

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hayden, Katharine S.	2. Court or Organization US District Court - DNJ	3. Date of Report 05/14/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address United States District Court USPO & Courthouse Building Newark, New Jersey 07101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 1/17-12/17

Partner, Law Firm - Pashman Stein Walder Hayden PC, Hackensack, NJ

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BROKERAGE ACCOUNT #1 (H)									
2. - Arbor Realty Trust Inc. Common Stock	A	Dividend	J	T					
3. - Consolidated Edison Inc. Common Stock	A	Dividend	K	T					
4. - Duke Energy Corp New Common Stock	A	Dividend	J	T					
5. - Enterprise Prods Partners LP Common Stock	B	Distribution	K	T	Buy (add'l)	12/27/17	J		
6. - GlaxoSmithKline PLC SP ADR Common Stock	A	Dividend	J	T					
7. - Kimberly Clark Corp. Common Stock	A	Dividend	K	T					
8. - JP Morgan Chase & Co. Common Stock	A	Dividend	K	T					
9. - Pfizer Inc. Common Stock	A	Dividend	K	T					
10. - Sasol Ltd., Spons. ADR Common Stock	A	Dividend	J	T					
11. - Walt Disney Co. Common Stock	A	Dividend	K	T					
12. - Aqua America Inc. Common Stock	A	Dividend	K	T					
13. - AT&T Inc. Common Stock	B	Dividend	K	T	Buy (add'l)	09/26/17	J		
14. - Honeywell Intl Inc. Common Stock	A	Dividend	K	T					
15. - Microsoft Corp Common Stock	A	Dividend	K	T					
16. - Mitsubishi UFJ Financial Group Inc. ADR Common Stock	A	Dividend	J	T					
17. -Procter & Gamble Co. Common Stock	A	Dividend	K	T	Sold (part)	08/03/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - Wal-Mart Stores Inc. Common Stock	A	Dividend	J	T					
19. - CVS Caremark Corp. Common Stock	A	Dividend	J	T					
20. - McDonalds Corp Common Stock	A	Dividend	K	T					
21. - Wells Fargo & Co Common Stock	A	Dividend	K	T					
22. - Bristol Myers Squibb Co. Common Stock	A	Dividend	K	T					
23. - Conagra Foods Inc. Common Stock	A	Dividend	K	T					
24. - Deere & Co. Common Stock	A	Dividend	K	T					
25. - General Electric Co. Common Stock	A	Dividend	J	T	Sold (part)	05/31/17	J		
26. - Kellogg Co. Common Stock	A	Dividend	J	T					
27. - Mondelez Intl Inc. Common Stock	A	Dividend	J	T					
28. - National Oilwell Varco Inc. Common Stock	A	Dividend			Sold	12/14/17	J		
29. - Nustar Energy LP Common Stock	B	Distribution	J	T					
30. - Parker-Hannifin Corp. Common Stock	A	Dividend	K	T					
31. - Philip Morris Intl Inc. Common Stock	B	Dividend	K	T					
32. - Quanta Services Inc. Common Stock		None	K	T					
33. - Raytheon Company Common Stock	A	Dividend	K	T					
34. - Florida Citizens Pty Ins Corp Sr Sec Municipal Bond	A	Interest			Redeemed	06/01/17	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - NJ Edu Facs Auth Rev Rfndg Bonds - Uni of Med/Den of NJ	C	Interest	L	T					
36. - New Jersey ST Edl Facs Auth Rev Refdg - Kean Univ Bond	B	Interest	K	T					
37. - California St Var Purp Genl Oblig Municipal Bond	B	Interest	K	T					
38. - Boeing Co. Common Stock	B	Dividend	L	T	Sold (part)	05/31/17	J	D	
39.					Sold (part)	09/01/17	J	C	
40. - New York Community Bancorp Common Stock	A	Dividend			Sold	02/17/17	J	A	
41. - Public Service Enterprise Grp Common Stock	A	Dividend	J	T					
42. - Casino Reinvestment Dev Auth NJ Bond	A	Interest			Redeemed	06/01/17	J		
43. - NJ Higher Ed Assistant Auth Bond	B	Interest	K	T	Redeemed (part)	06/13/17	J		
44. - DowDupont Inc. (formerly EI Du Pont De Nemours & Co.) Common Stock	A	Dividend	K	T					See Note in Part VIII
45. - Emerson Electric Co Common Stock	A	Dividend	K	T					
46. - Nucor Corp Common Stock	A	Dividend	K	T					
47. - Morgan Stanley Bank NA Bank Deposit Program	A	Interest	M	T					
48. - Buckeye Partners LP	B	Distribution	J	T					
49. - Eaton Corp. PLC SHS Common Stock	A	Distribution	J	T					
50. - MSA Safety Inc. Common Stock	A	Dividend	K	T					
51. - Pentair Plc Common Stock	A	Dividend	K	T					

