

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Craig, Carla E.	2. Court or Organization U.S. Bankruptcy Court, E.D.N.Y.	3. Date of Report 10/14/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 271 Cadman Plaza E. Suite 1595 Brooklyn, New York 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Kidspirit Magazine
2.	Executor	Estates [REDACTED]
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	-partnership distribution
2. 2012	Mt. Kellett Capital Management-deferred compensation
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. New York Institute of Credit/ Turnaround Management Association	5/10/2012-5/11/2012	Mohegan Sun, Uncasville, CT	Bankruptcy Seminar (teaching)	Hotel, meals
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Mt. Kellett Capital Partners II LP	Commitment to make capital contributions to investment limited partnership	PI
2.		Tuition obligation	K
3.		Tuition obligation	K
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank Checking and Money Market Accounts	C	Interest	O	T					
2. J.P. Morgan Money Market	C	Interest	O	T					
3. JP Morgan High Yield Bond Fund 3580	E	Dividend	N	T					
4. JPM US Real Estate Income and Growth Direct LP	D	Distribution	O	T					
5.	B	Dividend							
6.	D	Interest							
7. JPM Floating Rate Income Fund	E	Dividend	O	T					
8. Global Access Macro LLC		None	O	T					
9. JP Morgan Asia Equity Fund 1134		None			Sold	7/6/2012	L		
10. JP MorganTR I Fund 992	D	Dividend	M	T					
11. JP Morgan International Currency Income Fund		None	L	T					
12. JP Morgan Strategic Income Opportunitis Fund 3844	C	Dividend	M	T					
13. American Century Equity Income Fund 123	C	Dividend	M	T					
14.	B								
15. ishares MSCI All Country Asia Ex Japan Index Fund	A	Dividend	M	T	Buy	07/11/12	L		
16. Fidelity Cash Reserves	A	Dividend	J	T					
17. Fidelity Spartan NY Muni Income	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$250,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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18. iShares Dow Jones US Oil & Gas	A	Dividend			Sold	01/03/12	M	C	
19. iShares Barclays 20+ years Treasury	C	Dividend			Sold (part)	04/02/12	M	E	
20.					Buy (add'l)	05/01/12	M		
21.					Sold	09/04/12	M	D	
22. iShares DJ US Utilities	B	Dividend			Sold	02/01/12	M	C	
23. Aquila Three Peaks High Income Inst.	D	Dividend	J	T	Buy (add'l)	01/09/12	J		
24.	A				Buy (add'l)	01/19/12	K		
25.					Sold (part)	10/18/12	M	C	
26. Artisan Midcap Value	A	Dividend	J	T					
27.	A								
28. Blackrock Global Allocation A (MDLOX)	A	Dividend			Sold (part)	06/05/12	J	B	
29.					Sold	12/12/12	J	A	
30. Blackrock Global Allocation I (MALOX)	B	Dividend			Sold (part)	06/05/12	L	B	
31.					Sold	12/12/12	M	E	
32. Fidelity Floating Rate High Income (FFRHX)	D	Dividend	M	T	Buy (add'l)	02/01/12	K		
33.	A								
34. Fidelity Low Priced Stock (FLPSX)	A	Dividend	L	T	Sold (part)	01/11/12	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.	C				Sold (part)	01/19/12	K	D	
36.					Sold (part)	06/04/12	L	D	
37. Fidelity Mega Cap Stock (FGRTX)	C	Dividend	N	T	Buy (add'l)	02/02/12	J		
38. Hartford Healthcare Fund (HGHAX)		None	K	T	Sold (part)	05/22/12	J	A	
39. Hartford Healthcare Fund I (HGHIX)		None	L	T	Sold (part)	02/01/12	K	D	
40. PIMCO All Asset Fund Adm Class (PAALX)	D	Dividend	N	T	Buy (add'l)	01/20/12	K		
41.					Buy (add'l)	02/01/12	K		
42.					Buy (add'l)	05/22/12	J		
43.					Buy (add'l)	12/13/12	L		
44. Primecap Odyssey Aggressive Growth (POAGX)		None	K	T	Sold (part)	01/23/12	J	A	
45.					Sold (part)	02/02/12	J	A	
46. Primecap Odyssey Stock (POSKX)	B	Dividend	M	T	Buy (add'l)	09/05/12	K		
47. Vanguard Dividend Growth (VDIGX)	D	Dividend	N	T	Buy (add'l)	01/11/12	J		
48.					Sold (part)	05/22/12	J	A	
49.					Sold (part)	09/05/12	K	D	
50. Vanguard GNMA Admiral (VFJIX)	C	Dividend	N	T	Buy	10/15/12	N		
51.	C								

