

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CURLEY, SARAH S.	2. Court or Organization U.S. BANKRUPTCY COURT, D. AZ	3. Date of Report 05/7/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 230 N FIRST AVENUE SUITE 101 PHOENIX, AZ 85003-1727		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. EXECUTIVE COMMITTEE (Up to 8/2013)	ABA JUDICIAL DIVISION -NATIONAL CONFERENCE OF FEDERAL TRIAL JUDGES
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

CURLEY, SARAH S.

Date of Report

05/7/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. FALL, 2013	ASU LAW SCHOOL - TEACHING	\$3,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

CURLEY, SARAH S.

Date of Report

05/7/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

CURLEY, SARAH S.

Date of Report

05/7/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AXA EQUITABLE	A	Interest	K	T					
2. IRA ACCOUNT, WELLS FARGO BANK	C	Int./Div.	M	T					
3. -WELLS FARGO MONEY MARKET ACCT.					Distributed (part)	12/10/13	L		See Part VIII
4. -WELLS FARGO ADV. TOTAL RETURN BOND FUND (MNTX)									
5. -MFS SER. TR. VALUE (MSFRX)									
6. -HARTFORD INFLATION PLUS FUND, CLASS A (HIPAX)									
7. -HARTFORD MTL MIDCAP VALUE, CLASS A (HNVAX)									
8. -CD1					Matured	03/08/13	L	D	See Part VIII
9. -CD2					Matured	03/08/13	L	C	See Part VIII
10. -CD3					Matured	09/09/13	L	C	See Part VIII
11. -COMMON STOCK -RESEARCH IN MOTION LIMITED (RIMM)(known as Blackberry)									
12. -COMMON STOCK - PEPSICO (PEP)									
13. SHS CISCO COMMON STOCK	A	Dividend	K	T					
14. WELLS FARGO MONEY MARKET FUND A	A	Interest	J	T					
15. IRA ACCOUNT, US BANK	D	Int./Div.	N	T					
16. -US BANK MONEY MARKET ACCT					Distributed (part)	09/10/13	K		See Part VIII

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

CURLEY, SARAH S.

Date of Report

05/7/2014

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

17. -HARTFORD FUND BALANCED ALLOCATION FUND CLASS A (HBAAX)(X)					Buy	04/09/13	K		
18. -HARTFORD FUNDS BALANCED ALLOCATION FUND, CLASS A(HBAAX) (X)					Sold (part)	08/23/13	J		
19. -HARTFORD FUNDS BALANCED INC. FUND, CLASS A (HBLAV)(X)					Buy	08/23/13	J		
20. -HARTFORD INFLATION PLUS FUND, CLASS A (HIPAX)									
21. -HARTFORD FUNDS MIDCAP VALUE, CLASS A (HMOVAX)									
22. -HARTFORD FUNDS GLOBAL HEALTH FUND, CLASS A (HGHAX)					Buy (add'l)	04/19/13	K		
23. -HARTFORD FUNDS CHECKS & BALANCES, CLASS A (HCKAX)									
24. -CD's									
25. IRA ACCOUNT, FIDELITY INVESTMENTS (X)	D	Int./Div.	M	T					
26. -FIDELITY MONEY MARKET ACCOUNT (X)					Buy	09/26/13	J		
27. -SPARTAN TOTAL MARKET INDEX ADVANTAGE CLASS (FSTVX)(X)					Buy	09/26/13	K		
28. -SPARTAN INT'L INDEX, ADVANTAGE CLASS (FSIVX)(X)					Buy	09/26/13	K		
29. -SPARTAN U.S. BOND INDEX, ADVANTAGE CLASS (FSITX)(X)					Buy	09/26/13	K		
30. -GLENMEDE SMALL CAP EQUITY - ADVISOR CLASS (GTC SX)(X)					Buy	09/23/13	K		
31. -SPARTAN TOTAL MARKET INDEX ADVANTAGE CLASS (FSTVX)(X)					Buy	12/17/13	K		
32. -SPARTAN U.S. BOND INDEX, ADVANTAGE CLASS (FSITX)(X)					Buy	12/17/13	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

CURLEY, SARAH S.

Date of Report

05/7/2014

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33. -GLENMEDE SMALL CAP EQUITY - ADVISOR CLASS (GTCSX)(X)					Buy	12/17/13	K		
34.									

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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

CURLEY, SARAH S.

Date of Report

05/7/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Regarding Part VII - I decided to open a new Fidelity IRA Account in September 2013. I transferred funds from my money-market, IRA accounts at Wells Fargo and U.S. Bank to open the Fidelity Account. When various CD's matured in the Wells Fargo IRA account, those funds were also placed in the Fidelity Account. In December 2013, I transferred additional funds from my Wells Fargo IRA, money-market account into my Fidelity Account.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

CURLEY, SARAH S.

Date of Report

05/7/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ SARAH S. CURLEY**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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