

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) AFRICK, LANCE M.	2. Court or Organization USDC, EASTERN DISTRICT OF LA	3. Date of Report 07/07/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) FULL-TIME U.S. DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 500 POYDRAS STREET ROOM C-405 NEW ORLEANS, LA 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Executive Committee Member and Past President	Allstate Sugar Bowl
2. Director	Foundation, Inc.
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2014	Brown Sims, PC - wages
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. American Conference Institute	February 27 - February 28, 2014	Houston, TX	Annual Forum	food, hotel, transportation
2. American Conference Institute	March 31 - April 1, 2014	Chicago, IL	Annual Forum	food, hotel, transportation
3. Louisiana State Bar Association	June 3 - June 6, 2014	Destin, FL	Summer School Program	hotel, transportation
4. American Conference Institute	July 30 - August 1, 2014	New York, NY	Annual Forum	hotel, transportation
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. [REDACTED] Investments, Inc.	B	Dividend	M	U					
2. [REDACTED] Investments, LP	G	Dividend	P1	T					
3. Louisiana Local Gov. Enviro (Municipal Bond)	A	Interest	J	T					
4. Krispy Kreme Donuts, Inc.		None	J	T					
5. RMA Tax Free Fund, Inc. (UBS)	A	Dividend	N	T	Buy	01/02/14	J		
6.					Buy	01/02/14	J		
7.					Buy	01/03/14	K		
8.					Sold (part)	01/06/14	J		
9.					Buy	01/07/14	K		
10.					Buy	01/16/14	J		
11.					Sold (part)	01/17/14	J		
12.					Buy	01/21/14	J		
13.					Sold (part)	01/22/14	J		
14.					Sold (part)	01/24/14	J		
15.					Buy	01/27/14	J		
16.					Buy	01/30/14	J		
17.					Sold (part)	02/03/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy	02/04/14	L		
19.					Buy	02/05/14	J		
20.					Sold (part)	02/06/14	J		
21.					Buy	02/19/14	J		
22.					Buy	02/24/14	J		
23.					Sold (part)	02/26/14	K		
24.					Sold (part)	02/27/14	J		
25.					Sold (part)	02/28/14	J		
26.					Buy	03/04/14	J		
27.					Buy	03/05/14	J		
28.					Buy	03/06/14	J		
29.					Sold	03/07/14	J		
30.					Sold	03/12/14	J		
31.					Buy	03/18/14	J		
32.					Sold	03/25/14	J		
33.					Buy	03/25/14	J		
34.					Sold (part)	03/25/14	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Buy	03/28/14	J		
36.					Sold (part)	03/31/14	J		
37.					Buy	04/02/14	K		
38.					Sold (part)	04/03/14	L		
39.					Sold (part)	04/04/14	J		
40.					Sold (part)	04/15/14	J		
41.					Buy	04/16/14	J		
42.					Buy	04/24/14	J		
43.					Sold (part)	04/25/14	J		
44.					Buy	04/29/14	J		
45.					Buy	05/02/14	K		
46.					Sold (part)	05/05/14	J		
47.					Buy	05/16/14	L		
48.					Sold (part)	05/20/14	J		
49.					Sold (part)	05/22/14	J		
50.					Sold (part)	05/23/14	J		
51.					Buy	05/23/14	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52.					Sold (part)	05/29/14	J		
53.					Buy	06/03/14	K		
54.					Sold (part)	06/04/14	J		
55.					Buy	06/09/14	J		
56.					Buy	06/17/14	J		
57.					Sold (part)	06/18/14	J		
58.					Sold (part)	06/23/14	J		
59.					Buy	06/24/14	J		
60.					Buy	06/27/14	J		
61.					Buy	07/02/14	K		
62.					Buy	07/16/14	J		
63.					Buy	07/25/14	J		
64.					Sold (part)	07/29/14	K		
65.					Buy	07/30/14	J		
66.					Buy	08/04/14	J		
67.					Buy	08/05/14	J		
68.					Buy	08/15/14	J		

