

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Shields, Anne Y.	2. Court or Organization U.S. Court	3. Date of Report 11/03/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full Time Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address United States Federal Courthouse 100 Federal Plaza Central Islip, NY 11722		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Secretary and Board Member (through 03/01/2015)	Brooklyn Defender Services
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014-2015	Law Offices of James P. Clark, PC - salary	\$6,545.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	CSC Holdings LLC - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. HSBC accounts	A	Interest	L	T					
2.									
3. NY 529 #1 Conservative Option	A	Int./Div.	J	T					
4. NY 529 #2 Conservative Option	C	Int./Div.	J	T	Buy (add'l)	01/14/15	J		
5.					Sold (part)	07/13/15	J	B	
6.					Sold (part)	11/18/15	J	B	
7. Fidelity IRA (Holdings below at 8-20):	E	Int./Div.	N	T					
8. Fidelity IRA Cash Reserve									
9. Fidelity Asset Manager 50% FASMX									
10. Fidelity Contrafund FCNTX									
11. Fidelity IRA Magellan Fund									
12. Fidelity IRA Puritan Fund									
13. Fidelity IRA Growth & Income Fund									
14. Fidelity IRA Blue Chip Growth Fund									
15. Fidelity IRA Select Energy Fund									
16. AMC Networks Inc AMCX									
17. Cablevision Sys Corp NY Group CVC									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	L ~\$50,001 - \$100,000 M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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18. Lockheed Martin Corp LMT									
19. MSG Network Inc CL A MSGN					Buy	08/01/15	J		
20. Madison Square Garden Co New CL A MSG									
21. AXA Brokerage Acct #1 (below at 22-32):									
22. AXA Brokerage Acct #1 Optimum Small Mid Cap Fund "OISGX"	A	Int./Div.	J	T					
23. AXA Brokerage Acct #1 Optimum Small Mid Cap Growth Fund "OISGX"	A	Int./Div.	J	T	Sold (part)	11/13/15	J	A	
24. AXA Brokerage Acct #1 Optimum Fixed Income Fund "OIFIX"	B	Int./Div.	K	T					
25. AXA Brokerage Acct #1 Optimum INTL Fund "OIHFX"	A	Int./Div.	J	T	Buy (add'l)	11/13/15	J		
26. AXA Brokerage Acct #1 Optimum Small Cap Growth "OISVX" (X)	A	Int./Div.	J	T					
27. AXA Brokerage Acct #1 Optimum Small Cap Value "OISVX" (X)	A	Int./Div.	J	T	Sold (part)	11/13/15	J	A	
28. AXA Brokerage Acct #1 Optimum Large Cap Value Fund "OILVX"	A	Int./Div.	K	T	Sold (part)	11/13/15		A	
29. "OILVX"					Sold (part)	01/09/15	J	A	
30. AXA Brokerage Acct #1 Optimum Large Cap Growth Fund "OILGX"	A	Int./Div.	K	T	Buy (add'l)	12/11/15	J		
31. "OILGX"					Sold (part)	11/13/15	J	A	
32. AXA Brokerage Acct #1 Cash Account	A	Interest	J	T	Buy	11/16/15	J		
33. AXA IRA Acct (below at 34-41):	A	Int./Div.	M	T					
34. AXA IRA Acct OIFX Fund					Buy (add'l)	12/11/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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35. AXA IRA Act OIIEF Fund					Buy (add'l)	11/13/15	J		
36. AXA IRA Acct OISVX Fund					Sold (part)	11/13/15	J	A	
37. AXA IRA Acct OISGX Fund					Buy (add'l)	12/11/15	J		
38. AXA IRA Acct OILVX Fund					Sold (part)	11/13/15	J	A	
39. AXA IRA Acct OILGX Fund					Buy (add'l)	12/11/15	J		
40. AXA IRA Acct Money Market Fund					Buy (add'l)	11/16/15	J		
41. AXA IRA Acct Money Market Fund					Buy (add'l)	01/13/15	J		
42.									
43. Vanguard IRA (below at 44-45):	B	Int./Div.	K	T					
44. Vanguard IRA Balanced Index Fund									
45. Vanguard IRA STAR Fund									
46.									
47. Employer 401(k) (below at 48-58):									
48. Employer 401K Vanguard MD CP Idx IS PL Fund	B	Int./Div.	L	T	Sold (part)	06/16/15	J	A	
49.					Sold (part)	10/13/15	J	A	
50. Employer 401K Vanguard Inst Index Plus Fund	C	Int./Div.	M	T	Buy	06/16/15	J		
51.					Sold (part)	10/13/15	J	A	

