

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Harwood, Bruce A.	2. Court or Organization United States Bankruptcy Court - District of New Hampshire	3. Date of Report 08/07/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address United States Bankruptcy Court 1000 Elm Street Manchester, NH 03101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	American Bankruptcy Institute
2.	Trustee	Trust #1 (family member sole beneficiary)
3.	Trustee	Trust #2 (family member sole beneficiary)
4.	Trustee	Trust #3 (family member sole beneficiary)
5.	Trustee	Trust #4 (family member sole beneficiary)
6.	Trustee	Trust #5 (family member sole beneficiary)
7.	Trustee	Trust #6 (family member sole beneficiary)

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American College of Bankruptcy	March 17-19, 2016	Washington, DC	Induction ceremony, educational seminar	Transportation, Lodging, Meals
2.	American Bankruptcy Institute	April 14-17, 2016	Washington, DC	Educational seminar and board of directors meeting	Transportation, Lodging, Meals
3.	American Bankruptcy Institute	July 14-17, 2016	Bretton Woods, NH	Educational seminar	Transportation, Lodging, Meals
4.	National Conference of Bankruptcy Judges	October 25 - November 1, 2016	San Francisco, CA	Educational seminar and annual meeting	Transportation, Lodging
5.	American Bankruptcy Institute	November 30 - December 4, 2016	Rancho Palos Verdes, CA	Educational seminar and board of directors meeting	Transportation, Lodging, Meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Common AMLP - ALERIAN MLP ETF	D	Dividend	M	T	Buy (add'l)	01/05/16	J		
2.					Buy (add'l)	01/06/16	J		
3.					Buy (add'l)	03/29/16	J		
4. AQMIX - AQR MANAGED FUTURES STRATEGY I FUND	A	Dividend	L	T	Buy (add'l)	01/05/16	J		
5.					Buy (add'l)	01/06/16	J		
6.					Buy (add'l)	09/20/16	J		
7.					Buy (add'l)	12/30/16	J		
8. BGCIX - BLACKROCK GLOBAL L/S CREDIT I FUND		None	L	T	Buy (add'l)	10/05/16	J		
9.					Buy (add'l)	01/06/16	J		
10.					Buy (add'l)	12/30/16	J		
11. CMNIX - CALAMOS MARKET NEUTRAL INC I FUND	A	Dividend	L	T	Buy (add'l)	01/05/16	J		
12.					Buy (add'l)	01/06/16	J		
13. Common CVX - CHEVRON CORP	A	Dividend	J	T					
14. SKNRX - DEUTSCHE ENHANCED COMM STRAT A FUND		None			Sold	03/09/16	K	A	
15. IQHX - IQ ALPHA HEDGE STRAT INST FUND	A	Dividend	L	T	Buy (add'l)	01/04/16	J		
16.			K	T	Buy (add'l)	01/05/16	J		
17.					Buy (add'l)	01/06/16	J		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy (add'l)	12/30/16	J		
19. Common TIP - ISHARES BARCLAYS TIPS BD ED	B	Dividend	M	T					
20. EEN - ISHARES MSCI EMERGING MARKETS ETF	A	Dividend	K	T					
21. Common SCZ - ISHARES TR MSCI SMALL CAP	B	Dividend	L	T					
22. Common MERFX - MERGER FUND	A	Dividend	M	T					
23. Common - STPZ - PIMCO 1-5 YEAR US TIPS INDEX FUND	A	Dividend	M	T					
24. PALPX - PIMCO ALL ASSET P FUND	B	Dividend	L	T	Buy (add'l)	01/04/16	J		
25.					Buy (add'l)	01/05/16	J		
26. PCRPX - PIMCO COMMODO REAL RET STRATP FUND	A	Dividend	L	T	Buy (add'l)	01/04/16	J		
27.					Buy (add'l)	01/05/16	J		
28.					Buy (add'l)	01/06/16	J		
29.					Buy (add'l)	03/09/16	J		
30. Common RWX - SPDR DJ WILSHIRE INTL REAL EST	C	Dividend	L	T	Buy (add'l)	01/05/16	J		
31.					Buy (add'l)	12/30/16	J		
32. Common TFI - SPDR NUVEEN BBG BARCLAYS MUN B ETF -	B	Int./Div.	L	T	Sold (part)	03/14/16	J		
