

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hanan, Beth E.	2. Court or Organization U.S. Bankruptcy Court, Eastern District of Wisconsin	3. Date of Report 10/07/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 517 E. Wisconsin Ave. Room 140 Milwaukee WI 53202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Financial power of attorney	POA 1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1999-2004	Reinhart Boerner Van Deuren s.c. Retirement Income Plan, with former law firm, no control (defined benefit pension plan) See III-A., line 2 re termination
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	cashed out pension from former law firm - gross amount listed	\$26,101.00
2. 11/16/16	State Bar of Wisconsin - donation in lieu of honorarium	\$75.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Patina Solutions Group Inc. salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. State Bar of Wisconsin	3/3/16-3/4/16	Kohler, WI	Bankruptcy education conference (speaker)	lodging and meals
2. Eastern District of Wis. Bar Ass'n	4/25/16	Milwaukee WI	Legal educational conference (speaker)	registration and meal
3. American Bankruptcy Institute	6/16-17/16	Lake Geneva WI	Bankruptcy education conference (speaker)	lodging and meals
4. State Bar of Wisconsin	11/16/16	Milwaukee WI	Bankruptcy seminary (speaker)	registration and meal
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	credit card	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Morgan Stanley Liquid Asset Fund	A	Interest	J	T					
2. Franklin Mutual Global Discovery	C	Dividend	L	T	Sold (part)	03/11/16	J		
3. MFS Global Alternative Strategy aka MFS Diverse Target Return	A	Dividend	K	T	Sold (part)	03/11/16	J		
4. Oppenheimer Developing Markets	A	Dividend	L	T	Buy (add'l)	03/11/16	J		
5.					Sold (part)	03/11/16	J	A	
6. Oppenheimer Equity Income	C	Dividend	M	T	Sold (part)	03/11/16	J		
7.					Buy (add'l)	03/11/16	J		
8. PIMCO Commodity Real Return Strategy	A	Dividend			Sold	03/11/16	K	A	
9. Touchstone Sands Capital Select Growth	D	Dividend	L	T	Buy (add'l)	03/11/16	J		
10.					Sold (part)	03/11/16	J	A	
11. Voya Global Real Estate aka ING Global Real Estate	A	Dividend	K	T	Buy (add'l)	03/11/16	J		
12.				T	Sold (part)	03/11/16	J	A	
13. Voya MidCap Opportunities aka ING MidCap Opportunities	C	Dividend	L	T	Sold (part)	03/11/16	J		
14. Jackson Variable Annuity - Jackson National S&P Managed Aggr. Growth	C	Int./Div.	M	T					
15. Patina Solutions Inc. common stock		None	L	T					
16. Patina Solutions Inc. preferred stock	C	Dividend	K	T					
17. Patina Solutions Inc. stock options		None	K	T					

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 F ~\$50,001 - \$100,000	C ~\$2,501 - \$5,000 G ~\$100,001 - \$1,000,000	D ~\$5,001 - \$15,000 H1 ~\$1,000,001 - \$5,000,000	E ~\$15,001 - \$50,000 H2 ~More than \$5,000,000
2. Value Codes	J ~\$15,000 or less (See Columns C1 and D3)	K ~\$15,001 - \$50,000 N ~\$250,001 - \$500,000	L ~\$50,001 - \$100,000 O ~\$500,001 - \$1,000,000	M ~\$100,001 - \$250,000 P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000 P4 ~More than \$50,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) U = Book Value	V = Other	S = Assessment W = Estimated	T = Cash Market

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18.	Howick Associates 1/3 interest in PFG common stock		None			Sold	05/09/16	J	
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1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I filed the Confidential List of Charitable Donation in Lieu of Honrarium with my first amended report.

In March 2016 we sold some of our interest in mutual funds/401k held at Morgan Stanley and gave cash to [REDACTED]. Value range K.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Beth E. Hanan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544