

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) O'Malley, Kathleen M.	2. Court or Organization U.S. Court of Appeals for the Federal Circuit	3. Date of Report 08/06/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. Court of Appeals for the Federal Circuit 717 Madison Place NW Washington DC 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

O'Malley, Kathleen M.

Date of Report

08/06/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	Self-employed (attorney)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Naples Roundtable	January 14-17, 2017	Naples, FL	Annual Meeting	Airfare
2. Conner Inn of Court	January 18-21, 2017	New York, NY	Annual Dinner	Train fare, meal, lodging
3. Arizona State Univ. SOL	January 27-28, 2017	Phoenix, AZ	Award Dinner	Airfare, meals, lodging, ground transportation
4. AIPLA	February 2-5, 2017	Ft Lauderdale, FL	Mid-Winter Meeting	Train fare, meals, lodging, ground transportation
5. Arizona State Univ. SOL	March 22-24, 2017	Phoenix, AZ	Educational Program	Airfare, meals, lodging, ground transportation

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting	Date of Report
O'Malley, Kathleen M.	08/06/2018

6.	Fordham Law School	April 19-23, 2017	New York, NY	Educational Program	Train fare, meals, lodging, ground transportation
7.	Boston Patent Law Assoc	May 12-13, 2017	Boston, MA	Annual Dinner	Airfare, meals, lodging, ground transportation
8.	Berkeley Law	May 15-18, 2017	San Francisco, CA	Educational Program	Airfare, meals, lodging, ground transportation
9.	Zurich IP	September 7-10, 2017	Zurich, Switzerland	Annual Meeting	Airfare, meals, lodging, ground transportation
10.	Federal Circuit Bar Association	September 23-26, 2017	London, UK	Educational Program	Airfare, meals, lodging, ground transportation
11.	Univ. of Pennsylvania	October 18-26, 2017	Tokyo, Japan	Educational Program	Airfare, meals, lodging, ground transportation
12.	Univ. College of London	October 31-Nov 2, 2017	London, UK	Educational Program	Airfare, meals, lodging, ground transportation

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

O'Malley, Kathleen M.

Date of Report

08/06/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

O'Malley, Kathleen M.

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08/06/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Citibank (various accounts)	A	Interest	N	T					
2. Castle Harlan Affiliates IV-A, LLP		None	J	U					
3. Castle Harlan Partners V, LLP		None	K	U					
4. CHP V Affiliates AIV, LP		None	K	U					
5. Mid-Atlantic Fund of Funds II, LP	A	Interest	K	V					
6. AEI Alien Series B Inv II, LLC		None	K	V					
7. Taskrabbit, Inc.		None			Sold	10/25/17	N		
8. Sun Basket, Inc		None	M	V					
9. Covington & Burling Partnership LLP	A	Int./Div.	P1	V					
10. Advanced Battery Concepts, LLC		None	K	V					
11. Cloud9 Charts, Inc		None	K	V					
12. Lois Kitchen LLC		None	K	V	Buy	02/22/17	J		
13.					Buy (add'l)	04/15/17	J		
14. Lois Wine Bar (note)	B	Interest	K	T					
15. Rembrandt Partners IV, L.P		None	N	V	Buy	01/06/17	K		
16.					Buy (add'l)	03/22/17	L		
17.					Buy (add'l)	07/28/17	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

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☐ NONE (No reportable income, assets, or transactions.)

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18. IRA #1 (H)									
19. Schwab Adv Cash Reserve Prem. (SWZXX)	A	Interest	K	T					
20. Baird Aggregate Bond Inst Fund (BAGIX)	B	Dividend	K	T					
21. Dodge & Cox Int'l Stock Fund (DODFX)	A	Dividend	K	T					
22. Hotchkis & Wiley Hi Yield (HWHIX)	B	Dividend	K	T					
23. Pimco Emerging Local Bond Fund (PELBX)	A	Dividend	K	T					
24. Pimco Foreign Bond Fund (PFORX)	A	Dividend	K	T					
25. Vanguard Inflation Protected Securities (VIPSX)	A	Dividend	K	T					
26. Wells Fargo Advtg Int'l Fund (ESICX)		None	K	T					
27. American Fund Europacific Growth (AEGFX)	A	Dividend	J	T					
28. Cohen & Steers Realty Shares (CSRSX)	B	Dividend	K	T					
29. DFA Emerging Mkt Value Portfolio (DFEVX)	A	Dividend	J	T					
30. Dodge & Cox Income Fund (DODIX) (X)	B	Dividend	J	T					
31. Steelpath MLP Select 40 (OSPSX)	B	Dividend	K	T					
32. Pimco Comm Real Estate Return (PCRIX)	A	Dividend	K	T					
33. Ishares Trust S&P 500 Index (IVV)	A	Dividend	K	T					
34. Vanguard Small Cap Fund (VB)	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 11

