

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Sammartino, Janis L.	2. Court or Organization Southern District of California	3. Date of Report 05/14/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 221 W. Broadway, Suite 4135 San Diego, CA 92101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Chair, Judicial Advisory Board	Association of Business Trial Lawyers of San Diego
2. President	Louis M. Welsh Inn of Court Chapter, American Inns of Court
3. Trustee	Trust I
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	City of San Diego Pension	\$23,937.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	California State Judges Retirement
2. 2012	PDR Corp. - salary
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. International Association of Defense Counsel	2/12-2/14, 2012	Rancho Mirage, CA	Seminar speaker	mileage, lodging
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	PARENT PLUS LOANS	UNSECURED LOANS FOR COLLEGE TUITION	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. MITEK	A	Dividend	J	T					
2. FISERV	A	Dividend	K	T					
3. TEVA	A	Dividend	J	T					
4. PRUDENTIAL	A	Dividend	J	T					
5. AMERICAN GEN LIFE INS CO POLICY-- CASH VALUE		None	K	T					
6. RENTAL PROPERTY #1, SAN DIEGO, CA (TRUST I)		None	O	W					
7. RENTAL PROPERTY #2, DEL MAR, CA (Purch Price: \$775,00) (X)	E	Rent	O	R	Buy	01/10/12	O		Phillip Tarr
8. MORGAN STANLEY SELECT UMA IRA	C	Int./Div.	O	T					
9. TRUST #2 (MS ACTIVE ASSETS ACCT)	E	Int./Div.	O	T					
10. -FTR stock (pref)									
11. -ZQK stock (pref)									
12. -Municipal Bonds:									
13. --CA St Various Purp									
14. --Santee CA Pub Fing Auth Lease Rev									
15. --Orange CA Redev Agy Tax Alloc Ref									
16. --CA St Pub Wks Brd Leas E Rev									
17. --Turlock CA Uni Sch Dist									

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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18. --CA St. Genl Oblig Ser-2L									
19. --Banning CA Util Auth Wastew									
20. --CA St Var Purp Gen Oblig									
21. --Galt Schs Jt Pwrs Auth									
22. --Liberty Calif Un High Sch Dist Ref									
23. --CA St Genl Oblig Ref					Buy	12/6/12	K		
24. --CA St Genl Oblig Ser-1									
25. --CA St Genl Oblig Ref					Buy	12/6/12	K		
26. --MS Bank Acct									
27. TRUST #3 (SPECTRUM ASSET MGMT)	E	Int./Div.	O	T					
28. --MS Bank account									
29. --Preferred stocks:									
30. --Aegon					Buy (add'l)	07/19/12	J		
31. --Alexandria Real Estate					Buy	07/19/12	J		
32. --Arch Cap Grp					Buy (add'l)	3/27/12	J		
33.					Sold (part)	5/2/12	J		
34.					Buy (add'l)	7/19/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. --Aspen Insurance Hldg Ltd 7.25%					Buy	04/11/12	J		
36. --Axis Capital Hldgs Ltd 6.875%					Buy	03/13/12	J		
37.					Buy (add'l)	7/19/12	J		
38.					Buy (add'l)	12/14/12	J		
39. --Bank New York Mellon					Buy	09/13/12	J		
40.					Buy (add'l)	09/18/12	J		
41.					Buy (add'l)	10/19/12	J		
42. --Bank of America					Sold (part)	07/18/12	L	D	
43.					Buy (add'l)	07/09/12	J		
44. --Barclay's Bank					Buy (add'l)	07/19/12	J		
45. --BB&T Corporation 5.625 Ser-E					Buy	07/25/12	J		
46.					Buy (add'l)	07/27/12	J		
47.					Buy (add'l)	08/20/12	J		
48.					Buy (add'l)	09/11/12	J		
49. --BB&T Corporation 5.85%					Buy	4/27/12	J		
50. --Capital One Financial 6%-B					Buy	08/15/12	J		
51.					Buy (add'l)	09/05/12	J		

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52.					Buy (add'l)	10/2/12	J		
53.					Buy (add'l)	10/19/12	J		
54. --Charles Schwab Corp 6% Ser-B					Buy	05/31/12	J		
55.					Buy (add'l)	07/19/12	J		
56. --Commonwealth REIT					Sold (part)	08/24/12	J	A	
57. --Credit Suisse					Buy (add'l)	02/16/12	J		
58.					Buy (add'l)	02/28/12	J		
