

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Frank, Eric L.	2. Court or Organization ED Pa - Bankruptcy Court	3. Date of Report 01/25/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. Courthouse 900 Market Street - Room 201 Philadelphia, PA 19107		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Author	Collier on Bankruptcy (bankruptcy treatise) (published by Matthew Bender & Co./Lexis Nexis)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Compensation as author from Matthew Bender Co./Lexis-Nexis	\$1,660.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	self-employed attorney for part of the year and "of counsel"
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	U.S. Department of Education	William D. Ford Federal Direct Loan Program	K
2.	SLM Corp.	tuition payment plan (Tufts Univ.)	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AXA Financial, Inc	A	Dividend	J	T					
2.									
3. Conestoga Bank - CD	A	Interest	J	T					
4.									
5. COMMONWEALTH ANNUITY - aggregate disclosure	D	Int./Div.	L	T					
6. ----- Alliance Bernstein Growth and Income									
7. ----- Alliance Bernstein Large Cap Growth									
8. ----- Fidelity VIP Equity Income									
9. ----- GS VIT Capital Growth									
10. ----- GS VIT Core Fixed Income									
11. ----- GS VIT Equity Index									
12. ----- GS VIT International Equity									
13. ----- GS VIT Money Market									
14. ----- Janus Aspen Large Cap Growth									
15. ----- T. Row Price International - common stock									
16.									
17. Equity Income Fund Units First S&P 500 Index Ser Tech	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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18.									
19. Fairview, PA Area - municipal bond	A	Interest	J	T					
20.									
21. Fidelity 403(b) Account - aggregate disclosure	E	Int./Div.	M	T					
22. ----- Fidelity Equity Income Fund - mutual fund									
23. ----- Fidelity Cash Reserves - moneymarket									
24.									
25. Conestoga Bank - Acc't #1 (X)	A	Interest	K	T					
26.									
27. ING Annuity (aggregate disclosure)	B	Int./Div.	J	T					
28. ----- ING Franklin Income									
29. ----- Mutual Shares Securities									
30. ----- ING Templeton Global Growth Portfolio									
31.									
32. Morgan Stanley Acc't #1	A	Int./Div.	J	T					
33. -----First Eagle Sog Glob-mut. fund									
34.									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Morgan Stanley Acc't #2	B	Int./Div.	L	T					
36. ----- Pa St Tpke Commu bond									
37. ----- Morgan Stanley Bank									
38.									
39. Morgan Stanley Acc't #3	A	Int./Div.	L	T					
40. ----- Vanguard Money Market									
41. ----- First Eagle Global Fund CI C									
42. ----- Franklin Templeton Founding Funds Alloc Class C									
43.									
44. Zurich Adv. III - 403(b) TSA	A	Int./Div.	K	T					
45.									
46. Learning Quest 529 - Very Conservative - ACCT #1	A	Dividend	L	T					
47. Learning Quest 529 - Short-Term - ACCT #2	A	Dividend	K	T					
48.									
49. OPPENHEIMER ACCT #1									
50. ----- PNC Money Market	A	Dividend	J	T					
51. ----- American International Group - common stock	A	Dividend	J	T					

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52. ----- AT&T, Inc - common stock	A	Dividend	J	T					
53. -----Boeing Co. - common stock	B	Dividend	L	T					
54. ----- Celcyte Genetics	A	Int./Div.	J	T					
55. -----Comcast Corp. - common stock	A	Dividend	J	T					
56. ----- ConocoPhillips - common stock	A	Dividend	K	T					
57. ----- Corning - common stock	A	Dividend	J	T					
58. ----- DuPont EI de Nemours - common stock	A	Dividend	K	T					
59. ----- Duke Energy Corp. - common stock	A	Dividend	J	T					
60. ----- Express Scripts Hldg Co. - common stock	A	Dividend	J	T					
61. ----- Merck & Co. - common stock	A	Dividend	J	T					
62. ----- Microsoft - common stock	A	Dividend	J	T					
63. ----- Monsanto Co. - common stock	A	Dividend	J	T					
64. ----- Nextera Energy - common stock	A	Dividend	J	T					
65. ----- Pepco Holdings - common stock	A	Dividend	J	T					
66. ----- Pfizer, Inc. - common stock	A	Dividend	J	T					
67. ----- Phillips 66 - common stock	A	Dividend	J	T					
68. ----- Public Storage - common stock	A	Dividend	K	T					

