

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ROSENSTENGEL, NANCY J.	2. Court or Organization UNITED STATES DISTRICT COURT-EAST ST. LOUIS	3. Date of Report 05/09/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) FEDERAL JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address UNITED STATES DISTRICT COURT MELVIN PRICE FEDERAL BUILDING AND COURTHOUSE 750 MISSOURI AVENUE ROOM 104 EAST ST. LOUIS, ILLINOIS 62201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2017

BONIFIELD AND ROSENSTENGEL, INC. ATTORNEY AT LAW-SALARY

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	FCB BANK	MORTGAGE ON LAW FIRM BUILDING-SPOUSE	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. REGIONS BANK	A	Interest	K	T					
2. BONIFIELD AND ROSENSTENGEL STOCK	A	Dividend	N	W					
3. HUNTLEIGH IRA AND JOINT ACCTS (h)									
4. -HUNTLEIGH BANK DEPOSIT SWEEP ACCOUNT	A	Interest	J	T	Redeemed (part)	02/28/17	J		
5. -FLEXSHARES TRUST QUAL DIV INDEX FD	A	Dividend	J	T	Buy (add'l)	02/28/17	J		
6. -ISHARES ET US CONSUMER GOODS	A	Int./Div.	J	T	Buy (add'l)	02/28/17	J		
7. -ISHARES MSCI JAPANETF NEW	A	Int./Div.	J	T	Buy	02/28/17	J		
8. -MORGAN STANDLEY TECH ET MTK	A	Int./Div.			Sold	03/03/17	J	A	
9. -PIMCO INVESTMENT ET GRADE CORP BOND INDEX FD	A	Interest	J	T	Buy (add'l)	02/28/17	J		
10. -PIMCO 0-5 YR HIGH ET GRADE CORP BOND INDEX FD	A	Interest	J	T	Buy (add'l)	02/28/17	J		
11. -POWERSHARES KBW REGIONAL BANKING	A	Int./Div.	J	T	Buy (add'l)	02/28/17	J		
12. -SELECT SECTOR SPDR CONSUMER STAPLES	A	Int./Div.	J	T	Buy (add'l)	02/28/17	J		
13. -SPDR BLOOMBERG ET BARCLAYS SHORT TERM HIGH YIELD BN ETF	A	Int./Div.	J	T	Buy (add'l)	02/28/17	J		
14. -SPDR NYSE TECHNOLOGY EFT	A	Int./Div.	J	T	Buy	02/28/17	J		
15. -SPDR S&P HEALTH CARE ETF SERVICE	A	Int./Div.	J	T	Buy (add'l)	02/28/17	J		
16. -VANGUARD INTERMEDIATE TERM CORP BOND	A	Interest	J	T	Buy (add'l)	02/28/17	J		
17. -VANGUARD FTSE DEVELOP MRK ETF	A	Interest	J	T	Buy	08/02/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -VANGUARD SHORT TERM ETF CORP BD	A	Interest	K	T	Buy (add'l)	01/24/17	J		
19.					Sold (part)	02/27/17	J	A	
20.					Sold (part)	06/12/17	J	A	
21. -WISDOMTREE EUROPE SMALL CAP DIV FUND	A	Int./Div.	J	T	Buy (add'l)	09/11/17	J		
22. -WISDOMTREE LOW P/E FUND	A	Int./Div.			Sold	02/28/17	J	A	
23. WISDOMTREE TRUST EUROPE HEDGE EQUITY FD	A	Int./Div.			Buy	06/02/17	M		
24.					Sold	08/02/17	M	A	
25. -FRANKLIN CUSTODIAN FDS INCOME SER ADV	A	Dividend	J	T					
26. FRANKLIN INCOME FUND A ACCT#2	A	Dividend	J	T					
27. BRIGHT STAR EQUITYCL H ACCT#1 BASED 10-11	A	Dividend	J	T					
28. BRIGHT STAR EQUITYCL H ACCT #2 BASED 12-14	A	Dividend	J	T					
29. BRIGHT STAR EQUITYCL H ACCT #3 BASED 15-17	A	Dividend	J	T					
30. UMB ACCT #1 COCA COLA STOCK	A	Dividend	J	T					
31. UMB ACCT #2 COCA COLA STOCK	A	Dividend	J	T					
32. UMB ACCT #3 COCA COLA STOCK	A	Dividend	J	T					
33. EDWARD D JONES ACCT #1 PUTNAM MULTI-CAP GROWTH FUND	A	Dividend	J	T					
34. EDWARD D JONES ACCT #2 PUTNAM MULTI-CAP GROWTH FUND	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. EDWARD D JONES ACCT #3 PUTNAM MULTI-CAP GROWTH FUND	A	Dividend	J	T					
36. NML LIFE INSURANCE-WHOLE LIFE	C	Dividend	M	T					
37. COUNTRY COMPANIES WHOLE LIFE INSURANCE CV	B	Dividend	L	T					
38. HARTFORD LIFE INSURANCE POLICY-CASH VALUE (X)	B	Dividend	K	T					
39. JJB PROFIT SHARING PLAN (H)									
40. -WISDOM TREE LOW P/E	B	Int./Div.			Buy	02/28/17	K		
41.					Sold	06/02/17	K	C	
42. -WISDOM TREE EUROPE SM CAP DIV SERV	B	Int./Div.			Buy	02/28/17	J		
43.					Buy (add'l)	02/28/17	J		
44.					Sold	12/22/17	K	C	
45. -MORGAN STANLEY TECH	B	Int./Div.			Buy (add'l)	02/28/17	J		
46.					Sold	12/22/17	J	A	
47. -SPDR BARCLAYS SHORT TERM YIELD BOND	B	Int./Div.			Sold	12/22/17	K	B	
48. -SPDR S&P HEALTH CARE SERV	B	Int./Div.			Buy (add'l)	02/28/17	J		
49.					Sold	12/22/17	K	B	
50. -SELECT SECTOR SPDR T CONSUMER STAPLES	C	Int./Div.			Buy (add'l)	02/28/17	K		
51.					Sold	12/22/17	M	D	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -PIMCO INVESTMENT GRADE CORP BOND INDEX	C	Int./Div.			Buy (add'l)	02/28/17	J		
53.					Sold	12/22/17	K	A	
54. -PIMCO 0-5 YR HIGH YIELD CORP BOND	B	Int./Div.			Buy (add'l)	02/28/17	J		
55.					Sold	12/27/17	K	A	
56. -ISHARE MSCI JAPAN	B	Int./Div.			Buy (add'l)	02/28/17	J		
57.					Sold	12/22/17	J	A	
58. -ISHARE US CONSUMER GOODS	D	Int./Div.			Buy (add'l)	02/28/17	K		
59.					Sold	12/22/17	M	D	
60. -FLEXSHARES TRUST QUALITY DIV INDEX FUND	D	Int./Div.	N	T	Buy (add'l)	02/28/17	K		
61. -VANGUARD FTSE ETF DEVELOPED MARK ETF	D	Int./Div.	M	T	Buy	08/02/17	M		
62. -VANGUARD INTERMEDIATE EFT TERM CORP BOND	C	Dividend	K	T	Buy	02/28/17	J		
63. -WISDOMTREE TRUST EUROPE HEDGE EQUITY FD					Buy	06/12/17	M		
64.					Buy (add'l)	07/13/17	L		
65.					Sold	08/01/17	M	A	
66. -VANGUARD SHORT TERM CORP TERM BOND BD	B	Interest			Buy (add'l)	01/24/17	N		
67.					Buy (add'l)	09/11/17	M		
68.					Sold (part)	02/27/17	K	A	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.					Sold	12/22/17	N	A	
70. -POWER SHARES KBW REGINAL BANK	C	Int./Div.			Sold	12/22/17	L	D	
71. -JP MORGAN 100% US TREASURY SECURITIES MONEY MARKET	A	Interest	N	T	Sold (part)	12/27/17	O	A	
72. -SPDR S&P HEALTH CARE ETF SERVICES	A	Int./Div.			Buy	02/28/17	J		
73.					Sold	12/22/17	J	A	
74. -SPDR BLOOMBERG ETF BARCLAYS SHORT TERM HIGH YIELD BOND ETF	A	Int./Div.			Buy	02/28/17	J		
75.					Sold	12/22/17	J	A	
76. -VANGUARD TELECOMMUNICATIONS SERVICES	A	Int./Div.			Buy	02/28/17	J		
77.					Sold	12/22/17	J	A	
78. -WISDOMTREE TR US QUALITY SHAREHOLDER YELD	B	Int./Div.			Buy	02/28/17	K		
79.					Sold	12/22/17	K	A	
80. OFFICE PROPERTY, BELLEVILLE, IL ACQUIRED 12/31/12 \$150,000 PRICE	D	Rent	M	R					
81.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