59.					Buy (add'l)	07/19/12	J		
60. --Deutsche Bank					Buy (add'l)	02/29/12	J		
61.					Buy (add'l)	03/15/12	J		
62.					Buy (add'l)	07/19/12	J		
63. --Digital Realty									
64. --Duke Realty Corp									
65. --First Niagara Fin Grp									
66. --Goldman Sachs Group									
67. --Health Care REIT 6.500% SER J					Buy	3/1/12	J		
68. --Hospitality Pptys TR PFD-D					Buy	1/13/12	J		

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69.					Buy (add'l)	07/19/12	J		
70. --HSBC Holdings					Buy (add'l)	07/19/12	J		
71. --Ing Group NV 06.375					Buy (add'l)	1/11/12	J		
72.					Buy (add'l)	3/26/12	J		
73.					Buy (add'l)	07/19/12	J		
74. --Ing Group NV 6.125									
75. --Ing Group NV 7.2					Buy (add'l)	07/19/12	J		
76. --Ing Group NV 7.375									
77. --Ing Group NV 7.05					Buy (add'l)	7/19/12	J		
78. --JPMorgan Chase & Co 5.5%-O					Buy	9/05/12	J		
79.					Buy (add'l)	9/11/12	J		
80.					Buy (add'l)	9/28/12	J		
81.					Buy (add'l)	10/19/12	J		
82. --Kimco Realty					Buy (add'l)	3/9/12	J		
83.					Buy (add'l)	3/15/12	J		
84.					Buy (add'l)	7/19/12	J		
85. --Metlife Inc.					Sold	7/30/12	J	A	

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86. --National Retail Prop 6.625%					Buy	2/15/12	J		
87.					Buy (add'l)	7/19/12	J		
88. --Partnerre Ltd.					Buy (add'l)	7/19/12	J		
89. --PNC Finl-P 6.125% FLTS					Buy	4/20/12	J		
90.					Buy (add'l)	4/26/12	J		
91.					Buy (add'l)	5/29/12	J		
92.					Buy (add'l)	6/25/12	J		
93.					Buy (add'l)	7/19/12	J		
94. --Prudential PLC					Sold	8/17/12	J	A	
95. --PS Business Park					Buy (add'l)	1/10/12	J		
96.					Buy (add'l)	5/3/12	J		
97.					Buy (add'l)	7/19/12	J		
98.					Buy (add'l)	9/6/12	J		
99. --Public Storage					Buy (add'l)	1/5/12	J		
100.					Buy (add'l)	03/06/12	J		
101.					Sold (part)	03/12/12	J	A	
102.					Buy (add'l)	6/7/12	J		

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103.					Buy (add'l)	7/19/12	J		
104. --Realty Income Corp					Buy (add'l)	1/31/12	J		
105.					Buy (add'l)	7/19/12	J		
106. --Regency Centers					Buy (add'l)	2/08/12	J		
107.					Buy (add'l)	08/16/12	J		
108. --Renaissancere					Buy (add'l)	7/19/12	J		
109.					Sold (part)	12/26/12	J	A	
110. --Royal Bk Scot					Buy (add'l)	3/26/12	J		
111.					Buy (add'l)	7/19/12	J		
112. --Santander Fin					Sold (part)	3/21/12	J		
113.					Sold (part)	05/10/12	J		
114.					Sold (part)	07/03/12	J		
115.					Buy (add'l)	07/19/12	J		
116. --State Street Corp 5.25%					Buy	8/15/12	J		
117. --TCF Financial Corp 7.5%A					Buy	7/03/12	J		
118.					Buy (add'l)	7/24/12	J		
119. --UBS stock					Buy (add'l)	7/19/12	J		

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120. --US Bancorp					Buy	4/19/12	J		
121.					Buy (add'l)	7/6/12	J		
122. --Vornado Realty					Buy (add'l)	07/13/12	J		
123. --Wachovia					Buy (add'l)	3/15/12	J		
124.					Buy (add'l)	3/19/12	J		
125. --Weingarten Realty					Buy (add'l)	7/19/12	J		
126. --Wells Fargo									
127. --Fixed Rate Capital Securities:									
128. --Weingarten Realty Invest									
129. --Commonwealth REIT									
130. --Countrywide Capital IV					Buy (add'l)	7/19/12	J		
131. --Wells Fargo Capital VII					Sold	3/29/12	J	A	
132. --JP Chase Capital XI					Buy (add'l)	7/19/12	J		
133. --PNC Capital Trust					Sold	03/06/12	J	A	
134. --Everest Resource Capital Trust II					Buy (add'l)	7/19/12	J		
135. --Countrywide Capital V 7.00%					Buy	9/14/12	J		
136. --Wells Fargo Capital					Sold (part)	5/3/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold	6/15/12	J	A	
138. --JP Morgan Chase Cap XIV					Sold	04/20/12	J	A	
139. --BAC Capital Trust Ser V					Sold	10/12/12	J	A	
140. --Prudential Financial Inc.					Buy (add'l)	7/19/12	J		
141. --Entergy Texas Inc.									
