

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) JONES III, JOHN E.	2. Court or Organization US COURT-MIDDLE DISTRICT OF PA	3. Date of Report 05/11/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 228 WALNUT STREET HARRISBURG, PA 17108		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. DIRECTOR	AUBURN GOLF CAR CO. INC.
2. DIRECTOR	BUNKER HILL GOLF COURSE (CORP)
3. DIRECTOR	FAIRVIEW GOLF COURSE INC.
4. DIRECTOR	HIDDEN VALLEY GOLF COURSE INC.
5. DIRECTOR	PAT MAR CO. INC.
6. DIRECTOR	PHOENIX CONTRACTING CO. (CORP)
7. DIRECTOR	WEDGEWOOD GOLF COURSE INC.
8. DIRECTOR	WILLOW HOLLOW GOLF COURSE INC.
9. ADJUNCT LAW PROFESSOR	PENNSYLVANIA STATE UNIVERSITY

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2002	THE STATE EMPLOYEES RETIREMENT SYSTEM (PENNSYLVANIA) FORMER EMPLOYER - VESTED PENSION
2.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 17

Name of Person Reporting

JONES III, JOHN E.

Date of Report

05/11/2016

3.

FINANCIAL DISCLOSURE REPORT

Page 3 of 17

Name of Person Reporting

JONES III, JOHN E.

Date of Report

05/11/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	WILLIAM AND MARY	2/13 - 2/15	WILLIAMSBURG, VA	MOOT COURT JUDGE	HOTEL & MEAL
2.	NY INTELLECTUAL PROPERTY LAW ASSOCIATION	3/27 - 3/28	NEW YORK	MEETING	HOTEL & MEAL
3.	DICKSON COLLEGE	4/28 - 4/29	PHILADELPHIA, PA	CONFERENCE	HOTEL
4.	ADMINISTRATIVE OFFICE OF PA COURTS	6/10 - 6/11	BEDFORD	APPELLATE COURT CONFERENCE	HOTEL & MEAL
5.	DAUPHIN COUNTY BAR ASSOCIATION	7/31 - 8/1	BEDFORD	SPEAKER	HOTEL & MEAL

FINANCIAL DISCLOSURE REPORT

Page 4 of 17

Name of Person Reporting

JONES III, JOHN E.

Date of Report

05/11/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 17

Name of Person Reporting

JONES III, JOHN E.

Date of Report

05/11/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. BUNKER HILL GOLF COURSE INC. - FAMILY BUSINESS		None	O	W					
2. WILLOW HOLLOW GOLF COURSE INC. - FAMILY BUSINESS		None	N	W					
3. WEDGEWOOD GOLF COURSE INC. - FAMILY BUSINESS	E	Distribution	O	W					
4. HIDDEN VALLEY GOLF COURSE INC. - FAMILY BUSINESS		None	M	W					
5. FAIRVIEW GOLF COURSE INC. - FAMILY BUSINESS		None	N	W					
6. AUBURN GOLF CAR CO. INC. - FAMILY BUSINESS		None	K	W					
7. PARKWAY ANTHRACITE INC. - FAMILY BUSINESS		None	J	W					
8. PAT MAR CO. INC. - FAMILY BUSINESS		None	M	W					
9. NATIONWIDE - ANNUITY	A	Interest	J	T					
10. RIVERVIEW BANK - CHECKING		None	K	T					
11. LOAN RECEIVABLE - PHOENIX CONTRACTING	C	Interest	M	T					
12. GE COMMON STOCK - IRA	A	Dividend	J	T					
13. MRK COMMON STOCK - IRA	A	Dividend	K	T					
14. PEP COMMON STOCK - IRA	A	Dividend	K	T					
15. PFE COMMON STOCK - IRA	A	Dividend	K	T					
16. FIDELITY REAL ESTATE INCOME FUND - MUTUAL FUND - FRIFX	A	Dividend			Sold	02/27/15	J	A	
17. VERIZON - COMMON STOCK - IRA	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 17

Name of Person Reporting

JONES III, JOHN E.

