

FINANCIAL DISCLOSURE REPORT FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Strombom, Karen L.	2. Court or Organization District Court - Washington	3. Date of Report 06/23/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2016 to 4/28/2017
7. Chambers or Office Address U.S. Courthouse #3244 1717 Pacific Avenue Tacoma, WA 98402-3243		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1990	PERS II Retirement, State of Washington, pension at retirement
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	State of Washington Department of Retirement Systems - retirement	\$36,863.10
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Self-employed building designer
2. 2017	State of Washington Dept. of Retirement Systems - retirement
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federal Magistrate Judges Association	April 2 - 4, 2017	Portland, Oregon	Annual Meeting	Meals, hotel and transportation
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit Card	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Judicial Retirement Account (H)									
2. -US Large Cap Equity Fund	D	Dividend	L	T					
3. -US Small Cap Equity Index Fund	E	Dividend	L	T					
4. Oppenheimer Main Street Fund Class A (formerly Opp. Equity Fund)(TSA)	D	Dividend	K	T					
5. Jackson Nat'l Life (IRA)	A	Interest	K	T					
6. Jackson Nat'l Life (TSA)	A	Interest	K	T					
7. Strategic Portfolio Service Advantage (IRA #1) (H)									
8. -Ameriprise Insured Money Market	A	Interest	J	T					
9. -Oppenheimer Global Opps-A; Oppenheimer Funds	C	Dividend			Sold	05/17/17	J		
10. -Col Acorn USA-A; Columbia Funds Group	C	Dividend			Sold	03/10/17	K		
11. -Franklin Mutual Discovery	B	Dividend			Sold	02/10/17	J		
12. -Opp Strategic Income	B	Dividend			Sold	05/17/17	K		
13. -Eaton Vance Short Duration-A Eaton Vance Group	C	Dividend			Sold	03/10/17	J		
14. -MFS Intl. Value-A MFS Family of Funds	C	Dividend			Sold	02/10/17	J		
15. -Blackrock Equity Dividend C1 A	D	Dividend			Sold	03/03/17	K		
16. -Fidelity Advisor New Insights C1 A	A	Dividend			Sold	03/03/17	J		
17. -AIM Invesco Bal. Risk	A	Dividend			Sold	02/03/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Blackrock Multi Asset I. Investor	A	Dividend			Sold	03/03/16	J		
19. -Fidelity Adv. Health Care Cl A	A	Dividend			Sold	03/03/17	J		
20. -IVY Mid Cap Growth Cl A	B	Dividend	J	T	Sold (part)	09/14/16	J		
21. -iShares Core S&P 500 ETF	B	Dividend	J	T					
22. -Oppenheimer Global Strat. Income Cl Y	B	Dividend	K	T	Buy	05/17/17	K		
23. -Oppenheimer Global Opptys Cl Y	D	Dividend	J	T	Buy	05/17/17	J		
24. -Blackrock Equity Dividend Instl Cl	A	Dividend	K	T	Buy	03/03/17	K		
25. -Fidelity Advisor New Insights Cl I	A	Dividend	K	T	Buy	03/03/17	J		
26.					Sold (part)	09/14/16	J		
27. -iShares Edge MSCI USA Min. Volatility ETF	B	Dividend	J	T	Buy	02/04/16	J		
28. -Blackrock Multi Asset Income Inst. Cl	A	Dividend	J	T	Buy	03/03/16	J		
29. -Eaton Vance Short Duration Govt Income Cl I	A	Dividend	J	T	Buy	03/10/17	J		
30. -Fidelity Advisor Health Care Cl I		None	J	T	Buy	03/03/17	J		
31. -iShares Core US Aggregate Bond ETF	B	Dividend	J	T	Buy	09/15/16	J		
32. -John Hancock Large Cap Equity Cl A	D	Dividend			Buy	01/05/16	J		
33.					Buy (add'l)	01/12/16	J		
34.					Buy (add'l)	01/19/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	01/26/16	J		
36.					Buy (add'l)	02/02/16	J		
37.					Buy (add'l)	02/09/16	J		
38.					Sold	02/10/17	K		
39. -John Hancock Fdm'l Large Cap Core Cl 1	A	Dividend	K	T	Buy	02/10/17	K		
40. -MFS Intl Value Cl 1	B	Dividend	K	T	Buy	02/10/17	J		
41. -Franklin Mutual Global Discovery Cl Z	A	Dividend	J	T	Buy	02/10/17	J		
42. -Columbia Acorn USA Cl Z	B	Dividend	K	T	Buy	03/10/17	K		
43. Strategic Portfolio Service Advantage (IRA #2) (H)									
44. -Ameriprise Insured Money market	A	Interest	J	T					
45. -Fid.Adv. High Income A	B	Dividend			Sold	03/03/17	J		
46. -Fid.Adv. New Insights A	C	Dividend			Sold	03/03/17	K		
47. -MFS Bond A MFS Family of Funds	A	Dividend			Sold	02/10/17	J		
48. -Fid.Adv.Strat Income-A	A	Dividend			Sold	03/03/17	J		
49. -INV Real Estate -A Invesco Funds	B	Dividend			Sold	03/03/17	J		
50. -AIM Invesco Bal. Risk	A	Dividend			Sold	02/03/16	J		
51. -Blackrock Multi Asset Income	A	Dividend			Sold	03/03/17	J		