142. --Ameriprise Financial Inc.					Buy (add'l)	7/19/12	J		
143. --Vornado Rlty LP					Buy (add'l)	7/19/12	J		
144. --JP Chase Cap XXVIII					Sold	03/27/12	J		
145. --Entergy Louisiana LLC									
146. --JPMorgan Chase Capital XXIX					Sold (part)	07/18/12	L	D	
147.					Buy (add'l)	07/19/12	J		
148. --Entergy Arkansas Inc.									
149. --Entergy Louisiana LLC									
150. --Aegon NV 8%					Buy	1/26/12	J		
151.					Buy (add'l)	3/28/12	J		
152.					Buy (add'l)	7/19/12	J		
153. --Raymond James Financial					Buy	3/1/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. --Hartford finl Svc Grp 7.875%					Buy	4/11/12	J		
155. --Protective Life Corp 6.25%					Buy	5/16/12	J		
156. --American Financial Group					Buy	6/11/12	J		
157. --Commonwealth REIT 5.75%					Buy	7/23/12	J		
158. --Senior Hsg Prop Trust 5.625%					Buy	7/18/12	J		
159. --Affiliated Managers Group Inc					Buy	8/22/12	J		
160. --American Financial Group Inc 5.75%					Buy	8/22/12	J		
161. --Nexen Inc					Buy	03/23/12	J		
162. --FPL Group Capital Trust i					Sold	02/27/12	J	A	
163. --Natl Rural Util					Sold	5/15/12	J	A	
164. --Berkley WR Corp					Buy (add'l)	07/19/12	J		
165. --General Elec Cap Corp					Sold	2/7/12	J	A	
166. --Markel Corp					Sold	8/1/12	J		
167. --General Elec Cap Corp 6.05%					Sold	10/09/12	J	A	
168. --Gen Elec Cap Corp 6.00%					Sold	10/25/12	J	A	
169. --PPL Capital FDG					Sold	08/14/12	J		
170. --US Bancorp PFD					Sold	02/27/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. --Lloyds Banking					Buy (add'l)	03/26/12	J		
172.					Buy (add'l)	6/27/12	J		
173.					Buy (add'l)	7/19/12	J		
174. --Entergy Mississippi Inc 6%									
175. --Qwest Corp					Buy (add'l)	03/22/12	J		
176.					Buy (add'l)	6/15/12	J		
177.					Buy (add'l)	7/19/12	J		
178. --BAC Capital Trust X					Sold	7/25/12	J	A	
179. --BAC Capital Trust 6.875%					Sold	10/09/12	J	A	
180. --Comcast Corp					Sold (part)	2/27/12	J	A	
181.					Sold	3/23/12	J		
182. --Viacom Inc					Sold	01/09/12	J	A	
183. --CBS Corp					Sold	03/13/12	J	B	
184. --Comcast Corp 6.625%					Sold	7/23/12	J	A	
185. --Stanley Black & Decker 5.75%					Buy	07/25/12	J		
186. --Aflac Inc					Buy	09/21/12	J		
187. --General Elec Cap Corp 4.875%-A					Buy	10/3/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A --\$1,000 or less F --\$50,001 - \$100,000 J --\$15,000 or less N --\$250,001 - \$500,000 P3 --\$25,000,001 - \$50,000,000	B --\$1,001 - \$2,500 G --\$100,001 - \$1,000,000 K --\$15,001 - \$50,000 O --\$500,001 - \$1,000,000	C --\$2,501 - \$5,000 H1 --\$1,000,001 - \$5,000,000 L --\$50,001 - \$100,000 P1 --\$1,000,001 - \$5,000,000 P4 --More than \$50,000,000	D --\$5,001 - \$15,000 H2 --More than \$5,000,000 M --\$100,001 - \$250,000 P2 --\$5,000,001 - \$25,000,000	E --\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q --Appraisal U --Book Value	R --Cost (Real Estate Only) V --Other	S --Assessment W --Estimated	T --Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	10/5/12	J		
189.					Buy (add'l)	10/9/12	J		
190. --Prudential Financial Inc 5.75%					Buy	11/29/12	J		
191. --Torchmark Corp 5.875%					Buy	09/20/12	J		
192. --Telephone and Data Systems									
193. --Telephone and Data Systems Inc									
194. --United States Cellular Corp									
195. --Goldman Sachs Group Inc. (					Buy (add'l)	03/01/12	J		
196.					Sold (part)	06/01/12	K		
197.					Buy (add'l)	07/19/12	J		
198. --Goldman Sachs Group Inc. (					Buy (add'l)	01/04/12	J		
199.					Buy (add'l)	07/19/12	J		
200. --DTE Energy Co Ser I									
201. --Comcast Corp 5%					Buy	12/5/12	J		
202. --Merrill Lynch Cap TR II 6.45%					Buy (add'l)	7/19/12	J		