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52. Vanguard High Yield Tax Exempt Admiral (VWALX)	B	Dividend	M	T	Buy	10/18/12	M		
53. Vanguard Intermediate Term Investment Grade (VFICX)	B	Dividend			Sold (part)	01/09/12	J	A	
54.					Sold (part)	01/10/12	J	A	
55.					Merged (with line 56)	10/18/12			
56. Vanguard Intermediate Term Investment Grade (VFIDX)	D	Dividend			Sold	10/18/12	M	E	
57.	B								
58. Vanguard Intermediate Term Tax Exempt (VWIUX)	C	Dividend	N	T	Buy	06/04/12	K		
59.					Buy (add'l)	06/05/12	K		
60.					Buy (add'l)	09/05/12	K		
61.					Buy (add'l)	10/18/12	L		
62. Vanguard Limited Term Tax Exempt (VMLUX)	D	Dividend	N	T	Buy (add'l)	09/05/12	K		
63.					Buy (add'l)	10/18/12	M		
64.					Buy (add'l)	08/07/12	J		
65.					Sold (part)	01/20/12	L	A	
66.					Sold (part)	10/15/12	M	C	
67. Vanguard Short Term Investment Grade (VFSTX)	A	Dividend			Buy (add'l)	01/23/12	J		
68.					Buy (add'l)	08/07/12	J		

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69.					Merged (with line 70)	09/20/12			
70. Vanguard Short Term Investment Grade (VFSUX)	D	Dividend	J	T	Buy	08/07/12	J		
71.					Sold (part)	10/15/12	M	C	
72.					Sold (part)	10/18/12	M	C	
73. iShares MSCI Germany Index (EWG)		None	M	T	Buy	11/01/12	M		
74. iShares MSCI Austria Investable Market (EWO)	A	Dividend	M	T	Buy	12/03/12	M		
75. iShares MSCI EMU Index (EZU)	A	Dividend	M	T	Buy	12/03/12	M		
76. iShares Barclays 7-10 Year Treasury Bond Fund (IEF)	A	Dividend			Buy	02/01/12	M		
77.					Sold	03/01/12	M		
78. iShares Dow Jones US Telecom (IYZ)	A	Dividend			Buy	08/01/12	M		
79.					Buy (add'l)	10/01/12	M		
80.					Sold (part)	09/04/12	M	A	
81.					Sold	11/01/12	M		
82. MainStay Marketfield Fund CII (MFLDX)	A		M	T	Buy	06/04/12	K		
83.					Buy (add'l)	06/05/12	K		
84.					Buy (add'l)	09/11/12	J		
85.					Buy (add'l)	12/12/12	M		

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86. iShares Dow Jones US Industrial (IYJ)		None			Buy	02/01/12	M		
87.					Sold	03/01/12	M	B	
88. iShares Cohen & Steers Realty ET (ICF)		None			Buy	01/03/12	M		
89.					Sold	02/01/12	M	D	
90. iShares Dow Jones US Consumer (IYC)	A	Dividend			Buy	03/01/12	M		
91.					Sold (part)	07/02/12	M	D	
92.					Sold	07/06/12	J	A	
93. iShares S&P Global Technology1 (IXN)		None			Buy	03/01/12	M		
94.					Sold	05/01/12	M	C	
95. iShares DJ US Regional Banks ETF (IAT)	A	Dividend			Buy	04/02/12	M		
96.					Buy (add'l)	09/04/12	M		
97.					Sold (part)	06/01/12	M		
98.					Sold (part)	11/01/12	M	A	
99.					Sold	11/06/12	J		
100. iShares FTSE NARIET Mortgage Plus C (REM)	D	Dividend			Buy	06/01/12	M		
101.					Sold	12/03/12	M	C	
102. iShares Mexico ETF		None			Buy	07/02/12	M		

