

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Vescovo, Diane K.	2. Court or Organization Western District of Tennessee	3. Date of Report 07/11/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge Fulltime	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 341 Federal Building 167 North Main Street Memphis, Tennessee 38103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Board Member	University of Memphis Board of Visitors
2.	Director	Federal Magistrate Judges Association
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

Salary - Black McLaren Jones Ryland & Griffee PLC Law Firm

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo Bank	1st Mortgage on Real Property #1 (Rental) - Destin, FL	N
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Real Property #1, Destin, FL, Undivided 1 interest	E	Rent	O	R					
2. Sovereign Inherent Return Fund, L.P.		None	J	T	Redeemed (part)	08/31/13	J		
3.					Redeemed (part)	11/30/13	J		
4. 1st Tennessee Bank Accounts	A	Interest	M	T					
5. Federal Credit Union Accounts	A	Interest	J	T					
6. Northwestern Whole Life	A	Dividend	L	T					
7. Sun Whole Life	A	Dividend	L	T					
8. Morgan Keegan Account									
9. --Cash Regions FDIC	A	Interest			Distributed	02/19/13	J		
10. --Thornburg Limited Term Muni BD Fund Class I	A	Dividend			Distributed	02/19/13	J		
11. --Dodge & Cox Income		None			Distributed	02/19/13	K		
12. --PIMCO Total Return Fund P	A	Dividend			Distributed	02/19/13	J		
13. --Vanguard Short Term Bond Index Fund	A	Dividend			Distributed	02/19/13	J		
14. --iShares Technology Sector IDX Trust Dow Jones US Fund		None			Distributed	02/19/13	K		
15. --iShares Industry Index Trust Dow Jones US		None			Distributed	02/19/13	K		
16. --Nuveen Global Infrastructure I (see Notes)		None			Distributed	02/19/13	K		
17. --Franklin Inc Adv	A	Dividend			Distributed	02/19/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000 J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000 K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000 L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000 M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --Thornburg Investment Income Builder Fund I		None			Distributed	02/19/13	K		
19. --Greenhaven Continuous Commodity Index Fund		None			Distributed	02/19/13	J		
20. --iShares DJ US Energy Sector Index Fund		None			Distributed	02/19/13	K		
21. --FPA Crescent Fund		None			Distributed	02/19/13	K		
22. --Ivy Asset Strategy Fund I		None			Distributed	02/19/13	K		
23. --RS Global Natural Resources		None			Distributed	02/19/13	K		
24. --Templeton Global Bond Fund Advisor Class	A	Dividend			Distributed	02/19/13	K		
25. --Energy Transfer Partners LP	A	Distribution			Distributed	02/19/13	J		
26. --Energy Transfer Equity		None			Distributed	02/19/13	K		
27. --Enterprise Product Partners LP	A	Distribution			Distributed	02/19/13	K		
28. --Kinder Morgan Energy Partners LP	A	Distribution			Distributed	02/19/13	K		
29. --Plains All American Pipeline LP	A	Distribution			Distributed	02/19/13	K		
30. --First Trust Financial Alphadex Fun		None			Distributed	02/19/13	K		
31. --First Trust Health Care Alphadex Fund		None			Distributed	02/19/13	K		
32. --Fairholme Fund		None			Distributed	02/19/13	K		