Date of Report

05/11/2016

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18. WELLS FARGO & CO - COMMON STOCK - IRA - WFC	A	Dividend	K	T					
19. UNITED PARCEL SERVICE CL B - COMMON STOCK - IRA	A	Dividend	K	T					
20. INTEL CORP - COMMON STOCK - IRA	A	Dividend	J	T	Sold (part)	05/29/15	J	B	
21. MICROSOFT CORP - COMMON STOCK - IRA	A	Dividend	K	T	Sold (part)	05/29/15	J	B	
22. FIDELITY FUND (FFIDX) - MUTUAL FUND		None			Sold	04/14/15	J	A	
23. AMERICAN CENTURY MID CAP VALUE - ACMVX		None			Sold	02/02/15	J	A	
24. BRIDGEWAY LARGE CAP GROWTH - BRLGX		None			Sold	04/14/15	J	B	
25. VANGUARD US VALUE VUVLX		None			Sold	04/14/15	J	A	
26. WALT DISNEY CO	A	Dividend	J	T					
27. PHOENIX CONTRACTING - FAMILY BUSINESS	B	Distribution	J	W					
28. FIDELITY CONTRA FUND - FCNTX	A	Dividend			Sold	04/14/15	J	B	
29. HEARTLAND VALUE PLUS - HRVIX		None			Sold	04/14/15	J	A	
30. TCW EMERGING MARKETS INCOME - TGINX	A	Dividend			Sold	04/14/15	J	A	
31. AT&T INC - T - IRA	A	Dividend	J	T					
32. CONOCO PHILLIPS - COP - IRA	A	Dividend	J	T					
33. JOHNSON AND JOHNSON - JNJ - IRA	A	Dividend	K	T					
34. ELI LILLY & CO - LLY - IRA	A	Dividend	J	T	Sold (part)	09/22/15	J	C	

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FINANCIAL DISCLOSURE REPORT

Page 7 of 17

Name of Person Reporting

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35. EMERSON ELECTRIC - EMR - IRA	A	Dividend			Sold	04/08/15	J		
36. DUPONT EI DE NEMOURS - DD - IRA	A	Dividend	K	T					
37. PIMCO INV GR BOND CL D	A	Dividend			Sold	02/27/15	J	A	
38. ADVANTAGE MM A/C - OPPENHEIMER	A	Interest	J	T					
39. BRIDGEWAY ULTRA SMALL CO MKT		None			Sold	04/15/15	J		
40. FIDELITY SELECT BIOTECH	A	Dividend			Sold	04/14/15	J	C	
41. T ROWE PRICE BLUE CHIP GR		None			Sold	04/14/15	J	A	
42. PERMANENT PORTFOLIO		None			Sold	02/02/15	J		
43. VANGUARD BALANCED INDEX	A	Dividend			Sold	04/14/15	J	A	
44. ABBVIE INC - IRA	A	Dividend	K	T					
45. ROYAL DUTCH SHELL ADR CL A - IRA	A	Dividend	J	T					
46. AMGEN - IRA	A	Dividend	K	T					
47. APPLE INC - IRA	A	Dividend	K	T					
48. ARTISAN PARTNERS ASSET MGMT - IRA	A	Dividend			Sold	03/04/15	J		
49. BLACKROCK INC - IRA	A	Dividend	K	T					
50. CENTERPOINT ENERGY INC - IRA	A	Dividend			Sold	03/04/15	J		
51. DOW CHEMICAL - IRA	A	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 17

Name of Person Reporting

JONES III, JOHN E.

Date of Report

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52. DUKE ENERGY - IRA	A	Dividend	K	T					
53. FT UNIT 4274 INT RATE HEDGE - IRA	B	Dividend			Sold	06/18/15	L	A	
54. FIRST TR EXCHANGE - ENERGY - IRA	A	Dividend			Sold	02/18/15	K		
55. FIRST TR EXCHANGE - FINANCIAL - IRA (FXO)	A	Dividend			Sold	09/22/15	L	B	
56. FIRST TR EXCHANGE - INDS PRDCT - IRA (FXR)	A	Dividend			Sold	02/08/15	L		
57. JP MORGAN CHASE - IRA	A	Dividend	K	T					
58. KLA - TENCOR CORP - IRA	A	Dividend	J	T					
59. MACY'S INC - IRA	A	Dividend	J	T					
60. NEW YORK COMMUNITY BANK - IRA	A	Dividend			Sold	11/20/15	J	A	
61. NEXTERA ENERGY INC - IRA	A	Dividend	K	T					
62. PHILIP MORRIS INT'L - IRA	A	Dividend	J	T	Sold (part)	04/08/15	J		
63. SUNTRUST BK ATLANTA CD - IRA	A	Interest	M	T					
64. TOTAL SA/SPON ADR - IRA	A	Dividend	J	T					
65. WILLIAMS COS INC - IRA	A	Dividend	J	T					
66. RIVERVIEW BANK - SAVINGS ACCT	A	Interest	J	T					
67. HOME DEPOT INC - IRA	A	Dividend	K	T					
68. FIRST TR EXCH TR FD II TECH - FXL - IRA	A	Dividend			Sold	02/18/15	M	D	