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52. - Weyerhaeuser Co. Common Stock	A	Distribution	J	T					
53. - Xylem Inc. Common Stock	A	Dividend	K	T					
54. - Apple Inc. Common Stock	A	Dividend	J	T					
55. - BP PLC ADS Common Stock	A	Dividend	J	T					
56. - Hartford Fin Sers Grp Inc Common Stock	A	Dividend	J	T					
57. - Timken Co Common Stock	A	Dividend	K	T					
58. - Puerto Rico Elec Pwr Auth Rev Ref Ser- MM Bond	B	Interest	K	T					
59. - Conoco Phillips Common Stock	A	Dividend	J	T					
60. - Exelon Corp. Common Stock	A	Dividend	J	T					
61. - Halliburton Co Common Stock	A	Dividend			Sold	10/25/17	J		
62. - Halyard Health Inc Common Stock		None			Sold	05/31/17	J		
63. - Seaworld Entertainment Inc. Common Stock		None			Sold	12/14/17	J		
64. - John Hancock Bk & Thrift Fund	A	Dividend	J	T					
65.	A	Distribution							
66. - Merck & Co Inc Common Stock	A	Dividend	J	T					
67. - Steel Dynamics Inc Common Stock	A	Dividend	K	T					
68. - Pimco Dyn Credit & Mort Inc Fd	A	Dividend			Sold	06/15/17	J	A	

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69. - Kraft Heinz Co Common Stock	A	Dividend	J	T					
70. - Aerie Pharmaceuticals Inc. Common Stock		None	K	T	Buy (add'l)	02/07/17	J		
71.					Buy (add'l)	02/17/17	J		
72.					Buy (add'l)	03/03/17	J		
73. - Bank of America Corp. Common Stock	A	Dividend	J	T					
74. - Quotient Ltd Shs Common Stock		None	J	T	Buy (add'l)	01/13/17	J		
75.					Buy (add'l)	02/09/17	J		
76.					Buy (add'l)	02/13/17	J		
77. - Lamb Weston Hldgs Inc Common Stock	A	Dividend	J	T					
78. - Cypress Semiconductor Common Stock	A	Dividend	J	T	Buy	02/28/17	J		
79.					Buy (add'l)	05/24/17	J		
80. - Cancer Genetics Inc. Common Stock		None	J	T	Buy	02/07/17	J		
81. - Global Blood Therapeutics Common Stock		None	J	T	Buy	03/03/17	J		
82.					Buy (add'l)	05/25/17	J		
83.					Buy (add'l)	06/15/17	J		
84. - Maxwell Tech Inc. Common Stock		None	J	T	Buy	03/17/17	J		
85.					Buy (add'l)	09/20/17	J		

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86.					Buy (add'l)	11/20/17	J		
87. - Sabre Corporation Common Stock	A	Dividend	J	T	Buy	10/11/17	J		
88. BROKERAGE ACCOUNT #2 (H)									
89. - Morgan Stanley Bank NA	A	Interest	K	T					
90. IRA/401K ACCOUNTS (H)									
91. - Morgan Stanley Bank NA Cash Account	A	Interest	M	T					
92. - Morgan Stanley Private Bank NA Cash Account (Y)									See Note in Part VIII
93. - Altria Group Inc. Common Stock	A	Dividend	J	T					
94. - Bank of America Corp Common Stock	A	Dividend	J	T	Buy (add'l)	02/16/17	J		
95. - Bank of New York Mellon Corp Common Stock	A	Dividend	J	T					
96. - BB&T Corp Common Stock	A	Dividend	J	T					
97. - Celgene Corp Common Stock		None	J	T	Buy (add'l)	02/16/17	J		
98.					Buy (add'l)	11/29/17	J		
99. - Cisco Sys Inc Common Stock	A	Dividend	J	T					
100. - Cubesmart Common Stock	A	Dividend	J	T					
101. - Diebold NXFD Inc Common Stock	A	Dividend	J	T					
102. - DowDupont Inc. (formerly Dow Chemical Co) Common Stock	A	Dividend	J	T					See Note in Part VIII