LINE 39 THROUGH 79, PART VII, INVESTMENTS AND TRUST, IS THE JJB PROFIT SHARING PLAN AND IS FORMALLY KNOWN AS THE JERALD J BONIFIELD PROFIT SHARING PLAN TRUST. THE REPORTING PERSON'S SPOUSE. IS THE TRUSTEE, A PARTICIPANT AND OWNS 60% OF THE ASSETS OF THE PROFIT SHARING TRUST AS SHOWN ON PART VII. THE PLAN IS, HOWEVER, MANAGED BY A PROFESSIONAL INVESTMENT ADVISOR AND THE REPORTING PERSON HAS NO KNOWLEDGE OF THE PLANS TRANSACTIONS NOR HAS ACCESS TO THE DATA.

ALL PERSONAL ITEMS ARE REPORTED ON LINES 1 THROUGH 38 ON THE SAME REPORT PAGE OF PART VII.

LINE 80 COLUMN A REFLECTS AN INVESTMENT IN OFFICE BUILDING, COST BASIS \$150,000, LOCATED BELLEVILLE, ST. CLAIR COUNTY, ILLINOIS
AND WAS ACQUIRED 12/31/2012. THE LAW FIRM OF SPOUSE OF REPORTING PERSON OCCUPIES THE ENTIRE BUILDING.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ NANCY J. ROSENSTENGEL**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544