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FINANCIAL DISCLOSURE REPORT

Page 9 of 17

Name of Person Reporting

JONES III, JOHN E.

Date of Report

05/11/2016

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69. SEAGATE TECH PLC - IRA	A	Dividend	J	T					
70. LYONDELLBASELL IND - IRA	A	Dividend	K	T					
71. FIRST TR LARGE CAP - IRA (FTC)	B	Dividend			Sold	02/08/15	M	D	
72. FIRST TR NASDAQ CMMTY - IRA (QABA)	A	Dividend	K	T	Buy (add'l)	09/22/15	K		
73. FIRST TR ALPHA ENERGY - IRA FXN	A	Dividend			Sold	02/08/15	K		
74. FIRST TR ALPHA EMER - IRA FEM	A	Dividend			Sold	02/08/15	K		
75. LOCKHEED MARTIN - IRA	A	Dividend	K	T					
76. OCCIDENTAL PETE - IRA	A	Dividend	J	T					
77. SUNTRUST BK DEP SWEEP - IRA	A	Interest	K	T					
78. BANK WEST CD IRA 2	A	Interest			Redeemed	02/02/15	K		
79. SUNTRUST BANK SWEEP - IRA 2	A	Dividend	J	T					
80. AMERICAN INT'L GRP - IRA 2	A	Interest	J	T					
81. HSBC FINANCE - IRA 2	A	Interest			Sold	04/15/15	J		
82. WACHOVIA GLOBAL - IRA 2	A	Interest	J	T					
83. FT UNIT 4789 TAR DIV - IRA 2	A	Dividend			Sold	08/12/15	L		
84. SUNTRUST BANK SWEEP - IRA 3	A	Dividend	J	T					
85. FT UNIT 4789 TAR DIV - IRA 3	A	Dividend			Sold	06/05/15	K		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 17

Name of Person Reporting

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86. GENERAL ELECTRIC	A	Dividend	J	T	Sold (part)	12/31/15	J	A	
87. AT&T	A	Dividend	J	T	Sold (part)	12/31/15	J	A	
88. ABBVIE INC	A	Dividend	J	T	Sold (part)	12/31/15	J	A	
89. AMGEN INC	A	Dividend	J	T	Sold (part)	12/31/15	J	A	
90. APPLE INC	A	Dividend	J	T	Sold (part)	12/31/15	J	A	
91. SEAGATE TECHNOLOGY	A	Dividend	J	T	Sold (part)	12/31/15	J		
92. LYONDELLBASELL INC	A	Dividend	J	T	Sold (part)	12/31/15	J	A	
93. ARTISAN PARTNERS ASSET	A	Dividend			Sold	03/04/15	J		
94. BLACKROCK INC	A	Dividend	J	T	Sold (part)	12/31/15	J	A	
95. CENTERPOINT ENERGY INC	A	Dividend			Sold	03/04/15	J		
96. CONOCO PHILLIPS	A	Dividend	J	T	Sold (part)	12/31/15	J		
97. DOW CHEMICAL	A	Dividend	K	T	Sold (part)	12/31/15	J	A	
98. DUPONT EI DE NEMOURS	A	Dividend	J	T	Sold (part)	12/31/15	J	A	
99. DUKE ENERGY	A	Dividend	J	T	Sold (part)	12/31/15	J	A	
100. EMERSON ELECTRIC	A	Dividend			Sold	04/08/15	J		
101. HOME DEPOT	A	Dividend	K	T	Sold (part)	12/31/15	J	A	
102. INTEL CORP	A	Dividend	J	T	Sold (part)	05/29/15	J	B	