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69. Black Rock Income Tr - mutual fund	A	Dividend	J	T					
70. Black Rock Pa Strat Mun Tr - mutual fund	A	Dividend	J	T					
71. ----- Invesco Pa Val Munc Inc Tr - mutual fund	A	Dividend	J	T	Sold (part)	03/05/13	K	A	
72. Nuveen Pa Prem Inc Mun Fd - mutual fund	A	Dividend	J	T	Buy (add'l)	12/18/13	J		
73. --- WesternAsset/Clymre US Tr Inf - mut. fund - see Part VIII	A	Dividend	K	T					
74. ----- Van Eck Fds Intl Inv Gold Fd	A	Dividend	J	T					
75.									
76. OPPENHEIMER ACCT #2									
77. ----- Advantage Primary Liquid Fund (money market)	A	Int./Div.	K	T					
78. ----- Eaton Vance Ltd - common stock	A	Dividend	J	T					
79. ----- Master Asset Tr - bond	A	Interest	J	T					
80.									
81. OPPENHEIMER ACCT # 3									
82. ----- Advantage Primary Liquid Fund (money market)	A	Int./Div.	L	T					
83. ----- Global Income Fund (mutual fund)	A	Dividend	J	T					
84. ----- Self Storage Group Fd Inc - common stock	A	Dividend	K	T	Buy	11/19/13	K		
85.									

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86. OPPENHEIMER ACCT # 4									
87. ----- Advantage Primary Liquid Fund (money market)	A	Int./Div.	K	T					
88. ----- Global Income Fund (mutual fund)	A	Dividend	J	T					
89.									
90. OPPENHEIMER ACCT #5									
91. ----- Advantage Primary Liquid Fund (money market)	C	Dividend	K	T					
92. ----- Alpine Global Premier PPTYS Fd - mut fund	B	Dividend			Sold	03/27/13	K	B	
93. ----- Blackrock Res & Comm Strat Tr - mut fund	A	Dividend	K	T	Buy	02/11/13	K		
94. CBRE Clarion Global R.E. - mutual fund	A	Dividend	J	T					
95. ----- Cohen & Steers Infrastructure - mutual fund	A	Dividend	K	T					
96. ----- Cushing Realty Fd - mutual fund	A	Dividend	K	T	Buy	09/27/13	K		
97. ----- Eaton Vance Senior Income Trust Fund - mutual fund	A	Dividend	J	T					
98. ----- Eaton Vance Ltd Dur, Inc. - common stock	B	Dividend	J	T					
99. ----- General Electric - common stock	B	Dividend	K	T					
100. ----- Global Income Fund - mutual fund	A	Dividend			Sold	01/23/13	J	A	
101. ----- Western Aset Clym Infl Opp In - mutual fund	A	Dividend	K	T					
102. ----- Loral Space & Comm. - common stock	A	Dividend	J	T					

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103. ----- CenturyLink, Inc. - common stock	A	Dividend	J	T					
104. ----- Hershey - common stock	A	Dividend	K	T					
105. ----- ING Clarion Glb Re Est Incm Fd - mut fund	A	Distribution			Sold	01/23/13	J	A	
106. ----- Mastr Asset 2003-12 6A1 - gov't bond	A	Interest	J	T					
107. ----- Middlesex Water Co. - common stock	A	Dividend			Sold	08/07/13	K	A	
108. ----- Pfizer - common stock	A	Dividend	J	T					
109. ----- Wausau Paper Corp. - common stock	A	Dividend			Sold	04/17/13	K	B	
110.									
111. Oppenheimer ACCT #6	A	Interest	J	T	Buy	04/01/13	J		
112. ----- Advantage bank deposits									
113.									
114. PAL Capital Income IA	A	Dividend	J	T					
115.									
116. PNC Bank - checking & savings accounts	A	Interest	J	T					
117.									
118. Nexterra Energy, Inc. - common stock	A	Dividend	J	T					
119.									