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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52. Employer 401K Fftw Income Plus Fund	A	Int./Div.	J	T					
53. Employer 401K Sptn Intl Index FAI Fund	C	Int./Div.	M	T	Sold (part)	06/16/15	J	A	
54.					Sold (part)	10/13/15	J	A	
55. Employer 401K Wells Fargo Stable Value E Fund		None	J	T	Buy (add'l)	06/16/15	J		
56.					Sold (part)	10/13/15	J	B	
57. Employer 401K LS Core PL FXINC	A	Int./Div.	K	T	Buy	10/13/15	J		
58. Cablevision Cash Balance Pension Plan - see Part VIII	B	Interest	M	T					
59.									
60. Trust Holdings (below at 61-251).	E	Int./Div.	O	T					
61. Fidelity Trend									
62. Computershare 8th Jubilee Fix 10 Y									
63. Westwood Large Cap Value									
64. Trust Brokerage Acct #1 (below at 65-70) - see Part VIII:									
65. Bank of America account									
66. NY State Municipal Bond Ser E OID Dec11 03.750% Dec15 2031									
67. NYC Municipal WFA WTR-SS RV Second AA NPF0 Oct07 04.750% Jun15 2033									
68. NY State Municipal Bond Ser A OID Mar13 03.500% Mar01 2043									

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69. Stock Exxon Mobil Corp XOM									
70. Stock Oracle Corp. ORCL									
71.									
72. Trust Brokerage Acct #2 (below at 73-183) - see Part VIII:									
73. BIF NY Municipal Money (X)									
74. Activision Blizzard Inc.					Buy	10/20/15	J		
75.					Buy (add'l)	10/21/15	J		
76. Aetna Inc. New									
77. Alphabet Inc Cl C									
78. Alphabet Inc Cl A									
79. Altria Group Inc.					Buy	07/07/15	J		
80.					Buy (add'l)	07/08/15	J		
81.					Buy (add'l)	08/05/15	J		
82.					Buy (add'l)	09/09/15	J		
83. Amgen Inc Com PV									
84. AMDocs Limited									
85. American International Group Inc.									

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86. Apple Inc.					Buy (add'l)	09/09/15	J		
87. ASTRAZENECA PLC SPND ADR (Y)					Sold (part)	08/05/15	J	A	
88.					Sold (part)	11/18/15	J	A	
89.					Sold	11/19/15	J	A	
90. Berkshire Hathaway Inc.									
91. BP PLC									
92. Brocade Communications									
93. Carnival Corp Paired Shs					Buy (add'l)	02/27/15	J		
94.					Buy (add'l)	03/02/15	J		
95.					Buy (add'l)	04/08/15	J		
96. CIGNA Corp					Buy (add'l)	04/09/15	J		
97.					Buy (add'l)	04/10/15	J		
98.					Buy (add'l)	08/05/15	J		
99. CISCO Systems Inc					Buy (add'l)	07/09/15	J		
100. CITIGROUP									
101. COGNIZANT TECH SOLUTIONS					Buy (add'l)	04/08/15	J		
102.					Buy (add'l)	04/09/15	J		