33. --Kinder Morgan, Inc.	A	Dividend			Distributed	02/19/13	K		
34. --Alger Spectra I		None			Distributed	02/19/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. --Federated Strategic Value IS	A	Dividend			Distributed	02/19/13	K		
36. --Glenmede Small Cap Equity Institutional Shares		None			Distributed	02/19/13	K		
37. --Oppenheimer Developing Markets		None			Distributed	02/19/13	K		
38. --Prudential Jennison Equity Income Fund CL		None			Distributed	02/19/13	K		
39. --Riverpark/Wedgewood Fund Institutional		None			Distributed	02/19/13	K		
40. --Scout Mid Cap		None			Distributed	02/19/13	K		
41. --SunAmerica Focused Dividend Strategy Port Class A		None			Distributed	02/19/13	K		
42. --PIMCO All Assett All Authority		None			Distributed	02/19/13	K		
43. --EV Energy Partners LP	A	Distribution			Distributed	02/19/13	K		
44. --Magellan Midstream Partners LP	A	Distribution			Distributed	02/19/13	K		
45. Morgan Keegan IRA Account									
46. --Cash Regions FDIC	A	Interest			Distributed	02/19/13	J		
47. --Dodge& Cox Income		None			Distributed	02/19/13	J		
48. --PIMCO Total Return Fund P	A	Dividend			Distributed	02/19/13	J		
49. --First Trust Financial Alphadex Fund		None			Distributed	02/19/13	J		
50. --First Trust Health Care Alphadex Fund		None			Distributed	02/19/13	J		
51. --First Trust Industrials Producers Durables Alphadex Fund		None			Distributed	02/19/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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52. --First Trust Tech Alpha Dex		None			Distributed	02/19/13	J		
53. --Fairholme Fund		None			Distributed	02/20/13	K		
54. --Franklin Inc Adv	A	Dividend			Distributed	02/19/13	K		
55. --Thornburg Investment Income Builder Fund I		None			Distributed	02/19/13	J		
56. --Physical Precious Metal ETFS		None			Distributed	02/19/13	J		
57. --First Trust Energy		None			Distributed	02/19/13	J		
58. --Greenhaven Continuous Commodity Index Fund		None			Distributed	02/19/13	J		
59. --FPA Crescent Fund	A	Dividend			Distributed	02/19/13	J		
60. --Ivy Asset Strategy Fund I		None			Distributed	02/19/13	J		
61. --RS Global Natural Resources Y		None			Distributed	02/19/13	J		
62. --Templeton Global Bond Fund Advisor Class	A	Dividend			Distributed	02/20/13	J		
63. --Alger Spectra I		None			Distributed	02/19/13	J		
64. --Glenmede Small Cap Equity Institutional Shares		None			Distributed	02/19/13	K		
65. --Oppenheimer Developing Markets		None			Distributed	02/20/13	J		
66. --Riverpark/Wedgewood Fund Institutional		None			Distributed	02/19/13	J		
67. --Scout Mid Cap		None			Distributed	02/21/13	K		
68. --PIMCO All Assett All Authority		None			Distributed	02/19/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. Morgan Keegan Account									
70. --Cash Regions FDIC	A	Interest			Distributed	02/19/13	M		
71. --iShares Technology Sector IDX Trust Dow Jones US Fund		None			Distributed	02/19/13	K		
72. --iShares Industry Index Trust Dow Jones US		None			Distributed	02/19/13	K		
73. --Nuveen Global Infrastructure I		None			Distributed	02/19/13	K		
74. --Thornburg Investment Income Builder Fund I		None			Distributed	02/19/13	K		
75. --Greenhaven Continuous Commodity Index Fund		None			Distributed	02/19/13	K		
