

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Vance, Sarah S.	2. Court or Organization United States District Court	3. Date of Report 7/30/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 500 Poydras Street Room C-255 New Orleans, Louisiana 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Board Member	New Orleans Chapter Federal Bar Association
2.	Board Member	Tulane Law Institute National Advisory Board
3.	Adjunct Faculty	Tulane Law School
4.	Board Member	Tulane Law School -- Dean's Advisory Board
5.	Executor	Estate # 1 (See Part VIII.)

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Jones, Walker, Waechter, Poitevent, Carrere & Denegre - income from law practice
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Law Institute	March 9-10, 2011	Philadelphia, Pennsylvania	Advisers Meeting	Travel, lodging, meals
2. New Orleans Bar Association	April 15-17, 2011	Biloxi, Mississippi	Speaker	Lodging, meals
3. American Bar Association - Section of Labor and Employment Law	November 2-4, 2011	Seattle, Washington	Speaker	Travel, lodging, meals
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1, Merrill Lynch New Orleans:									
2. --Merrill Lynch Retirement Reserves / Cash	A	Dividend	J	T					
3. --Putnam International Equity FD CL A	A	Dividend	J	T					
4. --Columbia Marsico Focused Equities FD B - Exchanged for:									See note in Part VIII
5. ----Columbia Marsico Focused Eq A (NFEAX)	A	Dividend	J	T					
6. --Lord Abbett Global FD EQ SR CL A	A	Dividend	J	T					
7. --Davis NY Venture FD A	A	Dividend	J	T					
8.									
9. IRRA #2, Rollover Merrill Lynch:									
10. --Merrill Lynch USA Rasp / Cash	A	Dividend	J	T					
11. --Blackrock Capital Appreciation FD INC B (name change)	A	Dividend	J	T					
12. --Putnam International Equity FD CL A	A	Dividend			Sold	06/15/11	L	A	
13. --Oppenheimer Global Opp FD SBI	A	Dividend	L	T					
14. --Munder Small Cap Value CL B	A	Dividend	K	T					
15. --Columbia Marsico Focused Equities FD B	A	Dividend			Sold	06/15/11	K	C	
16. --Davis NY Venture FD B - Exchanged for:									See note in Part VIII
17. ----Davis NY Venture FD A	A	Dividend			Sold	06/15/11	L	D	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --Blackrock Value Opport Fund Inc B	A	Dividend	K	T					
19. --Massachusetts Investors Growth Stock FD CL A	A	Dividend	J	T					
20. --ING International Small Cap Multimanage FD CL A	A	Dividend	K	T					
21. --Blackrock Capital Appreciation FD INC A	A	Dividend	J	T					
22. --Gabelli Equity Income FD CL A (GCAEX)	A	Dividend	K	T	Buy	06/15/11	K		
23. --MFS International New Discovery FD CL A (MIDAX)	A	Dividend	K	T	Buy	06/15/11	K		
24. --Oppenheimer Developing Markets FD CL A (ODMAX)	A	Dividend	K	T	Buy	06/15/11	K		
25. --Wells Fargo ADV Premium Large Comp Growth CL A (EKJAX)	A	Dividend	K	T	Buy	06/15/11	K		
26.									
27. IRA #3, Merrill Lynch New Orleans:									
28. --Merrill Lynch Retirement Reserves / Cash	A	Dividend	J	T					
29. --ML Bank USA Rasp	A	Dividend	J	T					
30. --Lord Abbett Developing Growth FD CL A	A	Dividend	J	T					
31. --Davis NY Venture FD A	A	Dividend	J	T					
32. --Putnam International Equity FD CL A	A	Dividend	J	T					
33. --Columbia Marsico Focused Equities FD B - Exchanged for:									See note in Part VIII
34. ---Columbia Marsico Focused Eq A (NFEAX)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.									
36.									
37. Profit Sharing Retirement Plan - Fidelity Invest. Account									
38. --Ret Gov't (Money Market Fund)	A	Dividend	J	T	Sold (part)	08/29/11	J	A	
39. --Fidelity Growth Company K	A	Dividend	M	T					
40. --Davis NY Venture Y	A	Dividend			Sold	08/29/11	M	A	
41. --Harbor Intl Inst	B	Dividend	M	T					
42. --Fidelity Emerging Mkts K	A	Dividend	J	T					
43. --Spartan US Bond Index Fund (Name Change)	D	Dividend	N	T					See note in Part VIII
44. -- Goldman Sachs Small Cap Value Class A	C	Dividend	M	T					
45. --Lazard Emerging Mkts Institutional	D	Dividend	M	T					
46. --Fidelity Cash Reserves	A	Int./Div.	J	T	Buy	07/18/11	K		
47.					Sold (part)	08/30/11	K	A	
48. --Vanguard INFL	A	Dividend	L	T	Buy	09/14/11	L		
49. --Gabelli Equity Income CL A	B	Dividend	M	T	Buy	08/30/11	M		
50.									
51. Cash Management Account, Merrill Lynch:									

