

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Arguello, Christine M.	2. Court or Organization District of Colorado	3. Date of Report 05/14/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address Alfred A. Arraj U.S. Courthouse 901 19th St., A638 Denver, CO 80294		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Board Member	Center for Legal Inclusiveness - Volunteer
2.	Chair	Arguello Dream Team - Volunteer
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	United States Judge	\$165,819.72
2. 2011	Matthew Bender & Co., Inc. - Book Royalties	\$2,359.16
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Boulder Valley School District - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	University of Colorado	Reduced price for two Club Seat Football Tickets	\$4,600.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	AES Student Loan	Co-signor on student loans	L
2.	SWBC Mortgage	Rental Property #2	M
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Investment Property #1 Pueblo, CO		None	M	R					
2. Investment Property #2, Arvada, CO	D	Rent	N	R	Buy	04/08/11	N		Leo and Lena Hoonakker
3. Chase Bank Accounts	A	Interest	J	T					
4. Wells Fargo Bank Accounts	A	Interest	J	T					
5. First Bank	A	Interest	J	T					
6. MetLife Insurance Policy		None	J	T					
7. ALSRX	B	Distribution	J	T	Sold (part)	5/9/11	J	A	
8. CRIZX		None	J	T	Buy	3/30/11	J		
9. CRMMX	B	Distribution	J	T	Sold (part)	5/9/11	J	A	
10. CRMMX		None			Buy (add'l)	12/15/11	J		
11. CRSOX		None			Buy	02/23/11	J		
12. CRSOX		None			Sold	11/4/11	J	A	
13. DGOYX	B	Distribution	J	T	Sold (part)	05/09/11	J	A	
14. DGOYX		None			Sold (part)	06/23/11	J	A	
15. DGOYX		None			Sold (part)	11/04/11	J	A	
16. EIHMX		None	J	T	Buy	02/01/11	J		
17. EIHMX		None			Sold (part)	07/11/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. GHYYX		None	K	T	Buy (add'l)	07/22/11	J		
19. GHYYX		None			Sold (part)	02/01/11	J	A	
20. GHYYX		None			Sold (part)	02/23/11	J	A	
21. GHYYX		None			Sold (part)	3/16/11	J	A	
22. GHYYX	A	Distribution			Sold (part)	05/09/11	J	A	
23. HASCX		None	J	T					
24. HGOIX		None	J	T	Sold (part)	02/01/11	J	A	
25. HGOIX	B	Distribution			Sold (part)	05/09/11	J	A	
26. IGNIX		None	J	T	Sold (part)	02/01/11	J	A	
27. IGNIX		None			Buy (add'l)	11/4/11	J		
28. JCVIX		None	J	T	Buy	11/04/11	J		
29. LSIIX		None	J	T					
30. MALVX		None			Buy (add'l)	02/01/11	J		
31. MALVX		None			Sold (part)	03/16/11	J	A	
32. MALVX		None			Sold	06/23/11	J	A	
33. MRLAX		None			Sold	06/06/11	J	A	
34. NGIPX		None	J	T	Buy	07/22/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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35. NHMRX		None	J	T	Buy	03/30/11	J		
36. NHMRX		None			Sold (part)	07/11/11	J	A	
37. NPJIX		None	J	T	Buy	07/22/11	J		
38. ODVYX		None			Sold (part)	02/01/11	J	A	
39. ODVYX		None			Sold	02/23/11	J	A	
40. PTTPIX	A	Dividend			Buy	01/21/11	J		
41. PTTPIX		None			Sold	02/02/11	J	A	
42. PTTRX*		None			Merged (with line 40)	01/21/11	J		
43. RAIMX	B	Distribution	J	T	Sold (part)	05/09/11	J	A	
44. SAMBX		None	J	T	Buy	02/01/11	J		
45. SAMBX	B	Distribution			Sold (part)	05/09/11	J	A	
46. TGBAX		None			Sold	03/30/11	J	A	
47. TVIFX		None	J	T	Buy (add'l)	03/28/11	J		
48. OIFIX		None	J	T					
49. OIIEIX		None	J	T					
50. OILGX		None	J	T					
51. OILVX		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. OISGX		None	J	T					
53. OISVX		None	J	T					
54. Jackson Natl Life Ins. Co Perspective II 05/05		None	J	T					
55. Metlife Invs Ins Prods L Share Variable Annuity		None	J	T					
56. Metlife Invs Ins Prods Variable Annuity Class AA		None	L	T					
57. Nationwide Life Ins Co Destination B		None	K	T					
58. Jackson Natl Life Ins. Co Perspective II 05/05		None	K	T					
59. ECL	A	Dividend	J	T					
60. PERA - No control		None	K	T					
61. JP Morgan US GOV MMF	F	Distribution			Sold	03/29/11	L	A	
62. GG		None			Buy	02/22/11	K		
63. GG		None			Sold (part)	03/01/11	K	B	
64. GG		None			Buy (add'l)	03/01/11	K		
65. GG		None			Buy (add'l)	03/02/11	K		
66. GG		None			Buy (add'l)	03/03/11	K		
67. GG		None			Buy (add'l)	03/10/11	J		
68. GG		None			Sold (part)	03/18/11	J	A	

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69. GG		None			Buy (add'l)	03/21/11	J		
70. GG		None			Buy (add'l)	03/23/11	K		
71. GG		None			Sold (part)	04/05/11	K	A	
72. GG		None			Sold (part)	04/06/11	K	A	
73. GG		None			Buy (add'l)	04/15/11	J		
74. GG		None			Sold (part)	05/12/11	J	A	
75. GG		None			Sold	05/16/11	J	A	
76. PIP		None			Buy (add'l)	02/14/11	K		
77. PIP		None			Sold (part)	02/22/11	K	A	
78. PIP		None	K	T	Buy (add'l)	06/21/11	K		
79. PIP		None			Sold (part)	10/04/11	J	A	
80. SGYP		None			Buy	5/16/11	J		
81. SGYP		None			Buy (add'l)	05/19/11	J		
82. SGYP		None			Sold	06/21/11	J	A	
83.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 1 - property purchased in 1999 for \$172,727

Part VII, line 2 - property purchased on 4/8/2011 for \$260,000

Part VII, line 42 - share class exchange occurred on 1/21/11, PTTRX was exchanged for PTPX

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Christine M. Arguello**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544