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FINANCIAL DISCLOSURE REPORT

Page 11 of 17

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. JP MORGAN CHASE	A	Dividend	K	T	Sold (part)	12/31/15	J	A	
104. JOHNSON & JOHNSON	A	Dividend	J	T	Sold (part)	12/31/15	J	A	
105. KLA - TENCOR CORP	A	Dividend	J	T	Sold (part)	12/31/15	J	A	
106. LILLY ELI & CO	A	Dividend	J	T	Sold (part)	12/31/15	J	C	
107. LOCKHEED MARTIN	A	Dividend	J	T	Sold (part)	12/31/15	J	A	
108. MACY'S INC	A	Dividend	J	T	Sold (part)	12/31/15	J		
109. MERCK & CO INC	A	Dividend	J	T	Sold (part)	12/31/15	J	A	
110. MICROSOFT CORP	A	Dividend	J	T	Sold (part)	12/31/15	J	B	
111. NEW YORK COMMUNITY BK	A	Dividend			Sold	11/20/15	J	A	
112. NEXTERA ENERGY	A	Dividend	J	T	Sold (part)	12/31/15	J	A	
113. OCCIDENTAL PETROLEUM	A	Dividend	J	T	Sold (part)	12/31/15	J		
114. PEPSICO INC	A	Dividend	J	T	Sold (part)	12/31/15	J	A	
115. PFIZER INC	A	Dividend	J	T	Sold (part)	12/31/15	J	A	
116. PHILIP MORRIS INTL	A	Dividend	J	T	Sold (part)	12/31/15	J		
117. TOTAL S.A. SPONS. ADR	A	Dividend	J	T	Sold (part)	12/31/15	J		
118. UNITED PARCEL SVC	A	Dividend	J	T	Sold (part)	12/31/15	J	A	
119. VERIZON COMM	A	Dividend	J	T	Sold (part)	12/31/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 17

Name of Person Reporting

JONES III, JOHN E.

Date of Report

05/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. WELLS FARGO & CO NEW	A	Dividend	K	T	Sold (part)	12/31/15	J	A	
121. WILLIAMS CO INC	A	Dividend	J	T	Sold (part)	12/31/15	J		
122. MORGAN STANLEY DW DISC NT	A	Interest	J	T					
123. SUNTRUST BK ATLANTA CD	A	Interest			Sold	10/05/15	K	A	
124. SUNTRUST BK ATLANTA 3.25%	A	Interest	J	T					
125. UNION BANK NAZERE	A	Interest			Sold	10/05/15	K		
126. MUFG UNION BANK	A	Interest	K	T					
127. WELLS FARGO & CO FIX/FLT (X)	A	Interest	J	T					
128. SUNTRUST M/M AK	A	Interest	J	T					
129. CME GROUP INC (X)	A	Dividend	K	T	Buy	09/22/15	K		
130. CMS ENERGY (X)	A	Dividend	J	T	Buy	09/22/15	J		
131. CISCO SYSTEMS (X)	A	Dividend	J	T	Buy	05/29/15	J		
132. INTERNATIONAL PAPER (X)	A	Dividend	J	T	Buy	04/08/15	J		
133. KOHLS CORP (X)	A	Dividend	J	T	Buy	03/04/15	J		
134. CME GROUP INC (X)	A	Dividend	K	T	Sold (part)	12/31/15	J	A	
135. CMS ENERGY (X)	A	Dividend	J	T	Sold (part)	12/31/15	J	A	
136. CISCO SYSTEMS INC (X)	A	Dividend	J	T	Sold (part)	12/31/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 17

Name of Person Reporting

JONES III, JOHN E.

