

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MILLING, JR., BERT W.	2. Court or Organization U.S. DISTRICT COURT - SOUTHERN	3. Date of Report 05/16/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) FULL TIME MAGISTRATE JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 113 ST JOSEPH STREET MOBILE, AL 36602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BBVA COMPASS CHECKING ACCOUNT	A	Interest	J	T					
2. BBVA COMPASS MONEY MARKET ACCT	A	Interest	K	T					
3. PITMAN PROPERTIES, LLC - FAIRHOPE, AL	E	Distribution	J	U					
4. TD AMERITRADE - BROKERAGE ACCOUNT - HAYEK KALLEN									ALL ACCOUNTS LISTED BELOW
5. - A T & T INC		None	K	T	Buy	12/17/13	K		
6. - ABBOTT LABS	A	Dividend	K	T					
7. - ABBVIE INC	A	Dividend	K	T	Spinoff (from line 6)	01/02/13	K		
8. - ACCENTURE LTD CL A	A	Dividend	K	T	Sold (part)	11/26/13	J	C	
9. - ALTRIA GROUP	B	Dividend	K	T					
10. - APPLE INC	A	Dividend	K	T					
11. - APPLE INC					Buy (add'l)	01/28/13	J		
12. - ARCHER DANIELS MIDLAND CO COM	A	Dividend	K	T					
13. - BERKSHIRE HATHAWAY - CL B		None	K	T					
14. - BOARDWALK PIPELINE PARTNERS	C	Distribution	K	T					
15. - BOARDWALK PIPELINE PARTNERS					Buy (add'l)	12/17/13	J		
16. - CHINA MOBILE LTD SP ADR	B	Dividend	K	T					
17. - COCA COLA	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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18. - CONOCO PHILLIPS	A	Dividend	J	T					
19. - EXELON CORP	A	Dividend			Sold	10/22/13	J	A	
20. - EXXON MOBIL	B	Dividend	L	T					
21. - GENERAL ELECTRIC	A	Dividend	K	T					
22. - HERTZ GLOBAL HOLDINGS		None	K	T	Buy	08/09/13	J		
23. - INTEL CORP	A	Dividend	K	T					
24. - INTEL CORP					Buy (add'l)	07/18/13	J		
25. - JOHNSON & JOHNSON	B	Dividend	K	T	Sold (part)	11/26/13	J	C	
26. - MARATHON OIL	A	Dividend	J	T					
27. - MCDONALDS CORP	A	Dividend	K	T					
28. - MICROSOFT	B	Dividend	K	T					
29. - MOLSON COORS BREWING CL B	A	Dividend	K	T					
30. - NOVARTIS A G	A	Dividend	K	T					
31. - NOVO-NORDISK A S ADR	A	Dividend	K	T					
32. - ORACLE CORP COM		None	J	T	Buy	12/17/13	J		
33. - PEABODY ENERGY	A	Dividend			Sold	11/26/13	J	A	
34. - PEPSICO INC CO	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. - PFIZER INC		None	K	T	Buy	12/17/13	K		
36. - PHILLIP MORRIS	B	Dividend	K	T					
37. - QUALCOMM INC	A	Dividend	J	T					
38. - SCHLUMBERGER LTD	A	Dividend			Sold	07/17/13	J	A	
39. - SOUTHERN COMPANY		None	J	T	Buy	12/17/13	J		
40. - TARGET CORP COM	A	Dividend	K	T					
41. - TEVA PHARM INDS	A	Dividend			Sold	11/26/13	K	A	
42. - THERMO FISHER SCIENTIFIC	A	Dividend	L	T	Sold (part)	07/17/13	J	C	
43. - UNITED TECH CORP	A	Dividend	K	T					
44. - VERIZON COMMUNICATIONS	B	Dividend	K	T	Sold (part)	07/17/13	J	B	
45. - VODAFONE GROUP PLC ADR	B	Dividend	K	T					
46. - WAL-MART	A	Dividend	K	T					
47. - WASTE MANAGEMENT	A	Dividend	J	T					
48. - AMERICAN CENTURY SHORT	A	Dividend			Sold	05/06/13	K	A	
49. - BRANDYWINE FUND		None	K	T	Sold (part)	11/26/13	J	B	
50. - FRANKLIN FED TAX FREE INCOME CL	C	Dividend	M	T					
51. - PROFESSIONALLY MGD: OSTERWEIS FD	C	Dividend	K	T	Sold (part)	11/26/13	J	C	

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52. - SEQUIOA FUND	B	Dividend	L	T	Sold (part)	10/22/13	J	C	
53. - SEQUIOA FUND					Sold (part)	11/26/13	K	D	
54. - THORNBURG INTL VALUE FUND	A	Dividend			Sold	09/09/13	J	C	
55. - DB CAPITAL FUNDING X PFDS DIV 7	B	Dividend	K	T					
56. - AVON PRODUCTS INC NT 6.5%	A	Interest	J	T					
57. - BANK AMER N A CD		None	K	T					
58. - CHICAGO ILL G/O BONDS UNLTD 5%	A	Interest	K	T					
59. - CITIGROUP FUNDING INC SR MTN		None	K	T	Buy	03/11/13	K		
60. - CITIGROUP INC SUB NT 5%	B	Interest	K	T					
61. - DELL INC NOTE	A	Interest			Buy	01/18/13	K		
62. - DELL INC NOTE					Sold	02/25/13	K	A	
63. - EDGEcombe CNTY NC LMTD OBLIG	A	Interest	K	T	Buy	09/05/13	K		
64. - HEWLETT PACKARD CO SR UNSECURED	A	Interest	J	T					
65. - INGERSOLL-RAND GLOBAL HLDG CO	B	Interest	K	T					
66. - JOHN HANCOCK LIFE INS CO	A	Interest	K	T					
67. - J P MORGAN CHASE BANK NA SR UNSECURED		None	K	T	Buy	03/25/13	K		
68. - LLOYDS TSB BANK PLC SR MED	B	Int./Div.	K	T	Buy	03/13/13	K		

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69. - LOEWS CORP	B	Interest	K	T					
70. - LONG ISLAND PWR AUTH N Y ELEC	A	Interest	K	T					
71. - MICHIGAN MUNI BD AUTH REV	A	Interest	K	T	Buy	09/04/13	K		
72. - MORGAN STANLEY DEAN WITTER SR UNSCRD 7%		None			Sold	02/25/13	K	A	
73. - POTASH CORP SASKATCHE 5.25%	B	Interest	K	T					
74. - ROYAL BANK OF SCOTLAND		None	J	T					
75. - ROYAL BANK OF SCOTLAND PLC VAR	C	Interest	L	T					
76. - SOCIETE GENERALE SR UNSEC		None	K	T	Buy	04/05/13	K		
77. - SUNTRUST BANK CD		None	K	T					
78. - TIME WARNER CABLE INC 8.25%	B	Interest	K	T					
79. - TOYOTA MOTOR CREDIT NOTES	A	Interest	K	T					
80. - UNION BANK CALIF N A 18.0%		None	K	T					
81. - UNION BANK CALIF N A SAN FRAN		None	K	T	Buy	04/25/13	K		
82. - WELLS FARGO BK CD		None	K	T					
83. - WESTERN UNION NOTE MW	B	Interest	K	T	Buy	01/29/13	K		
84. - WESTERN UNION SR UNSECURED	A	Interest	K	T					
85. - CASH - HAYEK KALLEN		None	J	T					

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86. - TD BANK USA FDIC INSRD DEPOSIT	A	Interest	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ BERT W. MILLING, JR.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544