76. --iShares DJ US Energy Sector Index Fund		None			Distributed	02/19/13	K		
77. --Ivy Asset Strategy Fund I		None			Distributed	02/19/13	K		
78. --RS Global Natural Resources		None			Distributed	02/19/13	K		
79. --Energy Transfer Partners LP	A	Distribution			Distributed	02/19/13	K		
80. --Energy Transfer Equity		None			Distributed	02/19/13	K		
81. --Enterprise Product Partners LP	A	Distribution			Distributed	02/19/13	L		
82. --Kinder Morgan Energy Partners LP	A	Distribution			Distributed	02/19/13	K		
83. --Plains All American Pipeline LP	A	Distribution			Distributed	02/19/13	K		
84. --First Trust Financial Alphadex Fund		None			Distributed	02/19/13	K		
85. --First Trust Health Care Alphadex Fund		None			Distributed	02/19/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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86. --Fairholme Fund		None			Distributed	02/19/13	K		
87. --Franklin Inc Adv	A	Dividend			Distributed	02/19/13	K		
88. --Kinder Morgan, Inc.	A	Dividend			Distributed	02/19/13	K		
89. --Alger Spectra I		None			Distributed	02/19/13	K		
90. --Federated Strategic Value IS	A	Dividend			Distributed	02/19/13	K		
91. --Glenmede Small Cap Equity Institutional Shares		None			Distributed	02/19/13	K		
92. --Oppenheimer Developing Markets		None			Distributed	02/20/13	K		
93. --Prudential Jennison Equity Income Fund CL		None			Distributed	02/19/13	K		
94. --Riverpark/Wedgewood Fund Institutional		None			Distributed	02/19/13	K		
95. --Scout Mid Cap		None			Distributed	02/21/13	K		
96. --SunAmerica Focused Dividend Strategy Port Class A		None			Distributed	02/19/13	K		
97. --PIMCO All Assett All Authority		None			Distributed	02/19/13	K		
98. --EV Energy Partners LP	A	Distribution			Distributed	02/19/13	K		
99. --Magellan Midstream Partners LP	A	Distribution			Distributed	02/19/13	K		
100. Morgan Keegan IRA Account									
101. --Cash Regions FDIC	A	Interest			Distributed	02/19/13	L		
102. --Thornburg Investment Income Builder Fund I		None			Distributed	02/19/13	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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103. -- Templeton Global Income Fund, INC	A	Dividend			Distributed	02/19/13	J		
104. --FPA Crescent Fund	B	Dividend			Distributed	02/19/13	K		
105. --Dodge & Cox Income		None			Distributed	02/19/13	K		
106. --Loomis Sayles Bond Fund	A	Dividend			Distributed	02/19/13	K		
107. --PIMCO Total Return Fund P	A	Dividend			Distributed	02/19/13	K		
108. --Vanguard Short Term Bond Index Fund	A	Dividend			Distributed	02/19/13	K		
109. --Alger Spectra I		None			Distributed	02/19/13	K		
110. --Fairholme Fund		None			Distributed	02/19/13	K		
111. --Federated Strategic Value IS	A	Dividend			Distributed	02/19/13	K		
112. --Glenmede Small Cap Equity Institutional Shares		None			Distributed	02/19/13	K		
113. --Oppenheimer Developing Markets		None			Distributed	02/19/13	L		
114. --Prudential Jennison Equity Income Fund CL		None			Distributed	02/19/13	K		
115. --Riverpark/Wedgewood Fund Institutional		None			Distributed	02/19/13	K		
116. --Scout Mid Cap		None			Distributed	02/19/13	K		
117. --Franklin Inc Adv	A	Dividend			Distributed	02/19/13	K		
118. --JP Morgan Alerian MLP Index		None			Distributed	02/19/13	K		