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69.					Buy	08/18/14	J		
70.					Buy	08/25/14	J		
71.					Buy	08/28/14	J		
72.					Sold (part)	08/29/14	J		
73.					Buy	09/03/14	J		
74.					Buy	09/05/14	K		
75.					Sold (part)	09/10/14	K		
76.					Sold (part)	09/15/14	J		
77.					Sold (part)	09/16/14	J		
78.					Buy	09/24/14	J		
79.					Buy	09/29/14	J		
80.					Buy	10/02/14	K		
81.					Buy	10/16/14	J		
82.					Sold (part)	10/17/14	J		
83.					Buy	10/27/14	J		
84.					Sold (part)	10/28/14	J		
85.					Sold (part)	10/29/14	J		

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86.					Buy	10/30/14	J		
87.					Buy	11/04/14	K		
88.					Sold (part)	11/10/14	J		
89.					Sold (part)	11/13/14	J		
90.					Buy	11/14/14	J		
91.					Buy	11/18/14	J		
92.					Buy	11/21/14	J		
93.					Sold (part)	11/26/14	J		
94.					Sold (part)	11/28/14	J		
95.					Buy	12/01/14	J		
96.					Buy	12/02/14	K		
97.					Buy	12/09/14	M		
98.					Buy	12/15/14	J		
99.					Buy	12/15/14	J		
100.					Sold (part)	12/16/14	M		
101.					Sold (part)	12/19/14	J		
102.					Sold (part)	12/24/14	J		

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103.					Buy	12/24/14	J		
104.					Sold (part)	12/29/14	J		
105.					Sold (part)	12/31/14	J		
106. Facebook Inc.		None	K	T					
107. Allendale, MI Public Callable Bond	B	Interest	K	T					
108. American Municipal Power OH Callable Bond	A	Interest	K	T					
109. Maryland St. Health & Higher Bond	B	Interest	K	T					
110. Louisville Jefferson KY Callable Bond	A	Interest	J	T					
111. Long Island Power Callable Bond	A	Interest	K	T					
112. Illinois State FGIC Bond	C	Interest	L	T					
113. Georgia Municipal Electric Bond	A	Interest	K	T	Redeemed (part)	01/01/14	J	A	
114. Clark CTNY Nevada School Callable Bond	B	Interest	K	T					
115. Columbus OH Swr Callable Bond SER A	B	Interest	K	T					
116. Columbus OH City Sch Callable Bond	B	Interest	K	T					
117. Florida St. BER Callable Bond (2)	B	Interest	L	T					
118. Connecticut St. Health & RV Callable Bond	B	Interest	K	T					
119. Connecticut St. Health & Ser Callable Bond	A	Interest	J	T					

