

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Schreier, Karen E.	2. Court or Organization U.S. District Court, South Dak	3. Date of Report 05/12/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United States District Court 400 S. Phillips Avenue, Rm. 233 Sioux Falls, SD 57104		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.	Member Board of Directors	South Dakota Children's Home Society
3.	Member Board of Directors	Federal Judges Association
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Self-employed (attorney)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federal Judges Association	April 23-25, 2013	Washington, DC	board of directors meeting	hotel, airfare and meals
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BROKERAGE ACCOUNT #1 T. Rowe Price									
2. -EQUITY INCOME FUND	C	Dividend	L	T					
3. -GROWTH STOCK FUND	A	Dividend	L	T					
4. -NEW HORIZONS FUND	D	Dividend	M	T					
5. -SCIENCE AND TECHNOLOGY FUND		None	L	T					
6. -VALUE FUND	C	Dividend	L	T					
7. IRA ACCOUNT #1 RBC Wealth Management									
8. -Prime Money Market Fund	A	Interest	J	T					
9. -PACIFIC LIFE FDS Optimization Moderate Class C fund	A	Int./Div.	L	T					
10. -Pimco FDs Senior Floating Rate FD CL C mutual fund	A	Int./Div.	K	T	Buy	09/05/13	K		
11. -Pimco All Asset Auth Cl C fund	A	Int./Div.			Sold	09/05/13	K		
12. NEW YORK LIFE INSURANCE CO. - ANNUITY	B	Interest	K	T					
13. NEW YORK LIFE UNIVERSAL LIFE POLICY	A	Dividend	K	T					
14. LINCOLN FINANCIAL GROUP UNIVERSAL LIFE INS. POLICY	A	Dividend	J	T					
15. JEFFERSON NATIONAL UNIVERSAL LIFE INS. POLICY	A	Dividend	J	T					
16. METLIFE INS. CO. LIFE INS. POLICY	A	Interest	K	T					
17. COMMUNITY HEALTH LTD. (2/3 UNIT)	C	Distribution	J	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. HOME FEDERAL BANK ACCOUNT	A	Interest	K	T					
19. FIRST DAKOTA TITLE INS. LTD. PTR. (1 UNIT)	G	Distribution	N	T					
20. BROKERAGE ACCOUNT #2 RBC WEALTH MGT									
21. - PRIME MONEY MKT FUND	A	Interest	M	T					
22. -ALLIANCE BERNSTEIN MID CAP GROWTH FUND CL C					Donated				
23. -ALPS ETF TR ALERIAN MLP FUND	A	Dividend	K	T	Buy (add'l)	04/10/13	J		
24. -ALTRIA GROUP INC. COMMON	B	Dividend	K	T					
25. -BERKSHIRE HATHAWAY CL B COMMON		None	L	T					
26. -CITIGROUP INC. COMMON	A	Dividend	J	T					
27. -COCA-COLA COMPANY COMMON	A	Dividend	K	T					
28. -COLGATE-PALMOLIVE COMPANY COMMON	A	Dividend	J	T					
29. -DEERE & CO.-COMMON	A	Dividend	J	T					
30. -EMC CORP. MASS COMMON	A	Dividend	J	T					
31. -GENERAL ELECTRIC COMPANY COMMON	A	Dividend	J	T					
32. -HOME DEPOT INC. COMMON	A	Dividend	K	T					
33. -INTEL CORPORATION COMMON	A	Dividend	J	T					
34. -KRAFT FOODS GROUP INC CL A COMMON	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -MARKET VECTORS ETF BANK & BROKERAGE -ETF FUND	A	Dividend	J	T					
36. -MEDTRONIC INC. COMMON	A	Dividend	K	T					
37. -MERCK & CO. INC. COMMON	A	Dividend	J	T					
38. -MICROSOFT CORP COMMON	A	Dividend	K	T					
39. -MONDELEZ INT. INC.-COMMON	A	Dividend	K	T					
40. -PEPSICO INCORPORATED COMMON	A	Dividend	K	T					
41. -PFIZER INC. COMMON	A	Dividend	J	T					
42. -PHILIP MORRIS INTL INC. COMMON	B	Dividend	L	T					
43. -PROCTOR & GAMBLE CO. COMMON	A	Dividend	J	T					
44. -UIT FIRST TRUST ENERGY PORT. SER. 43-COMMON	A	Dividend	K	T					
45. -UIT FIRST TRUST ENERGY SELECT PORT. SER. 47-COMMON	A	Dividend	K	T	Buy	08/15/13	K		
46. -US BANCORP DEL- COMMON	A	Dividend	J	T					
47. -3M COMPANY COMMON	A	Dividend	J	T					
48. -VAN ECK FUNDS GLOBAL HARD ASSETS FUND CL C	A	Dividend	J	T					
49. -WALGREEN CO COMMON	A	Dividend	J	T					
50. -BP PLC SPONS ADR COMMON	A	Dividend	J	T					
51. -AMERICAN FUNDS EURO PACIFIC GROWTH FD CL A	A	Dividend	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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52. -OPPENHEIMER DEVELOPING MKTS FUND-CL C		None	K	T	Buy (add'l)	04/10/13	J		
53. -ROYAL DUTCH SHELL PLC COMMON	A	Dividend	J	T					
54. -THORNBURG INVT TR INTL VALUE FD CLC MUTUAL FUND	A	Dividend	J	T	Buy	11/15/13	J		
55. -THORNBURG INVT TR DEVELOPING WORLD FD CL C MUTUAL FUND			K	T	Buy	11/15/13	J		
56. -UIT FIRST TRUST EUROPEAN DEEP VALUE SUB 10 DIVID SEC 7 MUTUAL FUND	A	Interest	K	T	Buy	10/31/13	K		
57. -UIT FIRST TRUSY INTL CAP STRENGTH PORT SER 16 MUTUAL FUND	A	Interest	K	T	Buy	08/15/13	K		
58. -ALLIANCE BERNSTEIN GLOBAL BOND FUND INC CL A	A	Int./Div.	K	T					
59. -EATON VANCE MUTUAL FUND EMERGING MARKETS	B	Int./Div.	K	T	Buy (add'l)	07/30/13	J		
60. -PIMCO FDS HI YLD CL C	A	Dividend	K	T					
61. -TEMPLETON GLOBAL BOND FUND- CL C	B	Dividend	K	T	Buy (add'l)	07/30/13	J		
62. --BANK OFAMERICA NA CD	A	Interest	J	T					
63. -EATON VANCE NAT. MUN. TAX EXEMPT BOND F/K/A common	A	Dividend	J	T					
64. -NUVEEN FLAGSHIP ALL-AMERICAN MUNICIPAL BOND FUND CL A	A	Interest	K	T					
65. -THORNBURG LTD TERM MUNICIPAL FUND CL A	A	Interest	K	T					
66. -SO DAK ST. BLD. ATH LES. REV. AMBAC BOND		None			Redeemed	12/02/13	J		
67. -MARICOPA CO. IDA SFM ETM AZ BOND		None	J	T					