203. --DTE Energy Co 5.25%					Buy	9/26/12	J		
204. --Dominion Resources Inc Ser A					Buy (add'l)	07/19/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. --Scana Corp 7.70%									
206. --USB Capital VIII					Sold	02/22/12	J	A	
207. --Protective Life Corp					Sold	07/19/12	J	A	
208. --USB Capital XI					Sold	05/18/12	J	A	
209. --Merrill Lynch Capital Trust I					Buy (add'l)	07/19/12	J		
210. --USB Capital XII 6.30%					Sold	03/27/12	J	A	
211. --Wachovia Cap Trust IX					Sold	05/03/12	J	A	
212. --Wells Fargo Cap 6.25%					Sold	06/15/12	J	A	
213. --Fifth Third Cap Trust 7.250					Sold	08/08/12	J	A	
214. --Fifth Third Capital Trust 7.25%					Sold	08/15/12	J	A	
215. --Xcel Energy Inc.					Buy (add'l)	07/19/12	J		
216. --M&T Capital Trust IV 8.5%					Sold	01/30/12	J	A	
217. --PNC Capital Trust E					Sold	06/13/12	J	A	
218. --Suntrust Cap IX 7.875%					Sold	02/07/12	J	A	
219. --Nextera Energy Capital					Buy (add'l)	11/16/12	J		
220. --Money Market Fund									
221. TRUST #4 (MS SELECT UMA BASIC SECURITIES ACCT)	D	Int./Div.	O	T					

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2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. --Morgan Stanley Bank Account									
223. --Common stocks:									
224. --Affiliated Mgrs Group Inc									
225. --Ageas Sponsored ADR					Buy	5/10/12	J		
226. --Akorn Inc					Buy	7/11/12	J		
227.					Buy (add'l)	12/11/12	J		
228. --Allergan Inc.					Sold (part)	07/13/12	J	A	
229.					Sold	07/19/12	J	A	
230. --Alliance Data Systems Corp									
231. --Allianz SE									
232. --Alstom ADR									
233. --Altera CP									
234. --Alumina Ltd									
235. --Ametek Inc									
236. --Anglogold Ashanti Ltd									
237. --ANSYS Inc									
238. --Apple Inc					Buy (add'l)	02/13/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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239. --Astrazeneca PLC					Sold	09/12/12	J		
240. --Axis Capital Holdings Ltd									
241. --Banco Santander Brasil SA					Buy	11/6/12	J		
242. --Barrick Gold Corp									
243. --Baxter Intl Inc									
244. --Bed Bath & Beyond Inc					Buy	05/08/12	J		
245. --Cameco Corp									
246. --Carrefour SA					Buy (add'l)	04/13/12	J		
247. --Catalyst Health Solutions					Sold	07/03/12	J	B	
248. --Celgene Corp									
249. --Centrais Elec									
250. --Cerner Corp.					Buy	07/13/12	J		
251. --Church & Dwight Co									
252. --Citrix Systems									
253. --Coach Inc									
254. --Cognizant Tech Solutions									
255. --Concho Res Inc.					Sold (part)	06/29/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. --DAI NIPPPN									
257. --DAIICHI Sankyo Co									
258. --Danaher Corp					Sold (part)	09/18/12	J	A	
259. --Decker Outdoor Corp									
260. --Denbury Resources Inc									
261. --East Japan Ry Co									
262. --Ecolab Inc					Buy	12/7/12	J		
263. --Electricite de France									
264. --Embraer					Sold	2/10/12	J	A	
265. --EMC Corp					Sold (part)	7/11/12	J	A	
266. --Ericsson LM Tel ADR					Buy	2/13/12	J		
267. --Express Scripts Inc									
268. --Finmeccanica Spa Roma									
269. --Fujifilm Hldgs Corp					Buy (add'l)	9/18/12	J		
270. --Gazprom O A O Spon ADR									
271. --Gilead Science									
272. --Glaxosmithkline PLC									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Sammartino, Janis L.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. --Gold Fields Ltd									
274. --Google Inc					Sold (part)	02/13/12	J	A	
275. --Hachijuni Bank Ltd					Sold	12/03/12	J		
276. --Home Retail Group ADR									
277. --Idex Corp Delaware					Sold	08/01/12	J	A	
278. --Ill Tool Works Inc.									