33. Common SHM - SPDR NUVEEN BARCLAYS SHRT TERM	A	Int./Div.	L	T					
34. Common VEA - VANGUARD FTSE DEVELOPED MARKETS ETF	D	Dividend	N	T	Buy (add'l)	12/30/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Common VWO - VANGUARD FTSE EMERGING MARKETS E	C	Dividend	M	T	Buy (add'l)	01/05/16	J		
36.					Buy (add'l)	01/06/16	J		
37. Common VUG - VANGUARD GROWTH ETF	C	Dividend	N	T					
38. Common VNQ - VANGUARD REIT ETF	C	Dividend	L	T					
39. Common BSV - VANGUARD SHORT TERM BND	B	Dividend	M	T					
40. Common VBK - VANGUARD SM CAP GROWTH ETF	B	Dividend	L	T					
41. Common VBR - VANGUARD SM VALUE ETF	B	Dividend	M	T					
42. Common BND - VANGUARD TOTAL BOND MARKET	C	Dividend	M	T					
43. Common VTV - VANGUARD VALUE ETF INDEX	D	Dividend	N	T					
44.									
45. Morgan Stanley Bank N.A. Money Market Account (Bank Deposit Program)	A	Interest	L	T					
46. CITIZENS BANK - deposit accounts	A	Interest	M	T					
47. Common BENGUET CORP		None	J	T					
48. Common ENERGIZER HOLDINGS INC (Custodian)	A	Dividend	J	T					
49. Common BOEING COMPANY (Custodian)		None	J	T					
50. Trust #1 (H)									
51. - CITIZENS BANK - bank account	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. - TD BANK - bank account		None			Distributed	12/31/16	K		
53. -NBT BANK f/k/a HAMPSHIRE FIRST BANK - bank account		None			Distributed	12/31/16	K		
54. Trust #2 (H)									
55. - TD BANK - bank account		None			Distributed	12/31/16	K		
56. - NBT BANK f/k/a/HAMPSHIRE FIRST BANK - bank account		None			Distributed	12/31/16	K		
57. Trust #3 (H)									
58. - CITIZENS BANK - bank account	A	Interest	K	T					
59. - NBT Bank f/k/a HAMPSHIRE FIRST BANK - bank account	A	Interest	K	T					
60. Trust #4 (H)									
61. - NBT BANK f/k/a HAMPSHIRE FIRST BANK - bank account	A	Interest	K	T					
62. Trust #5 (H)									
63. - NBT Bank f/k/a HAMPSHIRE FIRST BANK - bank account	A	Interest	K	T					
64. Trust # 6 (H)									
65. -NBT BANK f/k/a HAMPSHIRE FIRST BANK - bank account	A	Interest	K	T					
66. Common PRINCIPAL FINANCIAL GROUP INC	A	Dividend	J	T					
67.									
68.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 32: SPDR NUVEEN BBG BARCLAYS MUN B ETF (TICKER = TFI) is the same fund as SPDR NUVEEN BARCLAYS CAPITAL M (TICKER = TFI) reported on line 127 of the 2015 report. The fund changed its name in 2016.

Part VII, Line 33: SPDR NUVEEN BARCLAYS SHORT TERM (TICKER = SHM) is the same fund as SPDR NUVEEN BARCLAS SHORT ETF reported on line 128 of the 2015 report. The fund changed its name in 2016.

Part VII, Line 46: Morgan Stanley Bank Account NA Money Market account is the same account as reported on line 87 of the 2014 report as "Citibank N.A. Money Market Account." The name of the account changed during 2014 as a result of a merger between Citibank and Morgan Stanley, but the name change was inadvertently not noted in my 2014 or 2015 report.

Part VII, Lines 53 and 56: The proceeds of these accounts were fully distributed to their respective beneficiaries on 11/17/13 and 10/15/14, respectively, but the distributions inadvertently not disclosed on previous reports. The accounts are now closed.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Bruce A. Harwood**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544