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68. -DESCUTES & JEFFERSON CNTYS OR SD #02J		None	K	T					
69. -YUBA CITY CAL. UNI. SCHOOL DIST. BOND		None	J	T					
70. -CHELAN CNTY WA PUD #1 COLUMBIA ELEC. & PUB POWER BOND		None	K	T	Buy	10/04/13	K		
71. -FRANKLIN-MCKINLEY CAL. SCHOOL BOND		None	J	T	Buy	10/17/13	J		
72. -ALLEN TX INDPT. SCHOOL DIST. BOND		None	K	T	Buy	12/03/13	K		
73. -PENN ST. TPK COMMON TPK TOLL ROADS		None	J	T					
74. -PENN. ST. TPK COMMON TPK TOLL ROADS BOND		None	J	T					
75. -METROPOLITAN WASH. DC ARPT. DULLES TOLL ROAD BOND		None	K	T	Buy	05/07/13	K		
76. - PIMCO ALL ASSET AUTH CL C FUND	B	Int./Div.	K	T					
77. -PIMCO FDS PAC INVT MGMT SER REAL ESTATE RET STR CL C		None	K	T	Buy	04/10/13	K		
78. -LINCOLN NATL LIFE AMER. ANNUITY	A	Interest	J	T					
79. IRA ACCOUNT #2 RBC WEALTH MANAGEMENT									
80. -FEDERATED MONEY MKT FD	A	Interest	J	T					
81. -ISHARES TR RUSSELL MIDCAP INDEX FUND	A	Dividend	K	T	Sold (part)	04/10/13	J	A	
82. -VANGUARD ADMIRAL FUNDS INC. S & P 500 GROWTH INDEX FUND	A	Dividend	J	T	Sold (part)	04/10/13	J	A	
83. -VANGUARD ADMIRAL FDS. INC.S & P 500 VALUE INDEX	A	Dividend	K	T	Sold (part)	04/10/13	J	A	

