

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Alito, Samuel A.	2. Court or Organization United States Supreme Court	3. Date of Report 6/12/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United States Supreme Court 1 First Street, NE Washington, DC 20544		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 9/25/2013	Duke University Law School - Teaching	\$15,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Dallas Bar Association	6/19-21/2013	Dallas, Texas	Conference	Transportation, Meals, Lodging.
2. University of Notre Dame Kellogg Institute for International Studies	7/9-11/2013	Florence, Italy	Conference	Transportation, Meals & Lodging
3. St. Thomas More Society of Nevada	10/22-23/2013	South Bend, Indiana	Speech	Transportation, Meals & Lodging
4. New York Historical Society	11/15-17/2013	New York Historical Society	Film Series and American Constitution Program	Transportation, Meals & Lodging
5. The Union League	11/19-20/2013	Philadelphia, PA	Celebration of the 250th Anniversary of The Gettysburg Address	Meals & Lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vang. Tax Ex Mny Mkt Fund	A	Dividend	M	T					
2. Vang Inter Term Tax Ex Fund	A	Dividend	J	T					
3. Van LT Tax Ex Fund	A	Dividend	J	T					
4. Vang Star Mutual Fund	B	Dividend	K	T					
5. Vang Wellington Mut Fund	D	Dividend	M	T					
6. Van Small Cap Stock Fund	B	Dividend	M	T					
7. Van Total Stock Mkt Index F	C	Dividend	M	T					
8. Windsor II	A	Dividend	K	T					
9. Vanguard TE Mny Mkt	A	Dividend	M	T					
10. XON Common Stock	C	Dividend	M	T					
11. Edward Jones Investment (Cash Account)	A	Interest	J	T					
12. AES Corp (x)	A	Dividend	J	T					
13. Vanguard Target Retirement Acct 2015	A	Dividend	J	T					
14. PNC Bank Accounts	A	Interest	O	T					
15. Andrews Federal Credit Union	A	Interest	J	T					
16. Crane Energy (y)									
17. Carson Energy (x)		None	J	W					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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18.									
19. Edward Jones #1: (H)									
20. - Edward Jones Tax Free MMkt	A	Dividend	M	T					
21. - Bank of China CD	A	Interest			Buy	07/08/13	K		
22.					Redeemed	07/17/13	K		
23. - Discover Bank CD	A	Interest			Buy	07/08/13	J		
24.					Redeemed	08/06/13	J		
25. - Centinela Vy UN High Sch		None	J	T					
26. - Chicago IL Pj & Rfdg Ser A GO 5%	A	Interest	K	T					
27. - Desert CA Cmnty College Dist	A	Interest			Sold	07/08/13	K		
28. - Fairfax Cnty VA Public Impt	A	Interest	J	T					
29. - Fairfax Cnty VA Econ Dev Auth	A	Interest	J	T					
30. - Halifax Cnty VA Indl Dev Auth	B	Interest			Sold	06/03/13	L	A	
31. - IL Dev Fin Citizens Utils Co.	B	Interest	K	T					
32. - In Local Pub Impt Bd Auth Rev	B	Interest	K	T					
33. - Met WA DC Arpts Auth Sys Rev	A	Interest	K	T					
34. - Metro Washington DC Arpts Rev	A	Interest	K	T					

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35. - NJ Econ Dev United Wtr NJ Pj	B	Interest			Sold	07/08/13	K		
36. - NJ Hlth Care Facs Fing Hosp	B	Interest	K	T					
37. - Stafford Cnty & Staunton VA	A	Interest	K	T					
38. - Virginia Comwith Transn Brd		None	J	T					
39. - VA St Res Auth Wtr & Swr Rev		None	J	T					
40. - VA St Res Auth Wtr & Swr Sys		None	J	T					
41. - WI Hlth & Edl Facs Thedacare	B	Interest	K	T					
42. - MS Senior Med Term Nts Step Up	A	Interest			Redeemed	09/30/13	K	A	
43. - US Tips	A	Interest	K	T					
44. - 3M Co	A	Dividend	K	T					
45. - Abbott Laboratories	A	Dividend	J	T					
46. - Abbvie Inc. (Spinoff of Abbott Laboratories)	A	Dividend	K	T					
47. - Automatic Data Processing Inc	A	Dividend	K	T					
48. - Black Hills Corp	B	Dividend	L	T					
49. - Boeing Co	A	Dividend	K	T					
50. - Caterpillar Inc	A	Dividend	K	T					
51. - Centurylink Inc	A	Dividend	J	T					

