

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Coogler, Lawrence S.	2. Court or Organization Northern District of Alabama	3. Date of Report 05/01/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Tuscaloosa Federal Building 2005 University Blvd. Tuscaloosa, AL 35401		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director	American Christian Academy
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1999	State of Alabama, Retirement Systems - Retirement Account - I have no control over this account.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	University of Alabama - School of Law, Salary for teaching	\$10,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Echols, Coogler and Associates, P.C. - Salary
2. 2013	Michael H. Echols and Associates, P.C. - Salary
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Price McGiffert	Quail Hunting	\$450.00
2.	Kane Bunn	Gravel	\$1,200.00
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Citimortgage	Real Estate Mortgage (Rental) (Hhills)	K
2.	Bank Of America - Loan sold by Countrywide Mortgage	Real Estate Mortgage (C. Creek)	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AUSI Common Stock (Personal)		None	J	T					
2. SNV Common Stock (Personal)	A	Dividend	J	T					
3. Rental Property No. 2, Tuscaloosa, AL () HH	D	Rent	M	W					
4. Rental Property No. 3, Tuscaloosa, AL () Cap	D	Rent	M	W					
5. Coogler & Copeland, Jnt. Vnt. (33 ave Tuscaloosa, AL) 50% Int	D	Rent	L	W					
6. Checking Account #1 Bank of Tuscaloosa		None	K	T					
7. Checking Account #2 Bank of Tuscaloosa		None	K	T					
8. Savings Account - Compass Bank	A	Interest	L	T					
9. Money Market Account #1 - Bank of Tuscaloosa	A	Interest	N	T					
10. TD Waterhouse Bank Money Market Account ()	A	Interest	J	T					
11. TD Waterhouse Bank Money Market Account (CHC)	A	Interest	J	T					
12. TD Waterhouse Bank Money Market Account (HCC)	A	Interest	J	T					
13. TD Waterhouse Money Market Account (ADC)	A	Interest	J	T					
14. Checking Account- Life Insurance Trust - Bank of Tuscaloosa		None	J	T					
15. RF Common Stock (CHC)	A	Dividend	J	T					
16. AUSI Common Stock (CHC)		None	J	T					
17. RF Common Stock (HCC)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. WFC Common Stock (HCC)	A	Dividend	J	T					
19. SNV Common Stock (HCC)	A	Dividend	J	T					
20. RF Common Stock (ADC)	A	Dividend	J	T					
21. WFC Common Stock (ADC)	A	Dividend	J	T					
22. SNV Common Stock (ADC)	A	Dividend	J	T					
23. Real Estate Rental, Sherwood East, Ltd. I/12		None	J	U					
24. IRA (LSC) -TransAmerica Asset Allocation Fund			L	T					
25. Prepaid College Tuition Plan, State of Alabama (CHC)	D	Distribution			Distributed	01/15/13	J	A	
26. Prepaid College Tuition Plan, State of Alabama (HCC)	D	Distribution	J	W					
27. Prepaid College Tuition Plan, State of Alabama (ADC)		None	J	W					
28. Real Estate, IHOP, LLC., Tuscaloosa, AL 40%	G	Distribution			Sold	12/31/13	J	A	Micael H. Echols
29. Money Market Account #3, Bank of Tuscaloosa (CHC)	A	Interest	K	T					
30. Money Market Account #4, Bank of Tuscaloosa (HCC)	A	Interest	J	T					
31. Money Market Account #5, Bank of Tuscaloosa (ADC)	A	Interest	J	T					
32. Retirement Systems - State of Alabama	A	Interest	L	T					
33. HCKT (Personal)		None	J	T					
34. Rental Property No. 4 -Tuscaloosa, AL (CC)	D	Rent	M	W					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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35. Echols, Coogler & Associates, P.C.	D	Dividend			Sold	06/30/13	K	E	Michael H. Echols Asse ,PC
36. Checking Account Compass Bank (CHC)		None	J	T					
37. Rental Property No. 5 (C. Creek) - Tuscaloosa, AL	D	Rent	M	W					
38. Merrill Lynch Retirement Fund (Echols, Coogler & Assoc, P.C)		None	N	T					
39. Checking Account Compass Bank (HCC)		None	J	T					
40. TSS	A	Dividend	J	T					
41. TSS (HCC)	A	Dividend	J	T					
42. TSS (ADC)	A	Dividend	J	T					
43.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note:

The prepaid tuition plans are state plans that I have no control over. They are valued at their cost.

The incomes listed for the LLC's and the Ltd are K-1 incomes. The values for these assets are stated at my ownership percentage.

The Retirement Account listed on line 35 in Section VII constitutes the funds that are available to me due to my payments into my State of Alabama Retirement. When I resigned from the State of Alabama, those funds became available to me. I have chosen to leave those funds with the State of Alabama Retirement Systems and draw interest on those funds. Before I resigned, the retirement account was an obligation of the State of Alabama Retirement Systems to pay a percentage of my salary once I reached retirement age.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Lawrence S. Coogler**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544