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52. --Cash / CMA Money Fund	A	Dividend	J	T					
53. --Eaton Vance Tax Div Inc.	A	Dividend	J	T					
54. --Eaton Vance TX-AD GL Div Global Dividend Inc FD	B	Dividend	J	T					
55. --NFJ Div Int Prem Strat and Premium Strategy FD	A	Dividend	J	T	Buy	01/13/11	J		
56.									
57. Child's Trust, Merrill Lynch:									
58. --Cash / CMA Money Fund	A	Interest	J	T					
59. --Columbia Marsico Focused Equities FD B - Exchanged for:									See note in Part VIII.
60. ---Columbia Marsico Focused Eq A (NFEAX)	A	Dividend	J	T	Sold (part)	01/12/11	J	A	
61.									
62. --Lord Abbett Developing Growth Fund CL A	A	Dividend	J	T					
63. --Putnam International Equity Fund CL A	A	Dividend	J	T					
64. --Davis NY Venture FD A	A	Dividend	J	T					
65.									
66. IRRA #4, Rollover Merrill Lynch:									
67. --Cash	A	Dividend	J	T					
68. --Retirement Reserves CL I	A	Dividend	J	T					

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69. --Artio International Equity Fund II CL I	A	Dividend			Sold	04/25/11	K	D	
70. --Hartford Capital	A	Dividend			Sold	09/09/11	K	D	
71. --Henderson International	A	Dividend	K	T	Buy	09/09/11	J		
72. --Columbia Value & Restructuring Fund CL Z (UMBIX)	A	Dividend	K	T	Sold (part)	09/09/11	J	A	
73. --Rydex / SGI Mid Cap Value Fund CL I (SVUIX)	A	Dividend	K	T	Sold (part)	09/09/11	J	A	
74. --PIMCO Total Return Fund CLP (PTTPX)	B	Dividend	K	T	Sold (part)	04/25/11	J	A	
75.					Sold (part)	09/09/11	J	A	
76. --PIMCO Real Return Fund CLP (PRLPX)	B	Dividend	K	T	Buy	09/09/11	J		
77. --Mainstay Large Cap (MLAIX)	A	Dividend	L	T	Buy	09/09/11	J		
78. --Ivy Asset Strategy Fund CL I (IVAEX)	A	Dividend	K	T	Sold (part)	09/09/11	J	A	
79. --MFS Value FD CL I	B	Dividend	L	T	Buy	09/09/11	J		
80. --Calvert Short Duration Income Fund CL Y	A	Dividend			Sold	09/09/11	K	A	
81. --Oppenheimer Gold and Special Minerals Fund (OGMYX)	A	Dividend	K	T	Sold (part)	04/25/11	J	B	
82.					Buy	09/09/11	J		
83.					Buy (add'l)	10/7/11	J		
84. --Allianz NFJ Dividend Value FDCL P (ADJPX)	B	Dividend	K	T	Buy	09/09/11	J		
85.					Sold (part)	10/07/11	J	A	

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86. --Dreyfus Emerging Markets Debt Local Currency CL I (DDBIX)	B	Dividend	K	T	Buy	09/09/11	J		
87. --Oppenheimer Rising Dividends Fund CL Y (OYRDX)	B	Dividend	L	T	Buy	09/09/11	K		
88. --Prudential Short Term Corporate Bond FD INC Z (PIFZX)	B	Dividend	K	T	Buy	09/09/11	K		
89. --Allianz NFJ International Fund (AFVPX)	A	Dividend	K	T	Buy	04/25/11	K		
90.					Buy (add'l)	09/09/11	J		
91.									
92. Checking and Money Market Accounts, J.P. Morgan	B	Interest	M	T					
93.									
94. Judicial & Justice Federal Credit Union	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I. Positions & VII. Investments and Trusts

Estate # 1 has no reportable assets for 2011.

VII. Investments and Trusts

Line 4 Columbia Marsico Focused Equities FD B exchanged in toto on 12/15/11 for line 5 Columbia Marsico Focused Eq A (NFEAX).

Line 16 Davis NY Venture FD B exchanged in toto on 1/3/11 for line 17 Davis NY Venture FD A in which some shares were already owned. (See line 17, 2010 Report). Davis NY Venture FD A was sold in toto on 6/15/11.

Line 33 Columbia Marsico Focused Equities FD B exchanged in toto on 12/15/11 for line 34 Columbia Marsico Focused Eq A (NFEAX).

Line 43 Spartan US Bond Index FD formerly known as Fidelity US Bond Index Fund. Name changed on 4/28/11.

Line 59 Columbia Marsico Focused Equities FD B exchanged in toto on 12/16/11 for line 60 Columbia Marsico Focused Eq A (NFEAX).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Sarah S. Vance

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544