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52. -Fidelity Advisor High Income C1 I	A	Dividend	J	T	Buy	03/03/17	J		
53. -Fidelity Advisor New Insights C1 I	B	Dividend	K	T	Buy	03/03/17	K		
54. -MFS Corporate Bond C1 I	A	Dividend	J	T	Buy	02/10/17	J		
55. -Fidelity Advisor Strategic Income C1 I	A	Dividend	J	T	Buy	03/03/17	J		
56. -Invesco Real Estate C1 Y	A	Dividend	J	T	Buy	03/03/17	J		
57. -iShares Edge MSCI Min Volatility	C	Dividend	K	T	Buy	02/04/16	K		
58. -Blackrock Multi Asset Income Instl C1	A	Dividend	K	T	Buy	03/03/17	J		
59. SPS Advantage with One Features (H)									
60. -Ameriprise Insured Money Market		None	J	T					
61. -Inv. Tax Free Intern A Invesco Funds	C	Dividend			Sold	03/03/17	J		
62. -Invesco Ltd Term Mun Income C1 Y	B	Dividend	J	T	Buy	03/03/17	J		
63. KeyBank Cash Accounts	A	Interest	L	T					
64. School Employees Credit Union Cash Account	A	Interest	K	T					

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Line 4: Oppenheimer Equity Fund merged into Oppenheimer Main Street Fund on March 16, 2017
2. Line 13: I initially described this as Eaton Low Duration and it should have been Eaton Vance Short Duration.
3. Line 22: Oppenheimer Global Strat Income CI Y was acquired through a share class exchange with Oppenheimer Strategic Income at Line 12.
4. Line 23: Oppenheimer Global Opptys CI Y was acquired through a share class exchange with Oppenheimer Global Opps-A at Line 9.
5. Line 24: Blackrock Equity Dividend Instl CI was acquired through a share class exchange with Blackrock Equity Divident DI A at line 15.
6. Line 25: Fidelity Advisor New Insights CI I was acquired through a share class exchange with Fidelity Advisor New Insights CI A at line 16.
7. Line 27: IShare Edge MSCI USA Min. Volatility was purchased with the sale of AIM Invesco Bal. Risk at Line 17.
8. Line 28: Blackrock Multi Asset Income Inst. CL was acquired through a share class exchange with Blackrock Multi Asset I. Investor at Line 18.
9. Line 29: Eaton Vance Shore Duration Gov't Income CI I was acquired through a share class exchange with Eaton Vance Short Duration at Line 13.
10. Line 30: Fidelity Advisor Health Care CI I was acquired through a share class exchange with Fidelity Adv. Health Care CI A at Line 19.
11. Line 31: IShares Core US Aggregate Bond ETF was purchased with the part sale of IVY Mid Cap Growth CI a at Line 20 and with the part sale of Fidelity Advisor New Insights CI I at Line 25.
12. Line 32: John Hancock Large Cap Equity CI A was purchased through sweeps from the money market account at Line 8.
13. Line 39: John Hancock Fdmtl Large Cap Core CI I was acquired through a share class exchange with John Hancock Large Cap Equity CI A at Line 32.
14. Line 40: MFS Int'l Value CI I was acquired through a share class exchange with MFS Int'l Value-A at Line 14.
15. Line 41: Franklin Mutual Global Disc. CI. Z was acquired through a share class exchange with Franklin Mutual Discovery at Line 11.
16. Line 42: Columbia Acorn USA CI Z was acquired through a share class exchange with Columbia Acorn USA-A at Line 10.
17. Line 52: Fidelity Advisor High Income CI I was acquired through a share class exchange with Fid. Adv. High Income A at Line 45.
18. Line 53: Fidelity Advisor New Insights CI I was acquired through a share class exchange with Fidelity Advisor New Insights A at Line 46.
19. Line 54: MFS Corporate Bond CI I was acquired through a share class exchange with MFS Bond A at Line 47.
20. Line 55: Fidelity Advisor Strategic Income CI I was acquired through a share class exchange with Fidelity Advisor Strategic Income A at Line 48.
21. Line 56: Invesco Real Estate CI Y was acquired through a share class exchange with Invesco Real Estate-A at Line 49.
22. Line 57: IShares Edge MSCI USA Min Volatility was purchased from the sale of AIM Invesco Bal. Risk at Line 50.
23. Line 58: Blackrock Multi Asset Income Instl CI was acquired through a share class exchange with Blackrock Multi Asset Income at Line 51.
24. Line 62: Invesco Ltd. Term Mun Income CI Y was acquired through a share class exchange with Invesco Tax Free Intern A Invesco Funds at Line 61.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Karen L. Strombom**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544