279. --Impala Platinum Hldgs Ltd					Buy	10/05/12	J		
280. --ING Groep NV ADR					Buy	6/27/12	J		
281. --Intercontinentalexchange Inc									
282. --IBM					Sold (part)	9/18/12	J	A	
283. --Ishares MSCI Emerging Mkts					Buy	1/18/12	J		
284.					Sold (part)	3/14/12	J	A	
285.					Buy (add'l)	8/16/12	J		
286.					Buy (add'l)	11/2/12	J		
287. --Ishares Russell 2000 Index Fd					Sold (part)	03/08/12	J	A	
288.					Sold	10/04/12	J	A	
289. --Johnson & Johnson					Buy	7/19/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Sammartino, Janis L.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. --Johnson Controls Inc					Sold	7/19/12	J		
291. --KB Financial Grp Inc Sons ADR					Buy	12/20/12	J		
292. --Kinross Gold Corp									
293. --Korea Electric Power Corp									
294. --Kraft Foods Group Inc					Buy	9/04/12	J		
295. --Linkedin Corp-A					Buy	11/19/12	J		
296. --Manpowergroup INC COM					Buy	9/20/12	J		
297. --Marine Harvest Asa									
298. --Mead Johnson Nutrition Company					Buy	01/23/12	J		
299.					Buy (add'l)	01/27/12	J		
300. --Mondelez Intl Inc Com					Buy	09/04/12	J		
301. --MS&AD Ins Group									
302. --MSC Indl Direct Co									
303. --Netapp Inc					Sold	02/13/12	J		
304. --Netlogic Microsystems Inc					Sold	02/17/12	J	B	
305. --Newcrest Mining Ltd									
306. --Nexen Inc					Sold	07/23/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Sammartino, Janis L.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

307. --Nintendo Co Ltd									
308. --Nippon Telegraph&Telephone					Buy (add'l)	09/18/12	J		
309. --Nokia Cp					Sold	09/18/12	J		
310. --Oreilly Automotive Inc									
311. --Occidental Petroleum Corp					Sold	06/21/12	J		
312. --Oracle Corp					Sold (part)	03/19/12	J	A	
313. --Orkla ADR A SHS					Buy	12/27/12	J		
314. --Panasonic Corp									
315. --Pepsico Inc									
316. --Polyus Gold Intl Ltd									
317. --Powershares QQQ TR					Buy	11/29/12	J		
318.					Buy (add'l)	11/30/12	J		
319. --Praxair Inc									
320. --Precision Castparts Corp									
321. --Priceline.com Inc									
322. --Proctor & Gamble					Sold	01/26/12	J	A	
323. --PT Telekomunikasi Indonesia					Sold	07/16/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Sammartino, Janis L.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324. --Qualcomm Inc									
325. --Questor Pharm Inc					Buy	05/17/12	J		
326. --Riverbed Tech Inc					Sold	07/11/12	J		
327. --Rohm Co Ltd									
328. --Ross Stores Inc									
329. --Salix Pharm Ltd					Buy	06/27/12	J		
330. --Sally Beauty Hodges Inc					Buy	06/29/12	J		
331. --Sanofi ADR									
332. --Schlumberger Ltd									
333. --Sekisui House Ltd									
334. --Seven & I Holdings Co									
335. --Shiseido Ltd									
336. --Siemens Aktiengesellschaft									
337. --SK Telecom Co									
338. --Societe Generale Sp ADR					Sold	02/08/12	J		
339. --Southwestern Energy Co									
340. --SPDR Barclays Capital					Sold (part)	01/03/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341.					Sold (part)	01/18/12	J		
