

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Johnson, William P.	2. Court or Organization District Court - New Mexico	3. Date of Report 01/05/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U. S. District Court 333 Lomas Blvd., NW, #640 Albuquerque, NM 87102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2013	NM State Employees Pension Fund; pension at age 64; no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2013

State of New Mexico, retirement pension

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. George Mason Univ. School
of Law JEP, Economics
Institute for Judges, Week 1

April 7-12, 2013

Arlington, VA

Judicial Education Program
in economicslodging, meals and partial transportation
reimbursement for reporting individual

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Credit Card	credit card/unsecured loan	J
2.	NM Bank & Trust	unsecured line of credit	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Bank (checking accounts)	D	Interest	J	T					
2. NM Bank & Trust (checking account)		None	J	T	Open	04/15/13	J		
3. NFS/FMTC, (IRA custodian)	A	Dividend			Closed	02/27/13	L	A	
4. Fidelity Management Trust Co. (IRA custodian)					Open	01/22/13	L		
5. --Prime Capital Reserves Fund (money market)	A	Dividend			Sold	01/28/13	J	A	
6. --Aegon NV PFD (symbol: AEH)		None			Sold	02/11/13	J	A	
7. --Apache Corp com stock		None			Sold	02/21/13	J	A	
8. --Chevron Corp com stock	A	Dividend	J	T					
9. --Coca Cola com stock	A	Dividend	J	T	Sold (part)	04/12/13	J	B	
10. --ConocoPhillips	A	Dividend	J	T	Buy	02/20/13	J		
11. --Cohen & Steers Infrastructure FD (sym: UTF)		None			Sold	02/13/13	J	A	
12. --Exxon Mobile com stock	A	Dividend	J	T	Buy	02/12/13	J		
13. --Facebook com stock		None	J	T	Buy	09/13/13	J		
14.					Buy (add'l)	10/11/13	J		
15. --Fidelity Gov't Money Mkt fund (SPAXX)	A	Dividend	J	T	Buy	01/28/13	J		
16. --Fidelity Canada fund (FICDX)	A	Dividend			Buy	02/13/13	J		
17.					Sold	08/07/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --Fidelity Int'l Growth fund (FIGFX)	A	Dividend	J	T	Buy	02/15/13	J		
19. --Fidelity Floating Rate Income fund (FFRHX)	A	Dividend			Buy	05/10/13	J		
20.					Sold	09/30/13	J	A	
21. --Fidelity Focused Stock fund (FTQGX)	A	Dividend	J	T	Buy	02/12/13	J		
22. --Fidelity Contrafund (FCNTX)	A	Dividend	J	T	Buy	05/16/13	J		
23. --Fidelity GNMA fund (FGMNX)	A	Dividend			Buy	05/13/13	J		
24.					Sold	10/01/13	J	A	
25. --Fidelity Low Priced Stock fund (FLPSX)	A	Dividend	J	T	Buy	05/14/13	J		
26. --Fidelity OTC Port fund (FOCPX)	A	Dividend	J	T	Buy	05/10/13	J		
27. --Fidelity Select IT Services fund (FBSOX)	A	Dividend	J	T	Buy	02/13/13	J		
28. --Fidelity Select Retailing fund (FSRPX)	A	Dividend	J	T	Buy	05/13/13	J		
29. --Fidelity Select Biotech fund (FBIOX)	A	Dividend	J	T	Buy	02/15/13	J		
30. --Fidelity Select Chemicals fund (FSCHX)	A	Dividend	J	T	Buy	02/14/13	J		
31. --Ford Motor com stock		None			Buy	02/14/13	J		
32.					Sold	04/01/13	J	A	
33. --Flaherty & Crumrine PFD SECS Income FD (sym: FFC)	A	Dividend			Sold	02/11/13	J	A	
34. --Inergy LP (sym: NRGY)		None			Sold	02/11/13	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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(See Column C2)	U = Book Value	V = Other	W = Estimated		

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35. --Ishares Silver TR		None			Sold	05/20/13	J		
36. --Nabors Indust. LTD com stock	A	Dividend			Buy	02/21/13	J		
37.					Sold	11/07/13	J	A	
38. --Peabody Ener. com. stock	A	Dividend			Sold	02/15/13	J		
39. --Proctor & Gamble c.stock		None			Sold	02/28/13	J	B	
40. --Proshares Tr Short Dow 30 Proshares		None			Sold	01/22/13	J	A	
41. --Streettracks Gold TR SHS (GLD)		None	J	T					
42. --Suburban Propane Partners LP (sym: SPH)		None			Sold	02/13/13	J	A	

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, lines 3 and 4: Individual Retirement Account assets were rolled over from one custodian to a new one. On 1/22/13, Fidelity Management Trust Co. became new IRA custodian and the rollover process of IRA assets began. IRA rollover was completed on 2/27/13 was completed and NFS/FMTC ceased to be IRA custodian. Additionally, columns B and C were left blank on Line 4 because all income and reportable transactions for this IRA are set forth in lines 5-42 for the assets within this IRA.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ William P. Johnson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544