

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Nunley, Troy L.	2. Court or Organization United States District Court, Eastern District of California	3. Date of Report 01/27/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Court Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 501 I Street, Courtroom Sacramento, California 95814		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	School	
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Nunley, Troy L.

Date of Report

01/27/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2013	Sacramento County Superior Court - salary	\$50,290.00
2. 2013	[REDACTED] - salary	\$7,436.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2013	[REDACTED] salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

Nunley, Troy L.

Date of Report

01/27/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	AT&T Universal Card (Citibank)	Credit Card	J
2.		Tuition	J
3.	Fedloan Servicing	Loan	K
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Nunley, Troy L.

Date of Report

01/27/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Sacramento Credit Union - cash account		None	K	T			J		
2. Sacramento Credit Union - IRA (X)	G	Interest			Closed	07/25/13	J		
3. Pacific Life - IRA (X)	G	Interest	P1	T	Buy	08/01/13	M		
4. -PSF Asset Allocation Portfolia									
5. Cetera - IRA (X)	G	Int./Div.	P1	T	Buy	07/31/13	M		
6. -Pershing Prime Reserves									
7. -Blackrock Equity Dividend Fund									
8. -Franklin Income Fund Advisor Class									
9. -Gabelli Small-Cap Growth Fund									
10. -Hartford Capital Appreciation Fund									
11. -Metropolitan West High Yield Bond									
12. -Natixis U.S. Multi-Cap Equity Fund									
13. -Pimco Income Institutional Class									
14. -RS Floating Rate Fund Class Y									
15. -T Rowe Price Capital Appreciation									
16.									
17.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Nunley, Troy L.

Date of Report

01/27/2015

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18.									
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FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Nunley, Troy L.

Date of Report

01/27/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments and Trusts, Line 2: Sacramento Credit Union - IRA (hereinafter SCU - IRA) was not listed on the prior report since I did not have this account at the time I filed said report. I received a retirement payout in 2013 upon retiring from my previous employment with the State of California; the funds were then placed in the SCU - IRA. I subsequently closed out the SCU - IRA in 2013 and rolled all the funds into the Pacific Life - IRA (listed on Line 3) and the Cetera - IRA (listed on Line 4).

Part VII. Investments and Trusts, Line 3: Pacific Life - IRA was not listed on my prior report since I did not have this account at the time I filed said report. These funds were rolled over from my SCU - IRA into the Pacific Life - IRA in 2013.

Part VII. Investments and Trusts, Line 4: Cetera - IRA was not listed on my prior report since I did not have this account at the time I filed said report. These funds were rolled over from my SCU - IRA into the Cetera - IRA in 2013.

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Nunley, Troy L.

Date of Report

01/27/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Troy L. Nunley**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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