119. --Ivy Asset Strategy Fund I		None			Distributed	02/19/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. --PIMCO All Assett All Authority		None			Distributed	02/19/13	L		
121. --Osterweis Strategic Income		None			Distributed	02/19/13	K		
122. --Templeton Global Bond Fund Advisor Class	A	Dividend			Distributed	02/19/13	K		
123. Raymond James Account									
124. --Cash Regions FDIC	A	Interest	J	T	Open	02/19/13	J		
125. --Kinder Morgan, Inc.	A	Dividend	K	T	Open	02/19/13	K		
126. --EV Energy Partners LP	A	Distribution	J	T	Open	02/19/13	K		
127. --Energy Transfer Partners LP	A	Distribution	J	T	Open	02/19/13	J		
128. --Energy Transfer Equity	B	Distribution	K	T	Open	02/19/13	K		
129. --Enterprise Product Partners LP	B	Distribution	K	T	Open	02/19/13	K		
130. --Kinder Morgan Energy Partners LP	A	Distribution	K	T	Open	02/19/13	K		
131. --Magellan Midstream Partners LP	A	Distribution	K	T	Open	02/19/13	K		
132. --Plains All American Pipeline LP	B	Distribution	K	T	Open	02/19/13	K		
133. --Alger Spectra I	B	Distribution	K	T	Open	02/19/13	K		
134. --Dodge & Cox Income	A	Dividend	K	T	Open	02/19/13	K		
135. --FPA Crescent Fund	B	Distribution	K	T	Open	02/19/13	K		
136. --The Fairholme Fund	C	Distribution	K	T	Open	02/19/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. --Federated Strategic Value IS	B	Dividend	K	T	Open	02/19/13	K		
138. --Franklin Income Fund	B	Dividend	K	T	Open	02/19/13	K		
139. --Glenmede Small Cap Equity Institutional Shares	B	Distribution	K	T	Open	02/19/13	K		
140. --Oakmark International Fund	A	Dividend	K	T	Buy	07/09/13	K		
141. --Ivy Asset Strategy Fund I	A	Dividend	K	T	Open	02/19/13	K		
142. --Clearbridge Aggressive Growth Fund	A	Distribution	K	T	Buy	07/09/13	K		
143. --Nuveen Global Infrastructure I	B	Dividend	K	T	Open	02/19/13	K		
144. --Oppenheimer Developing Markets Fund	A	Dividend	K	T	Open	02/19/13	K		
145. --PIMCO All Assett All Authority Fund	B	Dividend	K	T	Open	02/19/13	K		
146. --PIMCO Total Return Fund P	A	Dividend	J	T	Open	02/19/13	J		
147.					Sold (part)	07/22/13	J		
148. --Prudential Jennison Equity Income Fund CL	B	Distribution	K	T	Open	02/19/13	K		
149. --RS Global Natural Resources	A	Distribution	K	T	Open	02/19/13	K		
150. --Riverpark/Wedgewood Fund Institutional	A	Distribution	K	T	Open	02/19/13	K		
151. --Scout Mid Cap	B	Distribution	K	T	Open	02/19/13	K		
152. --SunAmerica Focused Dividend Strategy Port Class A	B	Dividend	K	T	Open	02/19/13	K		
153. --Templeton Global Bond Fund Advisor Class	A	Dividend	K	T	Open	02/19/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. --Thornburg Investment Income Builder Fund I	B	Dividend	K	T	Open	02/19/13	K		
155. --First Trust ETF Financial Alphadex Fund	A	Dividend	K	T	Open	02/19/13	K		
156. --First Trust Health Care Alphadex Fund	A	Dividend	K	T	Open	02/19/13	K		
157. --iShares U.S. Tech ETF	A	Dividend	K	T	Open	02/19/13	K		
158. --iShares U.S. Inds ETF	A	Dividend	K	T	Open	02/19/13	K		
159. --iShares U.S. Energy ETF	A	Dividend	K	T	Open	02/19/13	K		
160. --Thornburg Limited Term Muni BD Fund Class I	A	Dividend			Open	02/19/13	J		
161.					Sold	07/11/13	J	A	
162. --Vanguard Short Term Bond Index Fund	A	Dividend			Open	02/19/13	J		
163.					Sold	07/09/13	J		