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84. -VANGUARD INTL EQUITY INDEX FUND EMERGING MARKETS	A	Dividend	J	T					
85. -VANGUARD TAX MANAGED FUND DEVELOPED MARKETS ETF	A	Dividend	K	T					
86. -EATON VANCE EMERGING MKTS LOCAL INCOME FUND CL 1	B	Dividend	J	T	Buy	04/10/13	J		
87. -EATON VANCE INCOME FUND BOSTON INC INST CL	A	Dividend	J	T					
88. -EATON VANCE GLOBAL MACRO ABSOLUTE RETURN FUND CL 1	A	Interest	J	T					
89. -EATON VANCE MUT FDS TR FLTG RATE FD CL A	A	Int./Div.	J	T					
90. -FT TEMPLETON GLOBAL BOND A	A	Interest	J	T					
91. -FRANKLIN INVS. SER. TR REAL ESTATE FD ADVISOR CL	A	Interest	J	T					
92. -NEUBERGER BERMAN INC. FDS NEW HIGH INCOME BD FD. INV CL	A	Interest	J	T					
93. -FICO STRIPS SERIES E BOND		None	K	T					
94. -US TREAS. SEC. STRPD. BOND		None	K	T					
95. -FHR 21 21-Z f/k/a FEDL. HOME LOAN MTG. CORP. BOND	A	Interest	J	T					
96. -PIMCO ALL ASSET ALL AUTHORITY MUTUAL FUND (X)	A	Interest	J	T					
97. BROKERAGE ACCOUNT #3 RBC WEALTH MANAGEMENT									
98. -GALENA PARK IND. BOND		None	K	T					
99. -CLACKMUS & WASHINGTON SERV A BOND		None	K	T					
100. -SOUTHERN MINNESOTA MUNI PWR BOND		None	J	T					

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101. -SOUTHERN MN MUN PWR AGY SUPPLY BOND		None	K	T					
102. -PENN ST TPK COMMN TPK TOLL ROADS BOND		None	K	T					
103. - PRIME MONEY MARKET FUND	A	Interest	J	T					
104. FIRST NATIONAL BANK IN SIOUX FALLS ACCOUNT		None	L	T					
105. FIRST NATIONAL BANK IN SIOUX FALLS ACCOUNT		None	J	T					
106. DOUGHERTY & DOUGHERTY LLP	D	Interest	N	W					
107. DISCOVER BANK ACCOUNT	C	Interest	M	T					
108. BROKERAGE ACCOUNT #4 SCHWAB									
109. -SCHWAB GOVT MMK	A	Interest			Closed	06/14/13	L		
110. -MARINA BIOTECH INC. COMMON		None			Sold	11/15/13	J		
111. -SPECTRASCIENCE COMMON		None			Sold	11/15/13	J		
112. -MORGAN STANLEY GROUP INC. BOND	A	Interest			Redeemed	03/18/13	J	A	
113. -MORGAN STANLEY GROUP INC. FLOATING RATE NTS	A	Interest			Redeemed	02/28/13	J	A	
114. BENEFICIARY SEP IRA #1 RBC WEALTH MGT									
115. -SCHWAB GOVT MMF	A	Dividend			Closed	08/15/13	N		
116. -FEDERATED MONEY MARKET	A	Interest	J	T	Open	06/14/13	J		
117. -ALPS ETF TR ALERIAN MLP	A	Dividend	J	T	Buy	08/15/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