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52. - Chevron Corp	A	Dividend	K	T					
53. - Clean Energy Fuels Corp		None	J	T					
54. - ConocoPhillips	B	Dividend	K	T					
55. - Cr Bard Inc	A	Dividend	M	T					
56. - E I Du Pont de Nemours & Co	A	Dividend	K	T					
57. - Endo Health Solutions Inc		None	K	T					
58. - Itc Holdings Corp	A	Dividend	K	T					
59. - Jacobs Engineering Group Inc		None	K	T					
60. - Johnson & Johnson	A	Dividend	K	T					
61. - Johnson Controls Inc	A	Dividend	K	T					
62. - JPMorgan Chase & Co	B	Dividend	L	T					
63. - Kraft Foods Inc	A	Dividend	J	T					
64. - Merck & Co Inc. New	B	Dividend	L	T					
65. - Molson Coors Brewing Co	A	Dividend	K	T					
66. - Mondelez International Inc	A	Dividend	J	T					
67. - OGE Energy Corp	C	Dividend	M	T					
68. - Oracle Corp	B	Dividend	M	T					

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69. - Parker Hannifin Corp	A	Dividend	K	T					
70. - Pepsico Inc.	B	Dividend	K	T					
71. - Phillips 66	A	Dividend	K	T					
72. - PNC Bank Corp	A	Dividend	J	T					
73. - Procter & Gamble Co	A	Dividend	K	T					
74. - Realty Income Corp	A	Dividend	J	T					
75. - Schlumberger Limited	A	Dividend	J	T					
76. - Sysco Corp	A	Dividend	J	T					
77. - Target Corp	A	Dividend	J	T					
78. - TJX Cox Inc	A	Dividend	J	T					
79. - United Technologies Corp	A	Dividend	K	T					
80. - Vodafone	A	Dividend							
81. - Wpp PLC ADR	A	Dividend	K	T					
82. - Kinder Morgan Energy Partners	B	Dividend	K	T					
83.									
84. Edward Jones #2 (H)									
85. - Cash & Money Market (x)	A	Dividend	J	T					

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86. - Ishares Russ McValue ETF	A	Dividend	K	T	Buy	01/07/13	J		
87.					Buy (add'l)	01/08/13	J		
88.					Buy (add'l)	01/10/13	J		
89. - Ishares MSCI EAFE Value ETF	B	Dividend	L	T	Buy	01/07/13	K		
90.					Buy (add'l)	01/08/13	K		
91.					Buy (add'l)	01/10/13	J		
92.					Buy (add'l)	01/31/13	J		
93.					Buy (add'l)	06/10/13	J		
94. - Ishares Russ 1000 Growth ETF	A	Dividend	K	T	Buy	01/07/13	J		
95.					Buy (add'l)	01/08/13	J		
96.					Buy (add'l)	01/10/13	J		
97.					Buy (add'l)	06/10/13	J		
98. - Ishares Russ 1000 Value ETF	A	Dividend	K	T	Sold (part)	01/07/13	J	A	
99.					Buy (add'l)	01/08/13	J		
100.					Buy (add'l)	01/10/13	J		
101. - IShares S&P 500 Growth ETF	A	Dividend	K	T	Buy	01/07/13	J		
102.					Buy (add'l)	01/08/13	J		