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103.					Sold	08/01/12	M	C	
104. iShares DJ US Healthcare (IHF)	A	Dividend			Buy	09/04/12	M		
105.					Sold	10/01/12	M	D	
106. iShares S&P Global Financials ETF (IXG)		None			Buy	11/01/12	M		
107.					Sold	12/03/12	M	A	
108. E-Trade f/k/a WIT Capital		None	J	T					
109. Capital Account(investment in law firm)	E	Interest	P1	U	Buy (add'l)	01/17/12	L		
110.					Buy (add'l)	08/31/12	L		
111. NY College Savings Program		None	K	T					
112. -Moderate Age Based Income Option Portfolio									
113. NY College Savings Program		None			Distributed	11/12/12	K	D	
114. -Moderate Age Based Conservative Growth Portfolio									
115. Vanguard Educational IRA		None	K	T					
116. -Vanguard Small Cap Index Fund Investor Shares									
117. Vanguard Educational IRA		None	K	T					
118. -Vanguard Small Cap Index Fund Investor Shares									
119. BlackRock CollegeAdvantage		None	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -BR Growth Portfolio Option A									
121. BlackRock CollegeAdvantage		None	K	T					
122. -BR Growth Portfolio Option A									
123. B & McG Holdings		None	M	T					
124. -Liberty Square					Sold	12/31/12	J	A	
125. -Shelter Venture Fund									
126. Special Situations Technology Fund, L.P.	A	Interest	P1	U					
127.	C	Dividend							
128.	F								
129. Savings Plan		None	P1	T	Buy (add'l)	07/09/12	K		
130. -Wells Fargo Money Mkt Fund (x)									
131. -Merck common stock									
132. -Pfizer common stock									
133. Cash Balance Plan		None	M	T	Buy (add'l)	07/26/12	L		
134. Thrift Plan		None	N	T	Buy (add'l)	07/09/12	K		
135.					Buy (add'l)	12/28/12	K		
136. Partners Pension Plan		None	M	T	Buy (add'l)	07/26/12	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Supplemental Retirement Fund		None	P1	T	Buy (add'l)	09/24/12	M		
138. -Hancock-Tremont Opportunity Insurance Fund					Sold (part)	08/23/12	J		
139.					Sold	12/12/12	J		
140. -Hancock-Pimco Vit All Asset Portfolio									
141. -Hancock-American International, Capital Research									
142. -Hancock- PIMCO Real Return Bond									
143. -Hancock-Int'l Growth Stock fka Int'l Opportunities									
144. -Hancock-American Growth, Capital Research									
145. -Hancock-Real Estate Securities, Deutsche Asset Management									
146. -Hancock-Natural Resources, Wellington & RS Investments									
147. -Hancock- T. Rowe Price BlueChip Growth									
148. -Hancock-Small Cap Growth,Wellington Management					Buy	09/27/12	J		
149. -Hancock-Fundamental Value, Davis Advisors					Buy	09/27/12	J		
150. -Hancock-American Growth-Income, Capital Research					Buy	09/27/12	J		
151. -Hancock-International Growth Stock, Invesco Advisers					Buy	11/02/12	J		
152. -Hancock-Money Market B									
153. -Prudential-Jennison (y)									

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -SP PrudentialUS Emerging Growth (y)									
155. -Prudential -Business Opp. Value (y)									
156. -Prudential-Value Fund (y)									
157. -Prudential-Diversified Bond (y)									
158. -Prudential-AST Small CapValue (y)									
159. -PrudentialGoldman Sachs Structured Small Cap (y)									
160. -Prudential-AST Marisco Cap Growth (y)									
161. -Prudential-T. Rowe Price International (y)									
162. -Prudential-American Century VP Value (y)									
163. -Prudential-Dreyfus Midcap Stock (y)									
164. -Prudential-Money Market									
165. -BlackrockMulti MgrIns DedPartners									
166. -Prudential-AST Large Cap (y)									
167. -Fidelity VIP Money Market Portfolio Service Class									
168. -AST Pimco Total Return Bond Portfolio									
169. -Common Sense Partners-IDF LP Values									
170. -Janus Overseas Portfolio Values (y)									