342.					Sold (part)	01/19/12	J		
343.					Sold (part)	02/10/12	J		
344.					Sold (part)	04/12/12	J		
345.					Sold (part)	05/22/12	J		
346.					Sold (part)	6/06/12	J		
347.					Sold (part)	06/19/12	J		
348.					Sold (part)	06/29/12	J		
349.					Sold (part)	07/02/12	J		
350.					Sold (part)	07/05/12	J		
351.					Sold (part)	08/07/12	J		
352.					Sold (part)	08/13/12	J		
353.					Sold (part)	08/16/12	J		
354.					Sold (part)	09/10/12	J		
355.					Sold (part)	10/05/12	J		
356.					Sold (part)	11/02/12	J		
357.					Buy (add'l)	11/7/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Sammartino, Janis L.

Date of Report

05/14/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358.					Buy (add'l)	11/9/12	J		
359.					Sold (part)	11/26/12	J		
360.					Sold (part)	11/29/12	J		
361.					Sold (part)	11/30/12	J		
362. --SPDR DJIA Trust					Sold	05/25/12	J	A	
363. --SPDR Trust Series 1					Sold (part)	04/09/12	J	A	
364.					Sold (part)	04/23/12	J	A	
365.					Sold (part)	05/09/12	J		
366.					Sold (part)	5/21/12	J		
367.					Sold (part)	10/23/12	J	A	
368.					Sold (part)	11/7/12	J	A	
369.					Sold (part)	11/09/12	K		
370.					Buy (add'l)	11/26/12	J		
371. --Starbucks Corp					Buy	12/20/12	J		
372. --Stericycle Inc									
373. --Sumitomo Mitsui Tr Hldgs									
374. --Swisscom Ag ADR									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. --Talisman Energy Inc									
376. --Target Corp					Sold	01/05/12	J		
377. --Telcom Italia									
378. --TEVA Pharmaceuticals					Buy	09/11/12	J		
379. --The Mosaic Co (Hldg Co)New					Buy	11/15/12	J		
380. --TNT Express Nv					Sold	02/29/12	J	A	
381. --Toyota Motor Cp									
382. --Transdigm Group Inc					Buy	03/28/12	J		
383. --Transocean Ltd					Sold	08/20/12	J	A	
384. --UBS Ag New									
385. --VF Corp									
386. --Verifone Systems Inc									
387. --Visa Inc									
388. --Vivendi SA Unspn ADR					Buy	09/18/12	J		
389. --Vodafone Gp									
390. --Wacoal Cp									
391. --Waste Connections									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. --Weatherford International Ltd					Buy	08/24/12	J		
393. --Wolters Kluwer									
394. --Preferred Stocks									
395. --Centrais Elec Bras ADR					Buy	09/26/12	J		
396. --Lazard Emerging Markets Open Mutual Fund					Buy (add'l)	1/31/12	J		
397.					Buy (add'l)	9/18/12	K		
398. TRUST #5 (MS FID SVCS ACTIVE ASSETS ACCT [REDACTED])	D	Dividend	O	T					
399. --MS Active Assets Money Trust									
400. --Common Stocks:									
401. --Amer Intl Gp Inc New (AIG)					Buy	05/07/12	J		
402.					Buy (add'l)	05/08/12	J		
403.					Buy (add'l)	05/14/12	J		
404.					Buy (add'l)	05/15/12	J		
405.					Buy (add'l)	05/16/12	J		
406.					Buy (add'l)	08/03/12	J		
407.					Buy (add'l)	09/11/12	J		
408.					Buy (add'l)	09/21/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Buy (add'l)	09/24/12	J		
410. --Anglogold Ashanti Ltd					Buy (add'l)	10/08/12	J		
411.					Buy (add'l)	10/09/12	J		
412. --AON Corp					Sold (part)	04/02/12	J	D	
413. --Apache Corp									
414. --Aurico Gold Inc					Sold (part)	09/18/12	J		
415.					Sold (part)	09/19/12	J		
416.					Sold (part)	10/14/12	J		
417.					Sold (part)	11/21/12	J		
418.					Sold	12/13/12	J	A	
419. --Barrick Gold Corp					Sold (part)	06/06/12	J	A	
420. --Best Buy Co					Buy	04/16/12	J		
421.					Buy (add'l)	04/17/12	J		
422.					Buy (add'l)	04/20/12	J		
423.					Sold (part)	10/24/12	J		
424. --CA Incorporated									
425. --Canadian Natural Resources Ltd					Buy	02/14/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426.					Buy (add'l)	03/12/12	J		
427.					Buy (add'l)	03/13/12	J		
428.					Buy (add'l)	03/20/12	J		
429.					Buy (add'l)	04/23/12	J		
430.					Buy (add'l)	04/24/12	J		
431.					Buy (add'l)	12/07/12	J		
432.					Buy (add'l)	12/10/12	J		
433. --Cisco Sys Inc					Buy (add'l)	02/10/12	J		
434. --Citigroup Inc									
435. --Convergys Corp					Sold (part)	06/19/12	J	A	
436.					Sold (part)	09/14/12	J	A	
437. --Delphi Automotive					Sold (part)	01/27/12	J	A	
438.					Sold (part)	01/30/12	J	A	
439.					Sold (part)	03/02/12	J	A	
440. --Denbury Resources Inc									
441. --FBR & Co									
442. --GM					Buy (add'l)	2/01/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
443.					Buy (add'l)	6/12/12	J		
444.					Buy (add'l)	6/13/12	J		
445. --Genworth Financial Inc					Sold (part)	04/19/12	J		
446.					Sold (part)	04/20/12	J		
447.					Sold (part)	04/23/12	J		
448.					Sold (part)	04/25/12	J		
449.					Sold (part)	04/26/12	J		