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103.					Buy (add'l)	04/10/15	J		
104.					Buy (add'l)	06/10/15	J		
105. COMCAST CORP NEW					Sold	11/23/15	J	B	
106. CVS HEALTH CORP					Sold	07/14/15	J	B	
107. DELTA AIRLINES INC (X, Y)					Sold (part)	01/21/15	J	A	
108.					Sold	01/22/15	J	A	
109. DISCOVER FINL SVCS (Y)					Sold (part)	10/23/15	J	A	
110.					Sold	10/26/15	J	A	
111. DISNEY WALT CO (Y)					Sold (part)	08/12/15	J	A	
112.					Sold	09/14/15	J	B	
113. DOW CHEMICAL CO									
114. EMC CORPORATION MASS (Y)					Sold (part)	08/10/15	J	A	
115.					Sold	08/11/15	J	A	
116. EXXON MOBIL CORP									
117. FACEBOOK INC.									
118. FEDEX CORP DELAWARE COM					Buy (add'l)	04/27/15	J		
119.					Buy (add'l)	07/09/15	J		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. HALLIBURTON COMPANY (X,Y)					Sold	04/08/15	J	A	
121. GILEAD SCIENCES INC					Buy	11/18/15	J		
122. GOLDMAN SACHS GROUP INC.									
123. GOOGLE INC CL A (Y)									
124. GOOGLE INC. SHS CL C (Y)									
125. HESS CORP (Y)					Sold (part)	06/15/15	J	A	
126.					Sold	06/15/15	J	A	
127. HEWLETT PACKARD (X,Y)					Sold	04/08/15	J	A	
128. HOME DEPOT INC									
129. HUMANA INC					Buy (add'l)	09/09/15	J		
130. INTEL CORP									
131. JOHNSON AND JOHNSON (X, Y)					Sold	04/08/15	J	A	
132. JPMORGAN CHASE & CO									
133. LABORATORY CP AMER HLDGS									
134. LAS VEGAS SANDS CORP (Y)					Sold	06/10/15	J	A	
135. LEAR CORP (X)									
136. LENNAR CORP					Buy (add'l)	09/09/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	09/23/15	J		
138. LOWE'S COMPANIES INC									
139. MARATHON PETROLEUM CORP (Y)					Sold (part)	08/05/15	J	B	
140.					Sold (part)	08/06/15	J	A	
141.					Sold (part)	09/09/15	J	A	
142.					Sold	09/10/15	J	B	
143. MASTERCARD INC					Sold (part)	09/09/15	J	A	
144. MCKESSON CORPORATION									
145. MERCK AND CO INC SHS (X, Y)					Sold (part)	04/08/15	J	A	
146.					Sold	04/09/15	J	A	
147. MICRON TECHNOLOGY INC (Y)					Sold (part)	06/10/15	J	A	
148.					Sold	07/09/15	J	A	
149. MICROSOFT CORP					Buy (add'l)	01/15/15	J		
150. MOLSON COOR BREW CO CL B									
151. NORFOLK SOUTHERN CORP (X)					Sold	04/08/15	J	A	
152. OMNICOM GROUP					Buy	11/18/15	J		
153.					Buy (add'l)	11/19/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. ORACLE CORP					Buy (add'l)	06/10/15	J		
155.					Sold (part)	11/16/15	J	A	
156. PARKER HANNIFIN CORP									
157. PFIZER INC					Buy (add'l)	09/09/15	J		
158. PPG INDUSTRIES INC SHS (Y)					Sold (part)	08/05/15	J	A	
159.					Sold	09/22/15	J	B	
160. PUB SVC ENTERPRISE GRP									
161. QUALCOMM INC (Y)					Sold (part)	04/08/15	J	A	
162.					Sold	09/09/15	J	A	
163. RAYTHEON CO DELAWARE NEW					Buy	06/10/15	J		
164.					Buy (add'l)	06/11/15	J		
165.					Buy (add'l)	06/12/15	J		
166. ROSS STORES INC									
167. SCHLUMBERGER LTD					Buy (add'l)	09/09/15	J		
168. SOUTHWEST AIRLNS CO					Buy (add'l)	09/09/15	J		
169. SUNCOR ENERGY INC									
170. SUNTRUST BKS INC									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. TEVA PHARMACTCL INDS ADR									
172. TRAVELERS COS INC									
173. TYCO INTL PLC SHS (X,Y)					Sold (part)	04/27/15	J	A	
174.					Sold	04/27/15	J	A	
175. UNION PACIFIC CORP (Y)					Sold	06/10/15	J	A	
176. UNITEDHEALTH GROUP INC									
177. UNIVERSAL HEALTH SVCS									
178. US BANCORP (NEW)									
179. VALERO ENERGY CORP NEW					Buy (add'l)	09/09/15	J		
180. WAL-MART STORES INC (Y)					Buy	04/08/15	J		
181.					Sold	07/07/15	J	A	
182. WSTN DIGITAL CORP DEL (Y)									
183. 3M COMPANY									
184.									
185. Trust Brokerage Acct #3 (below at 186-251) - see Part VIII:									
186. Bank of America, N.A. (X)									
187. Abbott Labs									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Abbvie Inc Shs									
189. Altria Group Inc.									
190. Analog Devices Inc									
191. Automatic Data Proc									
192. Baxter Interntl Inc (X,Y)					Sold (part)	02/24/15	J	A	
193.					Sold	02/25/15	J	A	
194. BB&T Corporation					Buy	07/23/15	J		
195.					Buy (add'l)	10/02/15	J		
196. BCE Inc									
197. Blackrock Inc									
198. Chevron Corp (Y)					Sold	08/25/15	J	A	
199. CISCO Systems Inc									
200. Coca Cola Com									
201. Crown Castle REIT Inc (X)									
202. Emerson Elec Co									
203. Fastenal Company					Buy	04/29/15	J		
204.					Buy (add'l)	04/30/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Gallagher Arthur J & Co									
206. General Electric					Sold (part)	08/03/15	J	A	
207. Genuine Parts Co									
208. Hasbro Inc									
209. Healthcare REIT Inc									
210. Hershey Company (X)									
211. Home Depot Inc					Buy (add'l)	02/26/15	J		
212.					Buy (add'l)	04/14/15	J		
213. JM Smucker Co									
214. Johnson & Johnson Com					Buy (add'l)	08/25/15	J		
215. JPMorgan Chase & Co.					Buy (add'l)	02/24/15	J		
216. Kimberly Clark									
217. Kinder Morgan Inc. Del									
218. Kraft Foods Group Inc (X,Y)					Sold (part)	04/14/15	J	A	
219.					Sold	04/15/15	J	A	
220. Lockheed Martin Corp									
221. Lyondellbasell Industrie									