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -M Capital Appreciation Fund									
172. -M International Equity Fund									
173. -M Large Cap Growth Fund (y)									
174. -Prudential-Stock Index Portfolio									
175. -Prudential High Yield Bond Portfolio									
176. -PIMCO VIT Real Return Portfolio Admin Class (x)									
177. -PIMCO VIT Commodity Real Return Strategy Portfolio Admin									
178. -DWS Small Cap Index VIP Class B									
179. -DWS Small Cap Value VIP Class B (y)									
180. -BlackRock Value Opportunities V.I. Fund Class I									
181. -Fidelity VIP Contrafund Portfolio Initial Class									
182. -Fidelity VIP Overseas Portfolio Initial Class									
183. -Franklin Global Real Estate Securities Fund Class I									
184. -MFS VIT II Value Series Initial Class									
185. -PIMCO All Asset Portfolio Administrative									
186. -T. Rowe Price Equity Income Portfolio									
187. -Royce Cap Fund Small Cap Portfolio Inv. Class (x)									

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188. Special Situation Fund III QP, LLP	C	Int./Div.	N	U					
189.	G								
190. Merrill Lynch Money Market	A	Int./Div.	K	T					
191.  Group Trust		None	N	T					
192. -Alpine Heritage Offshore Fund. Ltd.					Buy	02/01/12	J		
193. -Blackrock Asia Pacific Partners (Offshore) Ltd					Redeemed (part)	01/30/12	J		
194.					Redeemed (part)	02/14/12	J		
195.					Redeemed (part)	03/30/12	J		
196.					Redeemed (part)	04/30/12	J		
197.					Redeemed (part)	05/31/12	J		
198.					Redeemed (part)	07/10/12	J		
199. -Blackrock Asia Pacific Partners (Offshore) SPV Ltd. (x)					Spinoff (from line 193)	01/1/12	J		
200.					Redeemed (part)	08/01/12	J		
201.					Redeemed (part)	09/26/12	J		
202.					Redeemed (part)	12/21/12	J		
203. -Candlewood Structured Credit fund, Ltd.					Buy	02/01/12	J		
204. -Chatham Asset High Yield Offshore Fund, Ltd.					Buy	08/01/12	J		

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2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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205. -Cognis I Fund LP					Redeemed (part)	03/16/12	J		
206.					Redeemed (part)	08/30/12	J		
207. -Empire Capital Partners, Ltd.					Buy	05/02/12	J		
208. -Energy Solutions, Inc. (x)					Spinoff (from line 250)	06/13/12	J		
209.					Redeemed (part)	09/06/12	J		
210. -Fore Multi-Strategy Offshore Fund Ltd									
211. -Glazer Offshore Fund, Ltd.									
212. -Golden Tree Offshore Fund Ltd									
213. -Highfields Capital IV LP									
214. -Hudson Bay Overseas Fund Ltd									
215. -Indus Asia Pacific Fund Ltd									
216. -Indus Asia Pacific Distribution Holding Co. Ltd					Redeemed (part)	04/16/12	J		
217.					Redeemed (part)	10/05/12	J		
218.					Redeemed	10/17/12	J		
219. -Lakeview Opportunity Fund Ltd.					Redeemed (part)	02/16/12	J		
220.					Redeemed (part)	05/04/12	J		
221.					Redeemed (part)	05/31/12	J		

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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222.					Redeemed (part)	08/23/12	J		
223.					Redeemed (part)	12/07/12	J		
224. -Lone Dragon Pine LP					Redeemed (part)	01/19/12	J		
225.					Redeemed	02/27/12	J		
226. -Lone Pinion Ltd.									
227. -Lone Spruce LP									
228. -Mainstay Marketfield Fund					Buy	11/07/12	J		
229. -Malta Offshore, Ltd.									
230. -Manatuck Hill Navigator Offshore Fund, Inc.									
231. -Millenium International Ltd									
232. -MKP Opportunity Offshore Ltd									
233. -OSS Overseas LTD									
234. -Pennant Onshore Partners LP									
235. -Pennant Windward Ltd									
236. -Ramius Lehman International SPV					Redeemed (part)	03/06/12	J		
237. -Restoration Offshore Fund LTD					Redeemed (part)	04/27/12	J		
238.					Redeemed (part)	07/30/12	J		