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120. Stiffel Nicolaus - Account #1									
121. ----- Hanover PA Area Sch Dist bond	A	Interest	J	T					
122. ----- Pa St. Tpk Cmmn Oil Franchise Tax Rev bond	A	Interest			Redeemed	12/02/13	J	A	
123. ----- Wilkes Barre Pa RFDG SER E ASRD GTU bond	A	Interest	J	T					
124.									
125. Stiffel Nicolaus - Account #2									
126. ----- money market	A	Int./Div.	J	T					
127. ----- Marple Newtown Pa Sch Dist bond	A	Interest	J	T					
128. ----- Lower Swatara Twp bond	A	Interest	J	T					
129.									
130. Seneca Valley, PA - municipal bond	A	Interest	J	T					
131.									
132. T. Rowe Price International mutual fund	A	Dividend	J	T					
133.									
134. TRUST #1 - AGGREGATE OWNERSHIP	D	Int./Div.	M	T					
135. -----Schwab Money Market Fund									
136. ----- Schwab Cash Reserves									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. ----- American Express									
138. ----- Apache Corp. - common stock					Buy (add'l)	03/14/13	J		
139. ----- Boeing Co. - common stock									
140. ----- Broadcom Corp. - common stock									
141. ----- Colgate-Palmolive Co. - common stock									
142. ----- Conoco Phillips Co. - common stock					Buy	03/14/13	J		
143. ----- Diageo PLC - common stock					Buy	01/15/13	J		
144. ----- Disney, Walt - common stock					Sold (part)	09/24/13	J	C	
145. ----- Du Pont - common stock									
146. ----- Exxon Mobil Corp. - common stock									
147. ----- Fed Ex Corp. - common stock									
148. ----- FMC Technologies - common stock									
149. ----- GlaxoSmithKline - - common stock					Sold	11/19/13	K	A	
150. ----- Home Depot, Inc. - common stock									
151. ----- Honeywell Int'l - common stock									
152. ----- Lab Corp America Holdg									
153. ----- McDonald's Corp. - common stock					Buy	11/19/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. ----- Microsoft Corp. - common stock									
155. -----Nordson Corp. - common stock					Buy	01/15/13	J		
156. ----- Pepsico, Inc. - common stock									
157. ----- Proctor & Gamble - common stock									
158. ----- Southern Co. - common stock					Sold	03/14/13	K	C	
159. -----South Jersey Inds., Inc. - common stock									
160. ----- Stryker Corp. - common stock									
161. ----- Texas Instruments - common stock									
162. ----- Tiffany & Co. NEW - common stock									
163. ----- Under Armour, Inc. - common stock									
164. ----- Valley National Bancorp									
165. ----- Verizon Communications - common stock									
166. ----- VF Corp. - common stock									
167. ----- Wash Real Est Inv Trust SBI REIT									
168.									
169. TIAA-CREF									
170. ----- TIAA traditional annuity	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. ----- CREF bond market	A	Interest	J	T					
172.									
173. T. Rowe Price - brokerage account - aggregate disclosure									
174. ----- T. Rowe Price European St - mut. fund	B	Int./Div.	J	T					
175. ----- T. Rowe Price Small Cap - mut. fund	D	Int./Div.	M	T					
176.									
177. US Treasury securities	A	Interest	J	T					
178.									
179. Van Eck Global Fund	A	Dividend	J	T					
180.									
181. Vanguard Money Market Funds									
182. ----- Federal Money Market	A	Interest	J	T					
183. ----- Prime Money Market	A	Interest	J	T					
184.									
185. Wells Fargo - sav; checking; CD and Roth IRA	A	Int./Div.	J	T					
186.									
187.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Line 159 - Southern Co. was reported sold in financial disclosure for 2012. That was partly incorrect. It was only sold in part. The additional stock sale is being reported in 2013.
2. Line 169 - TIAA-CREF account is very small and was inadvertently overlooked on prior reports.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Eric L. Frank

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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