Date of Report

05/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. INTERNATIONAL PAPER (X)	A	Dividend	J	T	Sold (part)	12/31/15	J		
138. KOHLS CORP (X)	A	Dividend	J	T	Sold (part)	12/31/15	J		
139. T ROWE PRICE CAPITAL APP (X)		None			Buy	01/05/15	J		
140. T ROWE PRICE CAPITAL APP (X)		None			Sold	04/14/15	J	A	
141. SCHWAB TOTAL STOCK MKT INDEX (X)		None			Buy	01/15/15	J		
142. SCHWAB TOTAL STOCK MKT INDEX (X)		None			Sold	04/14/15	J	A	
143. CME GROUP INC (X)	A	Dividend	K	T	Buy	09/22/15	K		
144. CMS ENERGY CORP (X)	A	Dividend	J	T	Buy	03/04/15	J		
145. CISCO SYSTEMS (X)	A	Dividend	J	T	Buy	05/19/15	J		
146. INTERNATIONAL PAPER (X)	A	Dividend	J	T	Buy	04/08/15	J		
147. KOHLS CORP (X)	A	Dividend	J	T	Buy	03/04/15	J		
148. FT UNIT 5567 INT RATE HEDGE (X)	A	Dividend	L	T	Buy	06/26/15	L		
149. FT EXCH TRFD II CONS DISC IRA (FXD) (X)	A	Dividend			Buy	06/26/15	K		
150. FT EXCH TRFD II CONS DISC IRA (FXD) (X)	A	Dividend			Sold	09/22/15	K		
151. FT EXCH TR FD II TECH ALPHA (FXL) (X)	A	Dividend	L	T	Buy	09/22/15	L		
152. FT L CAP GROWTH ALPHA EX (FTC) (X)	A	Dividend	K	T	Buy	09/22/15	K		
153. FT EXCH TR ALPHA EX FD EUR (FEP) (X)	A	Dividend	L	T	Buy	09/22/15	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 17

Name of Person Reporting

JONES III, JOHN E.

Date of Report

05/11/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. FT EXCH TR ALPHA DEX FD II JAPAN (FJP) (X)	A	Dividend	K	T	Buy	09/22/15	K		
155. FT ETF CAP STRENGTH (FTCS) (X)	A	Dividend	K	T	Buy	09/22/15	K		
156. FT LARGE CAP CORE ALPHA DEX (FEX) (X)	A	Dividend	L	T	Buy	09/22/15	L		
157. FT EXCH TR FD II FIN ALPHA DEX (FXO) (X)	A	Dividend	K	T	Buy	09/22/15	K		
158. FT EXCH TR FD II HLTH CARE (FXH) (X)	A	Dividend	K	T	Buy	09/22/15	K		
159. FT PORTFOLIOS SM CAP CORE ALPHA (FYX) (X)	A	Dividend			Buy	02/18/15	K		
160. FT PORTFOLIOS SM CAP CORE ALPHA (FYX) (X)	A	Dividend			Sold	09/22/15	K		
161. FT PORTFOLIOS MIDCAP (FNX) (X)	A	Dividend			Buy	02/18/15	K		
162. FT PORTFOLIOS MIDCAP (FNX) (X)	A	Dividend			Sold	09/22/15	K		
163. FT LG CAPITAL VALUE FTA (X)	A	Dividend			Buy	02/08/15	L		
164. FT LG CAPITAL VALUE FTA (X)	A	Dividend			Sold	09/22/15	K		
165. FT L CAP GROWTH (FTC) (X)	A	Dividend			Buy	02/18/15	M		
166. FT L CAP GROWTH (FTC) (X)	A	Dividend			Sold	09/22/15	M		
167. FT EXCH TRADED ALPHA II EUROPE FEP (X)	A	Dividend			Buy	02/18/15	L		
168. FT EXCH TRADED ALPHA II EUROPE FEP (X)	A	Dividend			Sold	09/22/15	L		
169. PRIMAL SPACE SYS INC (X)		None	K	W	Buy	07/31/15	K		
170. FT UNIT 5551 CAP STRENGTH (X)	A	Dividend	L	T	Buy	08/13/15	L		

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FINANCIAL DISCLOSURE REPORT

Page 15 of 17

Name of Person Reporting

JONES III, JOHN E.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. FT PORTFOLIOS MID CAP IRA 2 (FNX) (X)	A	Dividend	J	T	Buy	04/21/15	J		
172. FT UNIT 5535 STRENGTH PORT SER 28 (X)	A	Dividend	K	T	Buy	06/15/15	K		
173.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 17

Name of Person Reporting

JONES III, JOHN E.

Date of Report

05/11/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII - ITEM 126 - NAME CHANGE FROM UNION BANK NAZARETH TO MUFG UNION BANK

FINANCIAL DISCLOSURE REPORT

Page 17 of 17

Name of Person Reporting

JONES III, JOHN E.

Date of Report

05/11/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ JOHN E. JONES III**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544