164. --Greenhaven Continuous Commodity Index Fund		None			Open	02/19/13	J		
165.					Sold	07/11/13	J	A	
166. Raymond James IRA Account									
167. --Cash	A	Interest	J	T	Open	02/19/13	J		
168. --Alger Spectra Fund		None	J	T	Open	02/19/13	J		
169.					Buy (add'l)	07/15/13	J		
170. --Dodge& Cox Income Fund	A	Dividend	J	T	Open	02/19/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. --ETFS Precious Metal Basket Physical		None	J	T	Open	02/19/13	J		
172. --FPA Crescent Fund	A	Dividend	J	T	Open	02/19/13	J		
173. --The Fairholme Fund		None	K	T	Open	02/20/13	K		
174. --First Trust ETF Energy Alphasdex Fund	A	Dividend	J	T	Open	02/19/13	J		
175. --First Trust ETF Financial Alphasdex Fund	A	Dividend	J	T	Open	02/19/13	J		
176. --First Trust Health Care Alphasdex Fund	A	Dividend	J	T	Open	02/19/13	J		
177. --First Trust ETF Industrials Prod Durable	A	Dividend	J	T	Open	02/19/13	J		
178. --First Trust ETF Technology Alphasdex	A	Dividend	J	T	Open	02/19/13	J		
179. --Franklin Income Fund Advisor Class	A	Dividend	K	T	Open	02/19/13	K		
180. --Glenmede Small Cap Equity Institutional Class		None	K	T	Open	02/19/13	K		
181. --Oakmark International Fund	A	Dividend	J	T	Buy	07/11/13	J		
182. --Ivy Asset Strategy Fund I	A	Dividend	J	T	Open	02/19/13	J		
183. --Oppenheimer Developing Markets	A	Dividend	J	T	Open	02/20/13	J		
184. --PIMCO All Assett All Authority	A	Dividend	K	T	Open	02/19/13	K		
185. --PIMCO Total Return Fund Class P	A	Dividend	J	T	Open	02/19/13	J		
186. --RS Global Natural Resources Y		None	J	T	Open	02/19/13	J		
187. --Riverpark/Wedgewood Fund Institutional		None	J	T	Open	02/19/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	07/11/13	J		
189. --Scout Mid Cap Fund	A	Dividend	K	T	Open	02/21/13	K		
190. --Sunamerica Focused Dividend Strategy Fund	A	Dividend	J	T	Buy	07/15/13	J		
191. --Templeton Global Bond Fund Advisor Class	A	Dividend	J	T	Open	02/20/13	J		
192. --Thornburg Investment Income Builder Fund I	A	Dividend	K	T	Open	02/19/13	J		
193. --Greenhaven Continuous Commodity Index Fund		None			Open	02/19/13	J		
194.					Sold	07/11/13	J	A	
195. Raymond James Account									
196. --Cash Regions FDIC	A	Interest	K	T	Open	02/19/13	M		
197. --Kinder Morgan, Inc.	A	Dividend	K	T	Open	02/19/13	K		
198. --Williams Companies, Inc.		None	K	T	Buy	09/27/13	K		
199. --EV Energy Partners LP	A	Distribution	J	T	Open	02/19/13	K		
200. --Energy Transfer Partners LP	A	Distribution	K	T	Open	02/19/13	K		
201. --Energy Transfer Equity	B	Distribution	L	T	Open	02/19/13	K		
202. --Enterprise Product Partners LP	B	Distribution	L	T	Open	02/19/13	L		
203. --Kinder Morgan Energy Partners LP	A	Distribution	K	T	Open	02/19/13	K		
204. --Magellan Midstream Partners LP	A	Distribution	K	T	Open	02/19/13	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. --Plains All American Pipeline LP	B	Distribution	K	T	Open	02/19/13	K		
206. --Alger Spectra I	B	Distribution	K	T	Open	02/19/13	K		
207. --The Fairholme Fund	C	Distribution	K	T	Open	02/19/13	K		
208. --Federated Strategic Value IS	B	Dividend	K	T	Open	02/19/13	K		
209.					Buy (add'l)	07/11/13	J		
210.					Buy (add'l)	08/09/13	J		
211. --Franklin Income Fund	B	Dividend	K	T	Open	02/19/13	K		
212. --Glenmede Small Cap Equity Institutional Shares	B	Distribution	K	T	Open	02/19/13	K		
