

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Zilly, Thomas S.	2. Court or Organization United States District Court	3. Date of Report 04/25/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 700 Stewart Street, Suite 15229 Seattle, WA 98101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

Income from glass art sales.

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AADV M.M. Fund/Key Bank	A	Interest	J	T					
2. Microsoft	E	Dividend	O	T	Sold (part)	04/11/13	K	E	
3. Microsoft					Sold (part)	11/06/13	K	E	
4. Merck Co.	A	Dividend	K	T					
5. First Washington Pershing - Acct Gen. Money Market Fund CLB	A	Interest			Closed	03/13/13	M		Transfer to Schwab, see 14
6. OHTA DBA Whispering Pines Property, El Paso, Texas	A	Rent	J	W					
7. Trust 1	C	Interest	N	T					See Note 1
8. Trust 2	B	Interest	M	T					See Note 1
9. Intel Corp.	B	Dividend	K	T					
10. Amgen Incorporated	B	Dividend	M	T					
11. Amazon.com Inc.		None	N	T	Sold (part)	02/04/13	M	E	
12. Intl Business Machines (IBM)	B	Dividend	L	T					
13. United Parcel Service B	B	Dividend	K	T					
14. Schwab MM	E	Interest	M	T					
15. Key Bank Account	A	Interest	K	T					
16. TGC Inds. Inc.		None	J	T					
17. ETFC		None			Sold	11/26/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. FFIV		None	M	T					
19. Evergreen Solar Inc.		None			Sold	11/26/13	J	A	
20. Paccar Inc.	A	Dividend	K	T					
21. Valero Energy Corp.	A	Dividend	K	T					
22. EMC		None	K	T					
23. Provident Energy TR into Pembina Pipeline	B	Dividend	K	T					
24. Market Vectors ETF		None	J	T					
25. SPDR - Ser. TR Lehman Bros. Bonds	A	Interest	J	T					
26. Ford Motor Co.	B	Dividend			Sold	05/21/13	K	E	
27. Qualcomm	B	Dividend	L	T					
28. Apple	B	Dividend	M	T	Sold (part)	01/25/13	L	E	
29. Apple	A	Dividend	K	T	Buy (add'l)	02/04/13	K		
30. Contango Ore Inc.		None			Sold	07/23/13	J	A	
31. Triquint Semi Conductor		None	K	T					
32. Pace Oil & Gas LTD		None			Sold	07/23/13	J	A	
33. Zillow		None	K	T					
34. Facebook		None			Sold	05/23/12	K	A	Not reported last year.

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Verizon	B	Dividend	K	T	Sold (part)	12/31/12	K	C	See note 2
36. Costco	A	Dividend	L	T					
37. Starbucks	A	Dividend	L	T					
38. Thor Industries	B	Dividend	L	T	Buy	08/06/13	L		
39. Tableau Software		None	J	T	Buy	09/04/13	J		
40. Biotelemetry, Inc.		None	K	T	Buy	09/04/13	J		
41. Biotelemetry, Inc.		None	K	T	Buy (add'l)	11/21/13	J		
42. State Street Corp.	A	Dividend	J	T	Buy	09/04/13	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note 1: [REDACTED] is beneficiary only of Trust 1 and 2. Trusts held interest in various stocks. Reporting individual's [REDACTED] received income from Trusts. Total income to [REDACTED] from all Trusts had income code D.

Note 2: Verizon was reported sold in 2012; It should have been reflected as a partial sale in 2012.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Thomas S. Zilly

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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