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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239. -Seer Capital Partners Offshore Fund Ltd.									
240. -Seix Credit Opportunities Offshore Fund Ltd					Redeemed	01/25/12	J		
241. -Spruce Absolute Return Fund LP					Buy	01/03/12	J		
242.					Buy (add'l)	09/01/12	J		
243. -Spruce Absolute Return Fund (Offshore) Ltd.									
244. -Spruce Global Equity Fund LP					Buy	09/01/12	J		
245. -Spruce Global Equity Fund (Offshore) Ltd									
246. -Spruce Real Assets Fund LP					Buy	09/01/12	J		
247. -Spruce Real Assets Fund (Offshore) Ltd							J		
248. -Steelhead Pathfinder Fund Ltd.					Buy	11/01/12	J		
249. -Sunbeam Opportunities Offshore SA					Redeemed	10/16/12	J		
250. -ValueAct Small Cap International LP					Redeemed (part)	02/17/12	J		
251. -Vanguard Short Term Investment Grade Fund					Redeemed (part)	07/24/12	J		
252.					Redeemed (part)	11/08/12	J		
253.					Redeemed (part)	12/06/12	J		
254. -Woodbine Capital Fund Ltd					Redeemed (part)	01/19/12	J		
255.					Redeemed	03/30/12	J		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. -York Total Return Unit Trust					Redeemed (part)	01/20/12	J		
257.					Redeemed	05/22/12	J		
258. -JP Morgan Trust Co. of Delaware deposit account									
259. AutoNation common stock		None	K	T					
260. Akami Technology common stock		None	J	T					
261. MooreAcre/Blackacre REIT		None	J	T					
262. MooreAcre/Blackacre Inc. REIT		None	J	T					
263. BCM/CHI Eden Roc, Inc. REIT		None	J	T					
264. BCM/CHI Kapalua, Inc. REIT		None	J	T					
265. CollegeBound Fund (Alliance-RI)		None	K	T					
266. -CBF Appreciation Portfolio Alt-AX									
267. CollegeBound Fund (Alliance-RI)		None	K	T					
268. -CBF Appreciation Portfolio Alt-AX									
269. Prudential Money Market					Closed				
270. Northwestern Mutual Whole Life Ins. Policy		None	N	T					
271. Mt. Kellett Capital Partners II LP	G	Distribution	P1	U	Buy (add'l)	03/01/12	J		
272.					Buy (add'l)	05/31/12	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273.					Buy (add'l)	09/17/12	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of report.)

Throughout Part VII, where column B1 is filled in, and column B2 is left blank, this indicates that the income in question is capital gains. This applies to the entry for "MainStay Marketfied Fund CII (MDFLX)", line 82 of this report (the "Amended 2012 report"), and this responds to the question in paragraph 1 of the letter dated September 13, 2013 ("Inquiry Letter").

Part VII On my report dated August 3, 2012 ("2011 report"), I inadvertently reported the same investment twice: JPM TR I Tax Aware Real Return Fund (line 4 of the 2011 report) is the same as JP Morgan TR I Fund 992 (line 12 of the 2011 report). For 2012 therefore I am only reporting JP Morgan TR I Fund 992 (line 10 of this report).

Part VII Vanguard Intermediate Term Investment Grade (VIFCX), reported at line 99 of the 2011 report, was converted to Vanguard Intermediate Term Investment Grade (VFIDX), a different class of the same fund, on September 18, 2012. There was no gain or loss as a result of this conversion. I reported this as a merger.

Part VII Vanguard Short Term Investment Grade (VFSTX), reported at line 108 of my 2011 report, was converted to Vanguard Short Term Investment Grade (VFSUX) on September 20, 2012. There was no gain or loss as a result of this conversion. I reported this as a merger.

Part VII Putnam College Fund (lines 156-159 of the 2011 report) - management of this fund has changed and it is reported here as BlackRock CollegeAdvantage (lines 118-121).

Part VII, line 109, column D-this transaction is [REDACTED] annual capital contribution to [REDACTED] law firm. It is an investment in the firm, not an account in a financial institution. This responds to the question about this entry in the Inquiry Letter.

Part VII, Line 129-[REDACTED] Savings Plan-the investment in the [REDACTED] Savings Plan is partly invested in the securities shown on the report and partially in the [REDACTED] Group Trust.

Part VII, Lines 133-136-the [REDACTED] Cash Balance Plan and Partners Pension Plan are invested in the same manner as the [REDACTED] Group Trust. The [REDACTED] Thrift Plan is partially invested in the [REDACTED] Group Trust and partially in T. Rowe Price US Treasury Money Fund (a money market fund). This responds to the question about this in the first paragraph of the second page of the Inquiry Letter.