213. --Oakmark International Fund	A	Dividend	L	T	Buy	07/09/13	K		
214.					Buy (add'l)	08/09/13	J		
215.					Buy (add'l)	09/10/13	J		
216. --Ivy Asset Strategy Fund I	A	Dividend	K	T	Open	02/19/13	K		
217. --Clearbridge Aggressive Growth Fund	A	Distribution	K	T	Buy	07/09/13	J		
218.					Buy (add'l)	08/09/13	J		
219.					Buy (add'l)	09/10/13	J		
220. --Nuveen Global Infrastructure I	B	Dividend	K	T	Open	02/19/13	K		
221. --Oppenheimer Developing Markets Fund	A	Dividend	L	T	Open	02/19/13	K		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Buy (add'l)	07/11/13	J		
223.					Buy (add'l)	08/13/13	J		
224. --PIMCO All Assett All Authority Fund	B	Dividend	K	T	Open	02/19/13	K		
225. --Principal Global Diversified Income Fund	A	Dividend	K	T	Buy	07/11/13	J		
226.					Buy (add'l)	08/13/13	J		
227.					Buy (add'l)	09/10/13	J		
228. --Prudential Jennison Equity Income Fund CL	B	Distribution	K	T	Open	02/19/13	K		
229.					Buy (add'l)	07/11/13	J		
230.					Buy (add'l)	08/13/13	J		
231. --RS Global Natural Resources	A	Distribution	K	T	Open	02/19/13	K		
232. --Riverpark/Wedgewood Fund Institutional	A	Distribution	K	T	Open	02/19/13	K		
233. --Scout Mid Cap	B	Distribution	K	T	Open	02/19/13	K		
234. --SunAmerica Focused Dividend Strategy Port Class A	B	Dividend	K	T	Open	02/19/13	K		
235.					Buy (add'l)	07/11/13	J		
236. --Thornburg Investment Income Builder Fund I	B	Dividend	K	T	Open	02/19/13	K		
237. --First Trust ETF Financial Alphadex Fund	A	Dividend	K	T	Open	02/19/13	K		
238. --First Trust Health Care Alphadex Fund	A	Dividend	L	T	Open	02/19/13	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. --iShares U.S. Tech ETF	A	Dividend	L	T	Open	02/19/13	K		
240. --iShares U.S. Inds ETF	A	Dividend	L	T	Open	02/19/13	K		
241. --iShares U.S. Energy ETF	A	Dividend	L	T	Open	02/19/13	K		
242. --Neuberger Berman Long Short Fund Class A	A	Distribution	K	T	Buy	07/11/13	J		
243.					Buy (add'l)	08/13/13	J		
244. --Greenhaven Continuous Commodity Index Fund		None			Open	02/19/13	K		
245.					Sold	09/27/13	J	A	
246. Raymond James IRA Account									
247. --Cash	A	Interest	J	T	Open	02/19/13	L		
248. --Alger Spectra Fund	B	Distribution	K	T	Open	02/19/13	K		
249.					Buy (add'l)	07/11/13	J		
250.					Buy (add'l)	08/13/13	J		
251. --Dodge& Cox Income Fund	A	Dividend	K	T	Open	02/19/13	K		
252. --FPA Crescent Fund	B	Dividend	L	T	Open	02/19/13	K		
253. --The Fairholme Fund	C	Distribution	K	T	Open	02/20/13	K		
254. --Federated Strategic Value Dividend Fund	B	Dividend	K	T	Open	02/19/13	K		
255. --Franklin Income Fund Advisor Class	B	Dividend	K	T	Open	02/19/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Vescovo, Diane K.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. --Glenmede Small Cap Equity Institutional Class	B	Distribution	K	T	Open	02/19/13	K		
257. --Oakmark International Fund	B	Dividend	K	T	Buy	07/09/13	J		
258.					Buy (add'l)	08/09/13	J		
259.					Buy (add'l)	09/10/13	J		
260.					Buy (add'l)	11/05/13	K		
261. --Ivy Asset Strategy Fund I	A	Dividend	K	T	Open	02/19/13	J		
262. --Clearbridge Aggressive Growth Fund I	A	Distribution	K	T	Buy	07/09/13	J		
263.					Buy (add'l)	08/09/13	J		
264.					Buy (add'l)	09/10/13	J		
265.					Buy (add'l)	11/05/13	J		