450.					Sold	04/27/12	J		
451. --Guess Inc					Buy (add'l)	06/13/12	J		
452. --Halliburton Co					Buy	06/19/12	J		
453.					Buy (add'l)	06/20/12	J		
454.					Buy (add'l)	06/21/12	J		
455. --Harman Intl Inds					Buy	11/19/12	J		
456. --Hartford Fin Sers Grp					Buy (add'l)	08/02/12	J		
457. --Hess Corp					Sold (part)	01/03/12	J	A	
458.					Sold	01/04/12	J	A	
459. --Ingersoll-Rand PLC									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. --Interpublic Group of Cos Inc									
461. --JP Morgan Chase									
462. --Loews Corp									
463. --MKS Instruments									
464. --Mattson Tech Inc					Sold	08/10/12	J	A	
465. --Motorola Solutions Inc					Sold	02/01/12	J	D	
466. --Microsoft Corp					Buy	10/15/12	J		
467.					Buy (add'l)	11/23/12	J		
468. --Noble Energy Inc					Sold (part)	11/06/12	J	C	
469. --NRG Energy Inc									
470. --Paccar Inc									
471. --Pfizer Inc					Sold (part)	07/18/12	J	B	
472.					Sold (part)	12/11/12	J	A	
473. --Pitney Bowes Inc									
474. --PMC Sierra Inc					Sold (part)	02/16/12	J		
475.					Sold (part)	4/27/12	J		
476. --Private Bancorp Inc					Sold (part)	1/24/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477.					Sold (part)	01/25/12	J	A	
478. --Redwood Trust Inc									
479. --Reinsurance Group of America					Buy (add'l)	10/18/12	J		
480.					Buy (add'l)	10/19/12	J		
481.					Buy (add'l)	10/22/12	J		
482. --Salix Pharm Ltd					Sold (part)	02/28/12	J	A	
483.					Sold (part)	03/21/12	J	A	
484.					Sold (part)	10/02/12	J	A	
485.					Sold	10/03/12	J	A	
486. --Sanofi ADR									
487. --Talisman Energy Inc					Buy (add'l)	02/01/12	J		
488.					Buy (add'l)	09/24/12	J		
489.					Buy (add'l)	09/25/12	J		
490. --Teva Pharmaceuticals					Buy (add'l)	06/26/12	J		
491. --Trinity Ind Delaware					Sold	02/13/12	J	B	
492. --Tyson Foods Inc CL A					Buy	10/18/12	J		
493.					Buy (add'l)	10/19/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494.					Buy (add'l)	10/22/12	J		
495.					Buy (add'l)	10/23/12	J		
496. --Unumprovident Corp					Buy (add'l)	01/26/12	J		
497.					Buy (add'l)	03/08/12	J		
498.					Buy (add'l)	08/09/12	J		
499. --Vertex Pharmaceuticals					Sold (part)	05/07/12	J	C	
500.					Sold (part)	05/08/12	J	C	
501.					Sold	06/28/12	J	B	
502. --Viacom Inc					Sold (part)	01/05/12	J	A	
503.					Sold (part)	09/11/12	J	A	
504. --Willis Group Holdings PLC					Buy	11/30/12	J		
505.					Buy (add'l)	12/03/12	J		
506.					Buy (add'l)	12/04/12	J		
507. TRUST #6 (MS FID SVCS ACTIVE ASSETS)	E	Dividend	O	T					
508. -MS Active Assets Money Trust									
509. -Common Stocks:									
510. --Abbott Laboratories					Sold (part)	05/24/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511. --American Water Works Co					Sold (part)	02/07/12	J	B	
512. --AT&T									
513. --Baxter Intl Inc					Buy	07/27/12	J		
514. --BCE Inc									
515. --BK Montreal									
516. --CA Incorporated					Buy	05/23/12	J		
517.					Buy (add'l)	05/24/12	J		
518. --Cincinnati Financial Ohio									
519. --CME Group Inc					Buy	02/08/12	J		
520. --Cinemark Holdings Inc					Sold	02/13/12	J	B	
521. --Digital Realty Trust Inc.									
522. --Donnelley RR & Sons									
523. --Eli Lilly & Co									
524. --Energy Trans Eqty LP					Buy	03/28/12	J		
525. --Energy Transfer Partners									
526. --Enerplus Corp					Sold (part)	06/08/12	J		
527.					Sold (part)	6/14/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A --\$1,000 or less F --\$50,001 - \$100,000 J --\$15,000 or less N --\$250,001 - \$500,000 P3 --\$25,000,001 - \$50,000,000 Q --Appraisal U --Book Value	B --\$1,001 - \$2,500 G --\$100,001 - \$1,000,000 K --\$15,001 - \$50,000 O --\$500,001 - \$1,000,000 R --Cost (Real Estate Only) V --Other	C --\$2,501 - \$5,000 H1 --\$1,000,001 - \$5,000,000 L --\$50,001 - \$100,000 P1 --\$1,000,001 - \$5,000,000 P4 --More than \$50,000,000 S --Assessment W --Estimated	D --\$5,001 - \$15,000 H2 --More than \$5,000,000 M --\$100,001 - \$250,000 P2 --\$5,000,001 - \$25,000,000 T --Cash Market	E --\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
528.					Sold	06/15/12	J		
529. --Enterprise Prod Ptners LP									
530. --GE					Buy (add'l)	04/25/12	J		
531. --Glaxosmithkline PLC									
532. --HJ Heinz Co									
533. --HCP Inc									
534. --Intel Corp									
535. --International Paper									
536. --Johnson & Johnson									
537. --Kinder Morgan Energy									
538. --Limited Brands Inc					Sold	02/13/12	J	A	
539. --Maxim Integrated Products Inc									
