

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Urbanski, Michael F.	2. Court or Organization Virginia - Western District	3. Date of Report 05/12/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 210 Franklin Road, SW, Room 350 Roanoke, VA 24011-2208		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Trustee	Family Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Urbanski, Michael F.

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05/12/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2016

Part-Time Employee - [REDACTED] / self-employed gardener (occasional)

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. Virginia CLE

01/11/2016-01/14/2016

Charlottesville, VA

National Trial Advocacy
College (teaching/mock
trial judging)

Meals, Lodging

2. William and Mary Law
School

02/12/2016-02/14/2016

Williamsburg, VA

Moot court competition
(judging)

Meals

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Urbanski, Michael F.

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05/12/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Urbanski, Michael F.

Date of Report

05/12/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Bank (accounts)	A	Interest	M	T					
2. Grayson Bankshares, Inc. GSON - Common Stock	A	Dividend			Sold	07/01/16	K		
3. Parkway Acquisition Corp. PKKW:US - Common Stock	A	Dividend	K	T	Buy	07/01/16	K		
4. Shenandoah Life Insurance Co.- Whole Life	A	Dividend			Redeemed	11/11/16	J		
5. MetLife Policyholder Trust	A	Dividend	J	T					
6. Real Estate/ Carroll Co., VA (1/2 int.)(see Part VIII)		None	L	S					
7. AXA Equitable IRA/Multimanager Aggressive Equity Fund	A	Dividend	J	T					
8. Northwestern Mutual - Ordinary Life	B	Dividend	K	T					
9. Northwestern Mutual - Custom CompLife	C	Dividend	K	T					
10. Northwestern Mutual - Term to Age 65	B	Dividend	K	T					
11. Northwestern Mutual - Whole Life	B	Dividend	K	T					
12. Northwestern Mutual - Adjustable CompLife	A	Dividend			Redeemed	12/28/16	J		
13. USAA Subscriber's Account *	A	Distribution	J	T					
14. USAA Life Ins. Co. Annuity (fixed)*	D	Interest	N	T					
15. USAA Life Ins. Co. Annuity (fixed)*	A	Interest	J	T	Sold (part)	11/19/16	J	A	
16. USAA Life Ins. Co. Annuity (fixed)*	A	Interest	K	T	Sold (part)	07/28/16	J	B	
17. Wells Fargo Advisors Cash Account *	A	Interest	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Invt Mngrs Ctr Coast Ins CCCNX *	B	Dividend	J	T	Sold (part)	03/15/16	J		
19.					Sold (part)	06/28/16	K		
20. Federated Strt Flu Instl SVAIX *	C	Dividend	K	T	Sold (part)	03/15/16	J		
21.					Sold (part)	06/28/16	J	A	
22. Legg Mason Clbrdg Agg I SAGYX *	B	Distribution	L	T	Sold (part)	03/15/16	J	A	
23. Touchstone Mid Cap Inst. TMCPIX *	A	Dividend	K	T	Sold (part)	06/28/16	J	A	
24. CUNA Mutual Members Variable Annuity (H)*									
25. -Guaranteed Return*	C	Interest	M	T					
26. -Ultra Series Fund Large Cap Growth*		None	J	T					
27. -Ultra Series Fund Large Cap Value*		None	J	T					
28. -Ultra Series Fund Mid Cap*		None	J	T					
29. -Ultra Series Fund Diversified Income*		None	J	T					
30. -Money Market*		None			Sold	02/12/16	K		
31. -Vanguard VIF Money Market*		None	K	T	Buy	02/12/16	K		
32. Ist Advantage Federal Credit Union - Accounts *	A	Dividend	J	T					
33. American General Life Insurance - Whole Life *	A	Interest	J	T					
34. Invesco High Yield Mun. Fd CL Y ACTDX *	B	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 8

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35. Am Pension Invs Tr Multi-Asset Income Fund APIIX *	B	Dividend	K	T					
36. Henderson Global Equity Income CL I HFQIX *	C	Dividend	K	T					
37. Undiscovered Mgrs Beh Value IUBVLX*	A	Dividend	K	T					
38. T. Rowe Price Real Estate Fund TRREX*	A	Dividend	K	T	Buy	06/28/16	K		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Urbanski, Michael F.

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05/12/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Investments and Trusts, Line 6. One-half interest in real estate in Carroll County, Virginia. The assessed value of the entire parcel is \$162,300.

Part VII Investments and Trusts, Lines 13-38. All of these assets, indicated with an asterisk, are owned by Family Trust #1, of which I am a co-trustee.

Part VII Investments and Trusts, Line 35. American Pension Investors Trust ("API") Multi-Asset Income Fund I (APIIX) was formerly API Efficient Frontier Income Fund (APIIX).

Part VII Investments and Trusts, Lines 24-31. Income on the assests held in the CUNA Mutual Members Variable Annuity (apart from the fixed portion at Line 25) are not reported by CUNA Mutual.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Urbanski, Michael F.

Date of Report

05/12/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Michael F. Urbanski**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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