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35. 401k (H)									
36. Dodge & Cox Stock (DODGX)		None	O	T					
37. JP Morgan Large Cap R6 (JLGMX)		None	O	T					
38. American Beacon Small Cap Val Inst (AVFIX)		None	M	T					
39. Janus Enterprises N (JDMNX)		None	M	T					
40. Lazard Emerging Market Equity Inst (LZEMX)		None	M	T					
41. Oakmark International I (OAKIX)		None	O	T					
42. Metropolitan West Total Return Bond (MWTRX)		None	O	T					
43. Schwab Covington Burlington Pooled Stable Value Fund (X)		None	O	T					
44. Brokerage Account #1 (H)									
45. Baird Inter Muni (BMBIX)	C	Interest	M	T					
46. Goldman Sachs Emerging Markets Debt Fund (GSDIX)	B	Dividend	K	T					
47. Hotchkis & Wiley Hi Yield (HWHIX)	C	Dividend	L	T					
48. Pimco Foreign Bond Fund (PFORX)	A	Dividend	K	T					
49. Vang Inflation Protected (VIPSX)	A	Dividend	K	T					
50. Vang St Exempt Fund (VWSTX)	B	Dividend	M	T					
51. Wells Fargo Adv Int'l (ESICX)		None	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 11

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52. American Fund Europacific (AEGFX)	B	Dividend	K	T					
53. Cohen & Steers Realty (CSRSX)	D	Dividend	L	T					
54. Credit Suisse Comm Return Strategy Fund (CRSOX)	A	Dividend	K	T					
55. Dodge & Cox Int'l Stock Fund (DODFX)	A	Dividend	K	T					
56. Mangate MLP Fund (IMLPX)	C	Dividend	L	T					
57. Ishares Trust S&P 500 (IVV)	B	Dividend	L	T					
58. Ishares MSCI Emerging Market Index (EEM)	A	Dividend	K	T					
59. Vang Small Cap (VB)	A	Dividend	K	T					
60. Brokerage Account #2 (H)									
61. Schwab Adv Cash Reserve Prem. (SWZXX) (Y)									
62. Baird Inter Muni (BMBIX)	C	Dividend	M	T					
63. Goldman Sachs Emerging Markets Debt (GSDIX)	B	Dividend	K	T					
64. Hotchkis & Wiley Hi Yield (HWHIX)	C	Dividend	L	T					
65. Pimco Foreign Bond Fund (PFORX)	A	Dividend	L	T					
66. Vang Inflation Protected (VIPSX)	A	Dividend	K	T					
67. Vang St Exempt Fund (VWSTX)	B	Interest	M	T					
68. Wells Fargo Adv Int'l (ESCIX)	A	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

O'Malley, Kathleen M.

Date of Report

08/06/2018

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69. American Fund Europacific (AEGFX)	B	Dividend	K	T					
70. Cohen & Steers Realty (CSRSX)	C	Dividend	L	T					
71. Credit Suisse Comm Return Strategy (CRSOX)	A	Dividend	K	T					
72. Dodge & Cox Int'l Stock Fund (DODFX)	A	Dividend	K	T					
73. Mangate MLP Fund (IMLPX)	C	Dividend	L	T					
74. Ishares Trust S&P 500 (IVV)	A	Dividend	K	T					
75. Ishares MSCI Emerging Markets Index (EEM)	B	Dividend	L	T					
76. Vang Small Cap (VB)	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting	Date of Report
O'Malley, Kathleen M.	08/06/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column C, lines 5-12 and 15: Value method code "V" indicates cumulative value of funds invested.

Part VII, line 35: In 2017, this account did not report income for individual holdings and now falls within the note, below.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

O'Malley, Kathleen M.

Date of Report

08/06/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Kathleen M. O'Malley

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544