540. --Meadwestvaco Corp					Sold (part)	10/18/12	J	A	
541.					Sold (part)	10/19/12	J	A	
542.					Sold (part)	11/19/12	J	A	
543.					Sold	11/20/12	J	A	
544. --Merck & Co									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
545. --Microchip Technology Inc									
546. --Nat'l Grid Transco									
547. --Nisource Inc									
548. --Northeast Utilities					Sold	07/27/12	J	C	
549. --Nustar Energy LP					Sold (part)	2/10/12	J	A	
550.					Sold	02/13/12	J	A	
551. --Omega Healthcare Inv Inc					Buy	10/18/12	J		
552.					Buy (add'l)	11/20/12	J		
553. --Oneok Partners LP									
554. --Pfizer Inc									
555. --Plains All American Piplin					Buy (add'l)	03/19/12	J		
556. --Seadrill Ltd									
557. --Senior Hsg Ppty Tr					Buy	10/17/12	J		
558.					Buy (add'l)	11/20/12	J		
559. --Spectra Energy Corp									
560. --Statoil ASA									
561. --Telefonica SA ADR					Sold (part)	04/25/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562.					Sold (part)	05/24/12	J		
563.					Sold (part)	06/15/12	J		
564.					Sold	06/21/12	J		
565. --Total S A Spon ADR					Buy	6/20/12	J		
566.					Buy (add'l)	6/21/12	J		
567. --Valley Natl Bancorp					Sold (part)	05/25/12	J		
568. --Vodafone GP PLC									
569. --Williams Co Inc					Buy (add'l)	01/09/12	J		
570.					Buy (add'l)	04/25/12	J		
571.					Buy (add'l)	12/18/12	J		
572. --Windstream Corp					Sold (part)	12/07/12	J	A	
573.					Sold (part)	12/12/12	J	A	
574.					Sold	12/14/12	J		
575. --YPF Sociedad ADS					Sold	02/14/12	J		
576. TRUST #7 (MS ACTIVE ASSETS ACCT)	F	Int./Div.	P1	T					
577. --MS Bank Account									
578. --Apple Inc					Buy	4/24/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579. --Bank of America Corp stock (common)									
580. --Ing Groep stock (pref)									
581. --CA St Genl Oblig Build America Mun Bond									
582. --Corporate Bonds:									
583. --Bank of America 8.0% fixed div					Sold	07/17/12	K	A	
584. --Bank of America 8.125% fixed D IV					Sold	7/17/12	K	A	
585. --Goldman Sachs Capt II					Sold	07/17/12	K	A	
586. --JPMorgan Chase					Sold	07/17/12	L	A	
587. --Wells Fargo Co									
588. --Unitedhealth Group Inc									
589. --General Elec Cap Corp									
590. --American Express									
591. --Conocophillips					Sold (part)	08/20/12	K	A	
592. --Altria Group Inc									
593. --At&T Inc					Redeemed	09/14/12	K	B	
594. --Wellpoint Inc									
595. --Caterpillar Finl									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596. --Dell Inc									
597. --Rio Tinto finance USA Ltd									
598. --Morgan Stanley									
599. --Donnelley & Sons									
600. --Morgan Stanley									
601. --Boston Scientific Corp									
602. --Comcast Corp									
603. --Barclays Bank PLC									
604. --Prologis Trust									
605. --Marathon Oil Corp									
606. --General Electric Co									
607. --Goldman Sachs Group Inc									
608. --AT&T Inc									
609. --Verizon Communications									
610. --Kraft Foods Inc									
611. --Frontier Communications									
612. --TYCO International Finan									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613. --Boeing Co									
614. --CVS Caremark Corp									
615. --Indiana Michigan Power									
616. --Lorillard Tobacco Co									
617. --Altria Group Inc									
618. --Step Up Callable Notes									
619. --General Elec Cap Corp									
620. --Goldman Sachs Group Inc					Buy	07/17/12	K		
621. --Barclays Callable Step Up Note					Buy	02/10/12	K		
622. --Hewlett Packard Co					Buy	09/20/12	K		
623. --Countrywide Alternative Loan Trust									
624. --JP Morgan Mortgage Trust					Redeemed	01/26/12	J	A	
625. --JP Morgan Mortgage Trust									
626. --Government Securities:									
627. --FNMA TD									
628. --FHR									
629. --Mutual Funds:									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630. --Blackrock Energy Res									
631. --Blackrock Strat Inc Opport									
632. --Franklin Income C					Buy	09/13/12	L		
633. --Invesco Balanced-Risk Alloc C					Buy	04/27/12	K		
634. --Oppenheimer SR Floating Rate									
635. --Principal GLB Divers Inc					Buy	02/06/12	L		
636.					Buy (add'l)	04/27/12	K		
637.					Buy (add'l)	07/17/12	K		
638. --Pyxis Floating Rate (formerly Highland Floating RT Opport)									
639. --Templeton Global Bond Fd									
640. --AIP Gbl L/S P Hedge Fund									
641.									
642.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Janis L. Sammartino**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544