

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Johnson, Nancy K.	2. Court or Organization U.S. District Court	3. Date of Report 05/10/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 515 Rusk, Suite 7019 Houston, Texas 77002	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 12-31-2013

Locke, Lord salary

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vanguard Windsor II IRA	E	Dividend	L	T					
2. Vanguard Star IRA	C	Dividend	K	T					
3. Hewlett Packard Common	B	Dividend	K	T					
4. Intel Common	A	Dividend	K	T					
5. USE Credit Union	A	Interest	J	T					
6. Chase Bank	A	Interest	J	T					
7. Microsoft Common	A	Dividend	J	T					
8. Pfizer Common	A	Dividend	K	T					
9. Kinder Morgan Energy Partners LP	A	Dividend	L	T					
10. Dell Common	D	Distribution			Redeemed	10/29/13	J	D	
11. Citigroup Inc. Common	A	Dividend	J	T					
12. Walmart Common	A	Dividend	K	T	Sold (part)	04/26/13	K	E	
13. Philip Morris, Inc.	B	Dividend	K	T	Buy (add'l)	05/16/13	K		
14. Philip Morris, Inc.	B	Dividend	L	T	Sold (part)	07/23/13	K	D	
15. Kinder Morgan (KMI)	A	Dividend			Buy (add'l)	01/17/13	J		
16. Kinder Morgan (KMI)		None			Sold	03/01/13	K	E	
17. Kinder Morgan (KMI/WS)		None			Sold	03/01/13	J	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Caterpillar (CAT)	A	Dividend	K	T	Buy	01/17/13	K		
19. Caterpillar (CAT)	A	Dividend	K	T	Buy (add'l)	05/13/13	K		
20. Kraft Food Group, Inc.		None			Sold	05/13/13	J	B	
21. Mondelez, Int'l, Inc.	A	Dividend			Sold	05/13/13	J	C	
22. Las Vegas Sands, Inc.	A	Dividend	K	T					
23. Altria Group, Inc.	D	Dividend	M	T					
24. Sirius Satellite XM	A	Dividend	L	T	Buy (add'l)	12/17/13	J		
25. Taiwan Semiconductor	A	Dividend	K	T					
26. CTrip.com Int'l Ltd.		None			Sold	04/09/13	J		
27. General Motors Corp.		None	L	T					
28. BYD Co. Ltd. (China)		None	K	T					
29. General Electric	A	Dividend	J	T	Buy (add'l)	10/17/13	J		
30. Natural Resource Partners (NRP)	C	Dividend	K	T	Buy (add'l)	07/23/13	J		
31. Exxon Mobil	A	Dividend	K	T	Buy	06/18/13	K		
32. Coca-Cola, Inc.	A	Dividend	K	T	Buy	05/02/13	K		
33. Memorial Partners	B	Dividend	K	T	Buy	05/15/13	K		
34. Vanguard Natural Resources LLC (VNR)	B	Dividend	K	T	Buy	07/23/13	K		

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35. Gulf United Energy (GLFE)		None	K	T	Sold (part)	04/23/13	J		
36. Gulf United Energy (GLFE)		None	K	T	Sold (part)	05/03/13	J		
37. Gulf United Energy (GLFE)		None	J	T	Sold (part)	07/11/13	J		
38. Gulf United Energy (GLFE)		None	J	T	Sold (part)	10/03/13	J		
39. Craft Brewers Alliance, Inc.		None	K	T					
40. Vina Concha y Toro (VCO)	A	Dividend			Sold	06/21/13	K	E	
41.									
42.									
43.									
44.									
45.									
46.									
47.									
48.									
49.									
50.									
51.									

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52.									
53.									
54.									
55.									
56.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Nancy K. Johnson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544