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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120. Atlanta GA Water & Wastewater Rev Bond SER A	C	Interest	L	T					
121. District Columbia Rev Callable Bond	A	Interest	J	T					
122. Houston TX Util Sys Callable Bond	A	Interest			Redeemed	05/15/14	L	A	
123. Connecticut ST Gen Rev Callable Bond SRD	A	Interest	J	T					
124. Duval Co FL Sch Callable Bond	B	Interest	K	T					
125. Florida St Brd Ed Lottery SR A	B	Interest	K	T					
126. Nevada St FGIC Callable Bond	B	Interest	K	T					
127. Univ Houston TX Callable Bond	A	Interest	K	T					
128. Kendall & Kane Countys Pref (frm Kendall & Kane Countys Callable Bond)	A	Interest	J	T					
129. Kendall & Kane Countys (formerly Kendall & Kane Countys Callable Bond)	A	Interest	K	T					
130. Indiana Hlth FAC FING Callable Bond (2)	B	Interest			Redeemed	09/26/14	K	A	
131. Florida St. Dept Environmen. Callable Bond (3)	C	Interest	M	T					
132. University Ill Unv Callable Bond	A	Interest	J	T					
133. Kentucky Infrastruct Callable Bond	B	Interest	L	T					
134. Florida St Brd Ed. Lottery SrB	B	Interest	K	T					
135. Metropolitan Trans AUNY SER A (2)	C	Interest	L	T					
136. New York City Cultural Callable Bond	B	Interest			Redeemed	02/01/14	L	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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AFRICK, LANCE M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. New York City Indus Dev Callable Bond	B	Interest			Redeemed	09/04/14	K	A	
138. Medical Univ SC Hosp Callable Bond	B	Interest			Sold	03/27/14	K	A	
139. Metropolitan Trans Auth NY Bond SERIES F	B	Interest	K	T					
140. Ohio St. Ser A Callable Bond	B	Interest	L	T					
141. Pennsylvania Higher Ed Callable Bond	B	Interest	K	T					
142. San Antonio TX Elec Callable Bond	B	Interest	K	T					
143. Texas State (formerly Texas Transport Comm Gen Callable Bond)	A	Interest	K	T					
144. Wisconsin St SERC Bond	A	Interest			Sold	03/27/14	L	B	
145. Amarillo Tex CTFS Callable Bond	B	Interest	K	T					
146. Connecticut St Gen Ser A Callable Bond	A	Interest	K	T					
147. Florida St Brd Ed Pub Callable Bond SRD	B	Interest	L	T					
148. Mass St Port Au Rev Callable Bond	B	Interest	L	T					
149. Florida St Brd Educ Pub Callable Bond	A	Interest	L	T					
150. John Hancock Variable Annuity		None	N	T					
151. Blackrock MUN Target Term Trust	C	Dividend	L	T					
152. Nuveen Inter Duration	C	Dividend	L	T					
153. Pimco Dynamic CR Income FD	C	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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AFRICK, LANCE M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Louisiana St Gas & Fuels Tax	C	Interest	M	T					
155. Louisiana St RFDG SER C	B	Interest	M	T					
156. Metro Atlanta Rapid GA SER B (formerly Metropolitan Allstat Rapid..)	B	Interest	L	T					
157. Pueblo Cnty Colo CTFS PARTN Cnty Judicial Complex	A	Interest	K	T					
158. Atlanta GA WTR & WASTE WATER FSA SR B	B	Interest	K	T					
159. Boynton Beach Fla Util Sys Rev	A	Interest	K	T					
160. Miami Dade Cnty Fla SCH BRD CTFS SER B	B	Interest	L	T					
161. Louisiana LOC GOVT Environmental Assur SR B	B	Interest	K	T					
162. Center Coast MLP & Infrastructure FD	C	Dividend	K	T					
163. New Jersey ST Trans TRFD SER AA	B	Interest	L	T					
164. Midland Cnty TEX Fresh WTR Supply DIST	C	Interest	M	T					
165. University WIS Hosps & Clinics Auth Rev SER A	B	Interest	L	T					
166. Akebia Therapeutics Inc		None			Buy	03/20/14	J		
167.					Sold	06/03/14	J	A	
168. Apple Inc	A	Dividend	K	T	Buy	07/24/14	K		
169. New Jersey Econ DevAuth Rev RFDG- SCH FACS CONSTR-SERNN		None	M	T	Buy	12/11/14	M		
170. Nuveen Ltd Municipal Bond Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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AFRICK, LANCE M.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. AT&T Inc.	A	Dividend	J	T	Buy (add'l)	12/02/14	J		