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103.					Buy (add'l)	01/10/13	J		
104.					Sold (part)	01/31/13	J	A	
105. - SPDR S&P Dividend ETF	B	Dividend	K	T	Buy	01/07/13	J		
106.					Buy (add'l)	01/08/13	J		
107.					Buy (add'l)	01/10/13	J		
108. Vanguard FTSE All-Wrld Exus ETF	B	Dividend	K	T	Buy	01/07/13	J		
109.					Buy (add'l)	01/08/13	J		
110.					Buy (add'l)	01/10/13	J		
111.					Buy (add'l)	01/31/13	J		
112.					Buy (add'l)	06/10/13	J		
113. - Vanguard High Div Yield ETF	B	Dividend	K	T	Buy	01/07/13	K		
114.					Buy (add'l)	01/08/13	K		
115.					Buy (add'l)	01/10/13	J		
116.					Sold (part)	01/31/13	J	A	
117. - Vanguard Mid Cap Growth ETF	A	Dividend	K	T	Buy	01/07/13	J		
118.					Buy (add'l)	01/08/13	J		
119.					Buy (add'l)	01/10/13	J		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	06/10/13	J		
121. - American Century T/F Bd-Int	A	Dividend	K	T	Sold (part)	01/07/13	K		
122.					Buy (add'l)	01/08/13	J		
123.					Buy (add'l)	01/10/13	J		
124.					Buy (add'l)	06/10/13	J		
125. - Buffalo Mid Cap		None			Sold (part)	01/07/13	K	A	
126.					Sold	01/07/13	J	A	
127. - Franklin Fed Int Term T/F Inc	B	Dividend	K	T	Buy	01/07/13	J		
128.					Buy (add'l)	01/07/13	J		
129.					Buy (add'l)	01/08/13	K		
130.					Buy (add'l)	01/10/13	J		
131.					Buy (add'l)	06/10/13	J		
132. - Franklin High Yield T/F Inc	B	Dividend	K	T	Sold (part)	01/07/13	J		
133.					Buy (add'l)	01/08/13	J		
134.					Buy (add'l)	01/10/13	J		
135.					Buy (add'l)	06/10/13	J		
136. MFS Municipal Income	B	Dividend	K	T	Buy	01/07/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	01/08/13	J		
138.					Buy (add'l)	01/10/13	J		
139.					Buy (add'l)	06/10/13	J		
140. - T. Rowe Price Summit Muni Int	A	Dividend	K	T	Buy	01/07/13	J		
141.					Buy (add'l)	01/08/13	J		
142.					Buy (add'l)	01/10/13	J		
143.					Buy (add'l)	06/10/13	J		
144. - T. Rowe Price TE MMkt	A	Dividend	J	T	Buy	01/07/13	J		
145.					Buy (add'l)	01/08/13	J		
146.					Buy (add'l)	01/10/13	J		
147.					Buy (add'l)	06/10/13	J		
148. - Tax Exempt Bond Fund of America	B	Dividend	K	T	Sold (part)	01/07/13	K		
149.					Buy (add'l)	01/08/13	K		
150.					Buy (add'l)	01/10/13	J		
151.					Buy (add'l)	06/10/13	J		
152. - Thornburg Limited Term Muni	B	Dividend	L	T	Buy	01/07/13	K		
153.					Buy (add'l)	01/08/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	01/10/13	J		
155.					Buy (add'l)	06/10/13	J		
156. Wells Fargo Adv S/T Muni Bd	A	Dividend	K	T	Sold (part)	01/07/13	K		
157.					Buy (add'l)	01/08/13	J		
158.					Buy (add'l)	01/10/13	J		
159.					Buy (add'l)	06/10/13	J		
160. TRADITIONAL IRA: (H)	A	Dividend	K	T					
161. -Capital World Growth & Income Fund CI A									
162. -Eaton Vance Mutual Funds Trust Gov't Oblig CI A									
163. - Goldman Sachs Growth & Incom Strategy Fund CI A					Sold (part)	07/10/13	J	A	
164. - Income Fund of America CI A									
165. - Putnam Dynamic Asset - Allocation Growth Fund CI A									
166. - Putnam Dynamic Asset Allocation Balanced Fund CI A									
167.									
168. Mineral Interest, Grady County, OK (x)		None	L	W					
169.									
170. Prudential Financial Inc (x)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.									
172. Estate #1	E	Dividend	P1	T					
173. - Morgan Stanley Bank N.A. (x)									
174. - American Electric Power (x)									
175. - Apache Corp (x)									
176. - AT&T Inc (x)									
177. - Bristol Myers Squibb Co (x)									
178. - Coca Cola Co (x)									
179. - Exxon Mobil Corp (x)									
180. - General Electric Co (x)									
181. - JPMorgan Chase & Co (x)									
182. - Procter & Gamble (x)									
183. - Vodafone GP PLC ADS New (x)									
184. - Zimmer Hldgs Inc (x)									
185. - PNC Bank (x)									
186. - Roma Bank (x)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 80 Dividend received in 2013 on shares sold in December 2012.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Samuel A. Alito**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544