266. --Loomis Sayles Bond Fund Retail Class	B	Dividend	K	T	Open	02/19/13	K		
267. --Oppenheimer Developing Markets	A	Dividend	L	T	Open	02/20/13	L		
268. --PIMCO All Assett All Authority	B	Dividend	K	T	Open	02/19/13	L		
269.					Sold (part)	07/19/13	K		
270. --PIMCO Total Return Fund Class P	A	Dividend	K	T	Open	02/19/13	K		
271. --Principal Global Diversified Income Fund	A	Dividend	K	T	Buy	07/11/13	J		
272.					Buy (add'l)	08/13/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Vescovo, Diane K.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273.					Buy (add'l)	09/12/13	J		
274. --Osterweis Strategic Income Fund	B	Dividend	K	T	Open	02/19/13	K		
275. --Prudential Jennison Equity Income Fund	B	Distribution	K	T	Open	02/19/13	K		
276. --Riverpark/Wedgewood Fund Institutional	A	Distribution	K	T	Open	02/19/13	K		
277.					Buy (add'l)	07/09/13	J		
278.					Buy (add'l)	08/09/13	J		
279. --Scout Mid Cap Fund	B	Distribution	K	T	Open	02/21/13	K		
280. --Sunamerica Focused Dividend Strategy Fund	B	Distribution	K	T	Buy	07/11/13	J		
281.					Buy (add'l)	08/13/13	J		
282.					Buy (add'l)	09/12/13	J		
283.					Buy (add'l)	11/07/13	J		
284. --Templeton Global Bond Fund Advisor Class	A	Dividend	K	T	Open	02/20/13	K		
285. --Thornburg Investment Income Builder Fund I	C	Dividend	K	T	Open	02/19/13	L		
286.					Sold (part)	07/11/13	K	B	
287. --Wells Fargo Advantage Absolute Return Fund	A	Distribution	K	T	Buy	11/07/13	K		
288. --Templeton Global Income Fund	A	Dividend	J	T	Open	02/20/13	J		
289. --JP Morgan Alerian MLP Index Bond		None	L	T	Open	02/19/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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Name of Person Reporting

Vescovo, Diane K.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Buy (add'l)	07/11/13	J		
291.					Buy (add'l)	08/13/13	J		
292.					Buy (add'l)	09/12/13	J		
293. --Neuberger Berman Long Short Fund Class A	A	Distribution	K	T	Buy	07/11/13	J		
294.					Buy (add'l)	08/13/13	J		
295.					Buy (add'l)	09/12/13	J		
296. --Vanguard Short Term Bond Index Fund	A	Dividend			Open	02/19/13	K		
297.					Sold	07/09/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 1: Property was purchased on 8/31/04 for the purchase price of \$645,000.

Lines 8, 45, 69, 100, 123, 166, 195, and 246: These are "header" lines, thus columns B through D are left blank.

Lines 147, 163, 269 and 297: There was a realized loss on the sales of these securities, thus no code is required in Column D4.

Lines 8 - 297: All assets held in Morgan Keegan accounts were transferred to Raymond James accounts in 2013, and all Morgan Keegan accounts were closed. The incoming rolled-over assets are designated as "Open" in Column D1 Transaction Type, as they were not a purchase or a spin-off (the only other options for acquired assets). The outgoing rolled-over assets are designated as "Distributed" in Column D1 Transaction Type, as there is not a more appropriate selection. There were no losses or gains recognized on the transfer of these assets.

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Vescovo, Diane K.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Diane K. Vescovo**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544