

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Battani, Marianne O.	2. Court or Organization USDC, Eastern District of MI	3. Date of Report 04/04/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge, active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 231 West Lafayette Detroit, Michigan 48226		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Pretrial Skills Judge	Cooley Law School
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	1982	State of Michigan Defined Benefit Plan(pension)
2.	1982	County of Wayne Defined Benefit Plan(pension)
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	Cooley Law School, compensation	\$900.00
2. 2011	Michigan Judges' Retirement System	\$36,311.16
3. 2011	Wayne County Retirement System	\$19,208.76
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. FIDELITY INDIVIDUAL ACCOUNT									
2. -Fidelity Accounts(cash, cash equivalent)	A	Int./Div.	L	T					
3. -Puritan	A	Dividend	J	T					
4.									
5. EDWARD JONES IRA ACCOUNT	D	Int./Div.	N	T					
6. -Capital Income Builder Fund C1 A									
7. -Capital Income Builder Funds C1 B					Sold (part)	08/26/11	J		
8. -Capital World Growth & Income Fund C1 A					Sold (part)	02/16/11	J		
9.					Sold (part)	08/26/11	J		
10.					Sold (part)	11/21/11	J		
11. -Capital World Growth & Income Fund C1 B					Sold (part)	08/26/11	J		
12. -Euro Pacific Growth Fund C1 A					Sold (part)	11/21/11	J		
13. -Euro Pacific Growth Fund C1 B					Sold (part)	08/26/11	J		
14. -Franklin Income Fund C1 A. *See VIII(1)									
15. -Fundamental Investors Fund C1 A									
16. -Fundamental Investors Fund C1 B					Sold (part)	08/26/11	J		
17. -Hartford Capital Appreciation Fund C1 A					Sold	11/21/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -American Balanced Fund C1 A					Sold (part)	02/16/11	J		
19.					Buy (add'l)	08/30/11	J		
20.					Buy (add'l)	11/21/11	J		
21. -American High Income Trust C1 A									
22. -American International Growth & Income fund C1 A					Sold (part)	02/16/11	J		
23. -American Mutual Fund C1 A					Sold (part)	02/16/11	J		
24.					Buy (add'l)	11/21/11	J		
25. -Bond Fund of America C1 A					Sold (part)	08/26/11	J		
26. -Capital World Bond Fund C1 A									
27. -Franklin Small Cap Value Fund C1 A									
28. -Growth Fund of America C1 A					Sold (part)	02/16/11	J		
29.					Sold (part)	11/21/11	J		
30. -Hartford Inflation Plus Fund C1 C					Sold (part)	4/29/11	J		
31. -Income Fund of America Fund C1 A					Sold (part)	02/16/11	J		
32.					Buy (add'l)	11/21/11	J		
33. -Lord Abbett Core Fixed Income Fund C1 C					Sold (part)	04/29/11	J		
34.					Sold	07/29/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -Lord Abbett Short Duration Income Fund Cl C					Sold (part)	04/29/11	J		
36.					Sold	07/29/11	J		
37. -New Economy Fund Cl A									
38. -New World Fund Cl A									
39. -Oppenheimer International Bond Fund Cl A					Sold (part)	11/25/11	J		
40. -VanKampen Build America Bonds Income Trust Ser #20									
41. -Invesco Build America Bonds Income Trust Series # 47									
42. -Invesco Build America Bonds Income Trust series # 61									
43. -US Treasury Bonds					Matured	01/01/11	K		
44. -Lord Abbett Short Duration Income Fund Cl C					Sold (part)	04/29/11	J		
45.					Sold	07/29/11	J		
46. -First Trust Build America Bonds Monthly Cash Series 28					Buy	02/25/11	J		
47. -Invesco Invst Grd Incm 10-20 Yr Series # 2					Buy	04/29/11	K		
48. -Invesco Invst Grd Incm 10-20 Yr Series #6					Buy	07/29/11	K		
49. -Invesco Invst Grd Incm Tr 20+ year, Series #2					Buy	08/26/11	J		
50. -Hartford Inflation Plus Fund Cl A					Buy	11/21/11	J		
51.					Buy (add'l)	11/25/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. EDWARD JONES SINGLE ACCOUNT									
53. -Cash & money market funds	A	Dividend	J	T					
54. -Federated Strategic Income Fund C1 A	A	Dividend	J	T	Sold (part)	06/28/11	J		
55.					Buy (add'l)	10/13/11	J		
56.					Sold (part)	12/13/11	J		
57. -Federated Kaufman Small Cap Fund C1 A	A	Dividend	J	T					
58. -Federated Kaufman Fund C1 A	A	Dividend	J	T	Sold (part)	12/13/11	J		
59. -Lord Abbett Core Fixed Incm A	A	Dividend	J	T					
60. -Lord Abbett Short Dur Inc Fd A	A	Dividend	J	T	Buy (add'l)	10/13/11	J		
61. Chase Bank (cash and cash equivalent)	A	Interest	K	T					
62. MassMutual: whole life policy	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

(1) VII, L14 Note that in the report for 2010, filed 5/4/2011, Franklin Income Fund CIA was listed both on L12 and L35 of Section VII.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Marianne O. Battani**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544