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103. - Emerson Electric Co Common Stock	A	Dividend	J	T					
104. - Endurance Specialty Hldgs Ltd Common Stock	A	Dividend			Sold	03/29/17	J	C	
105. - General Electric Co Common Stock	A	Dividend	J	T	Buy (add'l)	02/06/17	J		
106. - Hollyfrontier Corp Common Stock	A	Dividend	J	T					
107. - Kinder Morgan Incomp Common Stock	A	Dividend	J	T					
108. - Marathon Petroleum Corp Common Stock	A	Dividend	J	T					
109. - Merck & Co Inc Common Stock	A	Dividend	J	T					
110. - Metlife Incorporated Common Stock	A	Dividend	J	T					
111. - Novo Nordisk A.S ADR Common Stock	A	Dividend	J	T					
112. - Old Republic Intl CP Common Stock	A	Dividend	J	T					
113. - Paypal Hldgs Inc Common Stock		None	J	T					
114. - Pfizer Inc Common Stock	A	Dividend	J	T					
115. - Qualcomm Inc Common Stock	A	Dividend	J	T					
116. - Teva Pharmaceuticals ADR Common Stock	A	Dividend			Buy (add'l)	02/16/17	J		
117.					Sold	08/04/17	J		
118. - Toronto Dom Bk New Common Stock	A	Dividend	J	T					
119. - US Bancorp New Common Stock	A	Dividend	J	T					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Unilever PLC Common Stock	A	Dividend	J	T					
121. - Wells Fargo & Co New Common Stock	A	Dividend	J	T					
122. - Weyerhaeuser Co Common Stock	A	Dividend	J	T					
123. - T Rowe Price Sm Cap Val Adv Fund	A	Dividend	M	T					
124.	C	Distribution							
125. - AT&T Common Stock	A	Dividend	J	T	Buy	09/26/17	J		
126. - Bristol Myers Squibb Co Common Stock	A	Dividend	J	T	Buy	07/18/17	J		
127. - Cypress Semiconductor Common Stock	A	Dividend	J	T	Buy	05/24/17	J		
128. - Gladstone Land Corp Common Stock	A	Dividend	J	T	Buy	01/13/17	J		
129. - John Hancock Financial Opps Fd	A	Dividend	J	T	Buy	07/18/17	J		
130. - Hammon Armstrong Sys Info Common Stock	A	Dividend	J	T	Buy	03/03/17	J		
131. - Maxwell Tech Inc. Common Stock		None	J	T	Buy	11/20/17	J		
132. - New SR Invt. Group Common Stock	A	Dividend	J	T	Buy	01/26/17	J		
133. - Nucor Corp Common Stock		None	J	T	Buy	12/01/17	J		
134. - Permian Basin Rlty Uni BI Common Stock	A	Distribution	J	T	Buy	02/17/17	J		
135. - Sabre Corporation Common Stock	A	Dividend	J	T	Buy	08/24/17	J		
136.					Buy (add'l)	10/04/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Hayden, Katharine S.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - San Juan Basin Rty Tr UBI Common Stock	A	Distribution	J	T	Buy	02/22/17	J		
138. - Tallgrass Energy Gp, LP Common Stock		None	J	T	Buy	11/29/17	J		
139. - Templeton Eng Mkt Incm Fd	A	Dividend	J	T	Buy	03/18/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Hayden, Katharine S.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 44 - Due to a merger with Dow Chemical Company, holders received 1.282 shares of DowDupont Common Stock in exchange for each Ei Dupont common stock on 9/1/2017.

Part VII, Line 92 - Morgan Stanley Private Bank NA Cash Account utilized to purchase additional securities and balance consolidated with Morgan Stanley Bank NA Cash Account

Part VII, Line 102 - Due to a merger with Ei Du Pont De Nemours, holder received 1 share of DowDupont Common Stock in exchange for each share of Dow Chemical Company on 9/1/2017.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Katharine S. Hayden**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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