

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Almond, Lincoln D.	2. Court or Organization United States District Court	3. Date of Report 04/25/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full-time United States Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address United States District Court District of Rhode Island Two Exchange Terrace, Room 208 Providence, RI 02903		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015

Resilient Kids -- Wages

2. 2015

Greater Providence YMCA -- Wages

3. _____

4. _____

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Rensselaer Polytechnic Institute	Tuition Agreement	J
2.	University of Rhode Island	Tuition Agreement	J
3.			
4.			
5.			

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Almond, Lincoln D.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Washington Trust Accts	A	Interest	J	T					
2. John Hancock Freedom 529 (#3) College Portfolio C2-No Control		None			Sold (part)	01/14/15	J		
3.					Buy	03/10/15	J		
4.					Buy	04/07/15	J		
5.					Buy	06/08/15	J		
6.					Sold	7/22/15	J		
7. Commonwealth Financial Network-IRA #1									
8. --Russell Global Real Estate Sec. Fd. Class S	A	Dividend			Sold	05/06/15	K		
9. --AQR Internat'l Momentum Fund		None			Sold (part)	02/20/15	J		
10.					Sold	03/24/15	J		
11. --AQR Large Cap Momentum Fund Style 1			K	T	Sold (part)	02/20/15	J		
12.					Sold (part)	03/24/15	J		
13. --AQR Small-Cap Momentum Fund		None			Sold	07/24/15	K		
14. --ASG Global Alternatives Fund Y	A	Dividend	K	T					
15. --DFA Emerging Mkts Small Cap	A	Dividend	J	T					
16. --DFA Emerging Mkts Core Equity	A	Dividend	K	T					
17. --DFA Internat'l Core Equity	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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18. --DFA US Large Cap Value PRTF	A	Dividend	K	T					
19. --DFA US Small Cap Portfolio Instl	B	Dividend	K	T					
20. --Doubleline Total Bond Fund	B	Dividend	K	T					
21. --Loomis Sayles Bond Instl	B	Dividend	K	T					
22. --Bank Deposit Sweep		None			Sold	01/30/15	J		
23. --DFA US Core Equity II	A	Dividend	L	T					
24. --DFA Global Real Estate		None	K	T	Buy	05/06/15	K		
25. --Wisdomtree Tr. Europe Hedged Eq.	A	Dividend	K	T	Buy	03/26/15	K		
26. Commonwealth Financial Network IRA #2									
27. --Russell Global Real Estate Sec. Fd-Class S	A	Dividend			Sold	05/06/15	J		
28. --Russell Invt Co Commodity Strategies Fd-Class S		None			Sold	05/06/15	J		
29. --AQR Internat'l Momentum Fund	A	Dividend	J	T					
30. --AQR Large Cap Momentum Fund Style 1	A	Dividend	J	T					
31. --AQR Small Cap Momentum Fund	A	Dividend	J	T					
32. --ASG Global Alternatives Fund Y	A	Dividend	J	T					
33. --DFA Emerging Mkts Core Equ Portfolio	A	Dividend	J	T					
34. --DFA Internat'l Core Equ.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. --DFA US Large Cap Value Portfolio Instl	A	Dividend	J	T					
36. --DFA US Small Cap Portfolio Inst.	A	Dividend	J	T					
37. --Doubleline Total Return Bond Fund	A	Dividend	J	T					
38. --Loomis Sayles Bond Inst.	A	Dividend	J	T					
39. --Bank Deposit Sweep Program (Y)									
40. --DFA Global Real Estate Sec Portfolio	A	Dividend	J	T	Buy	05/06/15	J		
41. Commonwealth Financial Network Joint Acct									
42. --Russell Inv. Co. Global Real Estate Sec. Fund-Class S	A	Dividend	J	T					
43. --AQR Tax Managed Internat'l Momentum	A	Dividend	J	T					
44. --AQR Tax Managed Large Cap Momentum	A	Dividend	J	T					
45. --AQR Tax-Managed Small Cap Momentum	A	Dividend	J	T					
46. --ASG Global Alternatives Fund Y	A	Dividend	J	T					
47. --DFA Internat'l Core Equity	A	Dividend	J	T					
48. --DFA TA US Core Equity 2 Portfolio	A	Dividend	K	T					
49. --Bank Deposit Sweep Program (Y)									
50. --DFA Emerging Markets Core Equity Portfolio	A	Dividend	J	T					
51. --DFA Emerging Mkts Small-Cap Portfolio	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Almond, Lincoln D.

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52. --DFA Internat'l Small Company Portfolio	A	Dividend	J	T					
53. --Doubleline Total Return Bond Fund Class I	A	Dividend	J	T					
54. --Loomis Sayles Bond Fund Inst. Class	A	Dividend	J	T					
55. Commonwealth Financial Network-Z									
56. --Russell Lifepoints Eqty Growth Strategy Fund (Y)									
57. Commonwealth Financial Network - C									
58. --FT Unit 3995 Technology Select Port		None			Sold	02/05/15	J		
59. --Russell Lifepoints Eqty Growth Strat. C	A	Dividend	J	T	Buy	02/12/15	J		
60.					Buy	05/20/15	J		
61. Citizens Bank Account	A	Interest	L	T					
62. Bank of America Accounts (Y)									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

LINE 12: Previously "AQR Momentum Fund" Description changed to more accurately describe fund

LINE 32: Previously "AQR Momentum Fund" Description changed to more accurately describe fund

LINE 47: Description changed from "Natixis Funds Trust II Global Alternatives Fund Y" to more accurately match statement.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Lincoln D. Almond**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544