add'l)	08/27/15	J		
108.					Buy (add'l)	10/15/15	J		
109. EATON VANCE EMERGING MKTS LOCAL INCOME FUND CL I	A	Dividend	J	T	Buy (add'l)	08/27/15	J		
110.					Sold (part)	06/08/15	J		
111. EATON VANCE INCOME FUND BOSTON INC INST CL	A	Dividend	J	T					
112. EATON VANCE GLOBAL MACRO ABSOLUTE RETURN FUND CL I	A	Dividend			Sold	06/08/15	J		
113. FT TEMPLETON GLOBAL BOND A	A	Dividend	J	T					
114. FRANKLIN INVS. SER. TR REAL RETURN FD ADVISOR CL	A	Dividend			Sold	06/08/15	J		
115. NEUBERGER BERMAN INC. FDS NEW HIGH INCOME BD FD. INV CL	A	Dividend			Sold	06/08/15	J	A	
116. FINANCING CORP - FED CPN FICO STRIPS SERIES E BOND	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Schreier, Karen E.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
117. US TREAS. SEC. STRPD. BOND		None	K	T					
118. FED. HOME LOAN MTG. CORP. CMO SERIES 21 21-Z	A	Interest	J	T					
119. PIMCO ALL ASSET ALL AUTHORITY MUTUAL FUND	A	Dividend	J	T					
120. BROKERAGE ACCOUNT #3 RBC WEALTH MANAGEMENT (H)									
121. GALENA PARK TEX INDPT. SCH BOND	B	Interest	K	T					
122. CLACKAMAS & WASHINGTON CNTYS EDUCATION BOND	B	Interest	K	T					
123. SOUTHERN MINNESOTA MUNI PWR BOND	B	Interest	K	T					
124. PENN ST TPK COMMN TPK TOLL ROADS BOND	B	Interest	K	T					
125. KWB HOTEL PARTNERS, LLC	A	Interest	J	T	Buy	01/12/15	J		
126. DOUGHERTY & DOUGHERTY LLP	C	Interest	O	U					
127. DISCOVER BANK ACCOUNT	C	Interest	N	T					
128. BENEFICIARY SEP IRA #1 RBC WEALTH MGT (H)									
129. FEDERATED MONEY MARKET (cash account)		None	J	T					
130. ARTISAN FUNDS INC SMALL CAP VALUE FUND	A	Dividend	J	T	Buy	11/03/15	J		
131. ALPS ETF TR ALERIAN MLP	B	Dividend	J	T					
132. ISHARES RUSSELL MID CAP ETF	A	Dividend	K	T	Buy (add'l)	08/27/15	J		
133.					Buy (add'l)	10/15/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Schreier, Karen E.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
134. NATIXIS FUNDS TR II VAUGHAN NELSON OPP FD CL Y	A	Dividend	J	T	Buy	11/03/15	J		
135. OAKTREE CAP GROUP LLC	A	Dividend	J	T					
136. POWERSHARES GLOBAL ETF FUND GLOBAL WATER PORTFOLIO	A	Dividend	J	T					
137. VANGUARD ADMIRAL FDS INC S&P 500 GROWTH INDEX FD ETF	A	Dividend	K	T	Buy (add'l)	08/27/15	J		
138.					Buy (add'l)	09/17/15	J		
139.					Buy (add'l)	10/15/15	J		
140. VANGUARD ADMIRAL FDS INC S&P 500 VALUE INDEX FD ETF SHS	A	Dividend	K	T	Buy (add'l)	08/27/15	J		
141.					Buy (add'l)	9/17/15	J		
142.					Buy (add'l)	10/15/15	J		
143. VANGUARD INDEX FUNDS SMALL- CAP VALUE ETF	A	Dividend	K	T	Buy (add'l)	08/27/15	J		
144.					Buy (add'l)	10/15/15	J		
145. VOYA SER FD INC CORPORATE LEADERS TRUST SER B	A	Dividend	J	T	Buy	11/03/15	J		
146. VANGUARD SECTOR INDEX FUND VANGUARD TELECOMMUNICATION SERVICES ETF	A	Dividend	J	T					
147. HENDERSON GLOBALFDS GLOBAL EQUITY INCOME FD CL I	A	Dividend	J	T	Buy	11/03/15	J		
148. VANGUARD FTSE EMERGING MARKETS ETF FTSE EMERGING INDEX	A	Dividend	J	T	Buy (add'l)	08/27/15	J		
149.					Buy (add'l)	10/15/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
150. VANGUARD FTSE DEVELOPED MARKETS ETF	A	Dividend	K	T	Buy (add'l)	08/27/15	J		
151.					Buy (add'l)	10/15/15	J		
152. VANGUARD INTERMEDIATE TERM BOND ETF	A	Dividend	K	T					
153. SPDR BARCLAYS INTERNATIONAL TREASURY BOND ETF		None	J	T					
154. EATON VANCE EMERGING MKTS LOCAL FD CL I		None			Sold	06/08/15	J		
155. EATON VANCE INCOME FD BOSTON INC INSTL CL	A	Dividend	J	T					
156. EATON VANCE MUT FUNDS TRUST FLTG RATE HIGH INCOME FD CL I	A	Dividend			Sold	06/08/15	J		
157. FRANKLIN INVS SECS TR REAL RETURN FD ADVISOR CL		None			Sold	06/08/15	J		
158. FRANKLIN INVS SECS TR FLTG RATE DAILY ACCESS ADVISOR CL	B	Dividend			Sold	06/08/15	J		
159. ISHARES IBOXX \$ HIGH YIELD CORPORATE BOND ETF	A	Dividend	J	T					
160. POWERSHARES GLOBAL EXCHANGE TRADED FD TR EMERGING MARKETS SOVEREIGN	A	Dividend	J	T	Buy (add'l)	01/15/15	J		
161. ISHARES TIPS BOND ETF	A	Dividend	K	T	Buy (add'l)	01/15/15	J		
162. SPDR SERIES TRUST SPDR DB INTL GOVT INFLATION PROTECTED BOND ETF	A	Dividend	J	T	Buy (add'l)	01/15/15	J		
163. PIMCO ALL ASSET ALL AUTHORITY P	A	Int./Div.	J	T	Buy (add'l)	01/15/15	J		
164. THORNBURG INVT TR INCOME BUILDER FD CL I	A	Dividend	J	T	Buy	11/03/15	J		
165. SPDR INDEX SHS FDS DOW JONES GLOBAL REAL ESTATE ETF		None	K	T	Buy (add'l)	01/15/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, lines 49, 50, and 62: Name changes only; see 2014 Report, Part VII, lines 41, 43, and 56, respectively.

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Schreier, Karen E.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Karen E. Schreier**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544