172. Johnson & Johnson	A	Dividend	J	T	Buy (add'l)	01/21/14	J		
173. Proctor & Gamble	A	Dividend	J	T					
174. PIMCO All Assets All Auth A		None			Sold	01/21/14	J	A	
175. First Eagle Global Fund Class A	A	Dividend	J	T					
176. Pfizer, Inc.	A	Dividend	J	T					
177. Templeton Global Bond Fund (formerly Franklin/Templeton...)	B	Dividend	K	T	Buy (add'l)	01/21/14	J		
178. FPA Crescent Fund	A	Dividend	K	T	Buy (add'l)	12/05/14	J		
179. Altria Group, Inc.	A	Dividend	J	T	Buy (add'l)	01/21/14	J		
180.					Buy (add'l)	12/02/14	J		
181. Starbucks Corp	A	Dividend	J	T					
182. New York CMNTY Bancorp	A	Dividend			Sold	06/30/14	J	A	
183. Mainstay Marketfield Fund Class A		None			Sold (part)	10/16/14	J	A	
184.					Sold	12/05/14	J	A	
185. Yacktmann Focused Fund	A	Dividend	J	T	Buy (add'l)	01/16/14	J		
186. Lord Abbett Bond Debenture Fund	A	Dividend	J	T					
187. Guggenheim Macro Opportunities Fund Class A	B	Dividend	K	T	Buy (add'l)	01/21/14	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Berkshire Hathaway CL B		None	J	T	Buy (add'l)	10/22/14	J		
189.					Buy (add'l)	11/06/14	J		
190.					Buy (add'l)	12/02/14	J		
191.					Buy (add'l)	12/09/14	J		
192. Corning Inc DF	A	Dividend			Sold	10/20/14	J	A	
193. Fidelity Advisor Floating Rate High Income Fund	A	Dividend			Sold	01/21/14	J	A	
194. Neuberger Berman Long Short Fund Class	A	Dividend	K	T					
195. Gotham Absolute Return	A	Dividend	J	T	Buy	08/27/14	J		
196.					Buy (add'l)	11/28/14	J		
197. Lorillard Inc.	A	Dividend	J	T					
198. Goldman Sachs Strategic Income CLI	A	Dividend	K	T	Buy (add'l)	01/21/14	K		
199.					Sold (part)	12/05/14	K		
200. PIMCO Income Fund Class P	A	Dividend	J	T					
201. ING Large Cap Growth Portfolio		None			Sold	12/05/14	J	A	
202. ING JP Morgan Mid Cap Val. Portfolio 1		None			Sold	12/05/14	J	A	
203. Loomis Sayles Strategic Income Fund	A	Dividend	K	T	Buy (add'l)	12/05/14	J		
204. ING PIMCO Total Return Portfolio 1		None			Sold	12/05/14	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Pioneer Strategic Income Fund Y		None			Sold	12/05/14	J	A	
206. American Funds AM Balanced		None			Sold	12/05/14	J	A	
207. Pioneer Equity Income Fund Y		None			Sold	12/05/14	J	A	
208. Apple Inc	A	Dividend	J	T	Buy	10/22/14	J		
209. Gotham Absolute Return	A	Dividend	J	T	Buy	08/27/14	J		
210.					Buy (add'l)	11/28/14	J		
211. Third Avenue Focused Cred/ Instl	B	Dividend			Buy	01/16/14	K		
212.					Sold (part)	10/22/14	J	A	
213.					Sold	11/28/14	K	A	
214. Qualcomm Inc DE	A	Dividend	J	T	Buy	08/29/14	J		
215.					Buy (add'l)	12/02/14	J		
216. Avenue Credit Strategies Fund	A	Dividend	J	T	Buy	01/16/14	K		
217.					Sold (part)	11/28/14	J	A	
218. Goldman Sachs, Inc Bder I	A	Dividend	K	T	Buy	01/15/14	K		
219. Mainstay Marketfield Fund Class I		None			Buy	02/10/14	J		
220.					Sold	11/28/14	J	A	
221. Goldman Sachs Strategic Income CLI	A	Dividend	J	T	Buy	02/12/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

AFRICK, LANCE M.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Sold (part)	07/24/14	J		
223. Guggenheim Floating Rate Strategies		None			Buy	02/12/14	J		
224.					Sold	07/24/14	J	A	
225. First Eagle Gloval Income Builder	A	Dividend	J	T	Buy	02/12/14	J		
226. Prudential Short Duration High Yield Tax	A	Dividend	J	T	Buy	02/12/14	J		
227. Blackrock Global Long Short Equity		None			Buy	02/12/14	J		
228.					Sold	07/24/14	J	A	
229. Lord Abbott Short Duration Income	A	Dividend	J	T	Buy	02/12/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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AFRICK, LANCE M.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ LANCE M. AFRICK

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544