118.					Buy (add'l)	09/13/13	J		
119.					Buy (add'l)	10/15/13	J		
120.					Buy (add'l)	11/15/13	J		
121.					Buy (add'l)	12/13/13	J		
122. -ISHARES RUSSELL MID CAP ETF	A	Dividend	J	T	Buy	08/15/13	J		
123.					Buy (add'l)	09/13/13	J		
124.					Buy (add'l)	10/15/13	J		
125.					Buy (add'l)	11/15/13	J		
126.					Buy (add'l)	12/13/13	J		
127. -OAKTREE CAP GROUP LLC	A	Dividend	J	T	Buy	08/15/13	J		
128.					Buy (add'l)	09/13/13	J		
129.					Buy (add'l)	10/15/13	J		
130.					Buy (add'l)	11/15/13	J		
131.					Buy (add'l)	12/13/13	J		
132. -POWERSHARES GLOBAL ETF FUND GLOBAL WATER PORTFOLIO	A	Dividend	J	T	Buy	08/15/13	J		
133.					Buy (add'l)	09/13/13	J		
134.					Buy (add'l)	10/15/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
135.					Buy (add'l)	11/15/13	J		
136.					Buy (add'l)	12/13/13	J		
137. -VANGUARD ADMIRAL FDS INC S&P 500 GROWTH INDEX FD ETF	A	Dividend	K	T	Buy	08/15/13	J		
138.					Buy (add'l)	09/13/13	J		
139.					Buy (add'l)	10/15/13	J		
140.					Buy (add'l)	11/15/13	J		
141.					Buy (add'l)	12/13/13	J		
142. -VANGUARD ADMIRAL FDS INC S&P 500 VALUE INDEX FD ETF SHS	A	Dividend	J	T	Buy	08/15/13	J		
143.					Buy (add'l)	09/13/13	J		
144.					Buy (add'l)	10/15/13	J		
145.					Buy (add'l)	11/15/13	J		
146.					Buy (add'l)	12/13/13	J		
147. -VANGUARD INDEX FUNDS SMALL- CAP VALUE ETF	A	Dividend	J	T	Buy	08/15/13	J		
148.					Buy (add'l)	09/13/13	J		
149.					Buy (add'l)	10/15/13	J		
150.					Buy (add'l)	11/15/13	J		
151.					Buy (add'l)	12/13/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
152. -VANGUARD SECTOR INDEX FUND VANGUARD TELECOMMUNICATION SERVICES ETF	A	Dividend	J	T	Buy	08/15/13	J		
153.					Buy (add'l)	09/13/13	J		
154.					Buy (add'l)	10/15/13	J		
155.					Buy (add'l)	11/15/13	J		
156.					Buy (add'l)	12/13/13	J		
157. -VANGUARD FTSE EMERGING MARKETS ETF FTSE EMERGING INDEX	A	Dividend	J	T	Buy	07/30/13	J		
158.					Buy (add'l)	09/13/13	J		
159.					Buy (add'l)	10/15/13	J		
160.					Buy (add'l)	11/15/13	J		
161.					Buy (add'l)	12/13/13	J		
162. -VANGUARD TAX MANAGED FUND	A	Dividend	K	T	Buy	07/30/13	J		
163.					Buy (add'l)	09/13/13	J		
164.					Buy (add'l)	10/15/13	J		
165.					Buy (add'l)	11/15/13	J		
166.					Buy (add'l)	12/13/13	J		
167. -VANGUARD INTERMEDIATE TERM BOND ETF	A	Interest	K	T	Buy	08/15/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
168.					Buy (add'l)	09/13/13	J		
169.					Buy (add'l)	10/15/13	J		
170.					Buy (add'l)	11/15/13	J		
171. -SPDR BARCLAYS INTERNATIONAL TREASURY BOND ETF	A	Interest	J	T	Buy	07/30/13	J		
172.					Buy (add'l)	09/13/13	J		
173.					Buy (add'l)	10/15/13	J		
174.					Buy (add'l)	11/15/13	J		
175.					Buy (add'l)	12/13/13	J		
176. -EATON VANCE EMERGING MKTS LOCAL FD CL I	A	Interest	J	T	Buy	07/30/13	J		
177.					Buy (add'l)	09/13/13	J		
178.					Buy (add'l)	10/15/13	J		
179.					Buy (add'l)	11/15/13	J		
180.					Buy (add'l)	12/13/13	J		
181. -EATON VANCE INCOME FD BOSTON INC INSTL CL	A	Interest	J	T	Buy	08/15/13	J		
182.					Buy (add'l)	09/13/13	J		
183.					Buy (add'l)	10/15/13	J		
184.					Buy (add'l)	11/15/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
185.					Buy (add'l)	12/13/13	J		
186. -EATON VANCE MUT FUNDS TRUST FLTG RATE HIGH INCOME FD CL I	A	Interest	K	T	Buy	08/15/13	J		
187.					Buy (add'l)	09/13/13	J		
188.					Buy (add'l)	10/15/13	J		
189.					Buy (add'l)	11/15/13	J		
190. -FRANKLIN INVS SECS TR REAL RETURN FD ADVISOR CL	A	Interest	J	T	Buy	08/15/13	J		
191.					Buy (add'l)	08/19/13	J		
192.					Buy (add'l)	09/13/13	J		
193.					Buy (add'l)	10/15/13	J		
194.					Buy (add'l)	11/15/13	J		
195.					Buy (add'l)	12/13/13	J		
196. -FRANKLIN INVS SECS TR FLTG RATE DAILY ACCESS ADVISOR CL	B	Interest	K	T	Buy	08/19/13	K		
197. -FIDELITY ADVISOR FLOATING RATE HIGH INCOME FD CL I	B	Interest	K	T	Buy	08/19/13	K		
198. -HARTFORD MUTUAL FUND INC FLTG RATE HIGH INCOME FD CL I	B	Interest	K	T	Buy	08/19/13	K		
199. -ISHARES IBOX \$ HIGH YIELD CORPORATE BOND ETF	A	Interest	J	T	Buy	8/15/13	J		
200.					Buy (add'l)	09/13/13	J		
201.					Buy (add'l)	10/15/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
202.					Buy (add'l)	11/15/13	J		
203.					Buy (add'l)	12/13/13	J		
204. -POWERSHARES GLOBAL EXCHANGE TRADED FD TR EMERGING MARKETS SOVEREIGN	A	Interest	J	T	Buy	07/30/13	J		
205.					Buy (add'l)	09/13/13	J		
206.					Buy (add'l)	10/13/13	J		
207.					Buy (add'l)	11/15/13	J		
208.					Buy (add'l)	12/13/13	J		
209. -ISHARES TIPS BOND ETF	A	Interest	J	T	Buy	08/15/13	J		
210.					Buy (add'l)	09/13/13	J		
211.					Buy (add'l)	10/15/13	J		
212.					Buy (add'l)	11/15/13	J		
213.					Buy (add'l)	12/13/13	J		
214. -SPDR SERIES TRUST SPDR DB INTL GOVT INFLATION PROTECTED BOND ETF	A	Interest	J	T	Buy	07/30/13	J		
215.					Buy (add'l)	09/13/13	J		
216.					Buy (add'l)	10/15/13	J		
217.					Buy (add'l)	11/15/13	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
218.					Buy (add'l)	12/13/13	J		
219. -PIMCO ALL ASSET ALL AUTHORITY P	A	Int./Div.	J	T	Buy	08/15/13	J		
220.					Buy (add'l)	09/13/13	J		
221.					Buy (add'l)	10/15/13	J		
222.					Buy (add'l)	11/15/13	J		
223.					Buy (add'l)	12/13/13	J		
224. -SPDR INDEX SHS FDS DOW JONES GLOBAL REAL ESTATE ETF			J	T	Buy	08/15/13	J		
225.					Buy (add'l)	09/13/13	J		
226.					Buy (add'l)	10/15/13	J		
227.					Buy (add'l)	11/15/13	J		
228.					Buy (add'l)	12/13/13	J		
229. BENEFICIARY TRAD IRA #2									
230. -SCHWAB GOVT MONEYMARKET FUND	B	Int./Div.			Closed	08/15/13	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