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F ~\$50,001 - \$100,000 J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Marsh & McLennan Cos Inc					Sold	12/08/15	J	A	
223. Maxim Intergrated Prods									
224. McDonalds Corp (Y)					Sold (part)	01/27/15	J	A	
225.					Sold	06/25/15		A	
226. Merck and Co Inc Shs									
227. Microsoft Corp									
228. Nextera Energy Inc Shs									
229. Novartis ADR (Y)					Sold	07/22/15	J	A	
230. Occidental Pete Corp Cal					Buy	08/25/15	J		
231.					Buy (add'l)	11/11/15	J		
232. Paychex Inc									
233. Pepsico Inc									
234. Pfizer Inc (Y)					Buy (add'l)	01/14/15	J		
235.					Buy (add'l)	01/27/15	J		
236. Philip Morris Intl Inc.									
237. PNC Fincl Services Group					Buy (add'l)	01/20/15	J		
238. Price T Rowe Group Inc.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Shields, Anne Y.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. Procter & Gamble Co (X,Y)					Sold	04/29/15	J	A	
240. Public Storage REIT (X)									
241. Qualcomm Inc									
242. Spectra Energy Corp									
243. Target Corp					Buy (add'l)	06/25/15	J		
244. US Bancorp (New) (Y)					Sold (part)	01/20/15	J	A	
245.					Sold	10/02/15	J	A	
246. Ventas Inc									
247. Wee Energy Group Inc (X)									
248. Welltower Inc (X)									
249. Williams Companies Del					Sold	12/08/15	J	A	
250. Wisconsin Energy Corp									
251. 3M Company									
252.									
253.									
254.									
255.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 58: the Cablevision Cash Balance Pension Plan does not hold any investments other than cash.

Part VII, lines 65-70, 73-183, and 186-251: please note that all the trust holdings listed in this report relate to 1 trust. The trust has various brokerage accounts and investments. Within the trust, three brokerage accounts are listed separately for internal record-keeping purposes:

- Trust Brokerage Acct #1 (line 64)
- Trust Brokerage Acct #2 (line 72)
- Trust Brokerage Acct #3 (line 185)

All three accounts are held by the trust listed on line 60. Therefore, the brokerage accounts' income and value are included in Columns B and C of line 60 on an aggregate basis.

Please note that this presentation follows the initial amended report, with income and value being reported on an aggregate basis on line 47 for Trust Holdings. The 3 brokerage accounts were listed separately but their income and value were reflected in line 47:

- Trust Brokerage Acct #1 (line 51)
- Trust Brokerage Acct #2 (line 58)
- Trust Brokerage Acct #3 (line 120)

It is fully understood that aggregate reporting will not be accepted for the 2016 filing - we will report the income and value for each asset individually.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Anne Y. Shields

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544