Part VII, lines 191-258 - [REDACTED] Group Trust

With respect to the [REDACTED] Group Trust and the [REDACTED] Supplemental Retirement Fund (Part VII, Lines 137-187), these are retirement plans in which [REDACTED] has an interest, and which were established by the law firm of which [REDACTED] is a member. Each of these is an aggregate ownership arrangement, and therefore I have reported the gross value of his interest in each of these plans, and have also reported the assets within the aggregate ownership arrangement. From time to time, [REDACTED] interest in these aggregate ownership arrangements may be reallocated to different assets, either at [REDACTED] direction (in the case of the [REDACTED] Supplemental Retirement Plan), or because the plan purchases or sells investments. I have reported these reallocations as purchases and sales, though they are not actually purchases or sales [REDACTED]. There is no gain or loss to [REDACTED] resulting from these reallocations, and therefore I have left this column blank.

In years prior to 2009, I reported the investment allocations in the [REDACTED] Group Trust because [REDACTED] was a member of the committee that guided investments in that Trust. During 2009, [REDACTED] was not a member of the firm, nor was [REDACTED] a member of that that investment committee, and had no control over the financial interests in that Trust. Therefore, because the [REDACTED] Group Trust is a Widely Held Investment Fund (see Filing Instructions, p. 55), I did not report the individual assets owned by that Trust for 2009. On January 19, 2010, he rejoined [REDACTED] and rejoined the firm's investment committee. For this reason, I have reported the assets owned by the Trust for 2012.

Part VII, line 269-Prudential Money Market-this money market account was closed in a prior year.

Part VII, lines 271-273-with respect to [REDACTED] investment in Mount Kellett Capital Partners, the partnership from time to time makes distributions to investors that are immediately reinvested pursuant to a concurrent capital call, with no funds received by the investor. These reinvested distributions are akin to dividends which are reinvested, and therefore I have not reported the reinvestments as transactions in Column D, though I have reported the distributions in column B.

With respect to my reporting concerning the [REDACTED] Supplemental Retirement Fund (Part VII, lines 174-221 of the 2011 report, and Part VII, lines 137-187 of the Amended 2012 Report), the following should be noted:

Hancock-American International, Capital Research (line 141 of the Amended 2012 report) was formerly identified as Hancock-American International (line 177 of 2011 report)

Hancock-PIMCO Real Return Bond (line 142 of the amended 2012 report) was formerly identified as Hancock-Real Return Bond (line 178 of 2011 report).

Hancock-American Growth, Capital Research (line 144 of amended 2012 report) was formerly identified as Hancock-American Growth (line 180 of 2011 report)

Hancock-Real Estate Securities, Deutsche Asset Management line 145 of Amended 2012 report) was formerly identified as Hancock-Real Estate Securities (line 181 of 2011 report)

Hancock-Natural Resources, Wellington & RS Investments (line 146 of Amended 2012 report) was formerly identified as Hancock-Natural Resources (line 182 of 2011 report)

Hancock-T. Rowe Price Blue Chip Growth (line 147 of Amended 2012 report) was formerly identified as Hancock Blue Chip Growth (line 183 of 2011 report)

Fidelity VIP Money Market Portfolio Service Class (line 167 of Amended 2012 report) was formerly identified as Fidelity VIP Money Market Portfolio (line 200 of 2011 report)

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AST Pimco Total Return Bond Portfolio (line 168 of amended 2012 report) was formerly identified as AST Pimco Total Return Bond Portfolio Values (line 202 of 2011 report)

Prudential- Stock Index Portfolio (line 174 of amended 2012 report) was formerly identified as Stock Index Fund (line 210 of 2011 report) and was erroneously reported as sold in 2011.

PIMCO VIT Commodity RealReturn Strategy Admin Class (line 177 of amended 2012 report) was formerly identified as PIMCO VIT Commodity RealReturn Admin Class (line 212 of 2011 report)

MFS VIT II Value Series Initial Class (line 184 of the amended 2012 report) was previously identified as MFS Value Portfolio I Class (line 219 of 2011 report)

Except as otherwise noted above, investments in the ☐ Supplemental Retirement Fund marked with (y) were sold in 2011, and investments marked with (x) were acquired in 2011.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Carla E. Craig

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

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