THE ASSETS IN BROKERAGE ACCOUNT #4 ON MY 2012 REPORT WERE EITHER SOLD, REDEEMED, OR TRANSFERRED TO BROKERAGE ACCOUNT #2 RBC WEALTH MANAGEMENT. BROKERAGE ACCOUNT #4 IS NOW CLOSED.

MARIANA BIOTECH (LINE 109) AND SPECTRAVISION (110) WERE SOLD AT A LOSS. AS A RESULT, NO CAPITAL GAIN IS REPORTED.

BANK AMER. CORP. BOND AS REPORTED AT LINE 114 IN MY 2012 REPORT WAS REDEEMED ON 11/23/2012. I INADVERTANTLY FAILED TO INCLUDE THE REDEMPTION ON MY REPORT. THE FOLLOWING INFORMATION SHOULD HAVE BEEN INCLUDED: (B)(1) - BLANK, (B)(2) NONE, (C)(1) AND (2) BLANK; (D)(1) SOLD, (D)(2) 11/23/2012, (D)(3) J; AND THERE WAS NO GAIN.

I ALSO FAILED TO REPORT A PURCHASE ON MY 2012 REPORT. THE ASSET PURCHASED WAS PIMCO ALL ASSET ALL AUTHORITY MUTUAL FUND. IT IS REPORTED ON THIS REPORT AT LINE 95. THE FOLLOWING ADDITIONAL INFORMATION SHOULD HAVE BEEN INCLUDED IN MY 2012 REPORT: (B)(1) A; (B)(2) INT.; (C)(1) J; (C)(2) T; (D)(1) BUY; (D)(2) 08/29/12; (D)(3) J.

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Name of Person Reporting

Schreier, Karen E.

Date of Report

05/12/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Karen E. Schreier**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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