

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dever, James C.	2. Court or Organization U.S. District Court, EDNC	3. Date of Report 05/06/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge- Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U.S. District Court 310 New Bern Ave. Raleigh, NC 27601		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Law Professor	Campbell University, N.A. Wiggins School of Law
2. Director	North Wake County Baseball Association
3. Senior Lecturing Fellow	Duke University School of Law
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2012	Agreement to teach as an adjunct law professor at Campbell University
2. 2012	Agreement to teach as a senior lecturing fellow at Duke University
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Dever, James C.

Date of Report

05/06/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Campbell University (wages)	\$13,520.00
2. 2012	Duke University (wages)	\$10,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Teacher, Our Lady of Lourdes Catholic School, Raleigh, North Carolina (wages)
2. 2012	Self-employed, Silpada, Raleigh, North Carolina
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. NC American College of Trial Lawyers	3/22/12 - 3/24/12	Charleston, SC	2012 Annual Meeting	hotel, food, gas
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Dever, James C.

Date of Report

05/06/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Dever, James C.

Date of Report

05/06/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Northwestern Mutual Life Policy	A	Int./Div.	K	T					
2. Northwestern Mutual Life Policy	A	Int./Div.	K	T					
3.									
4. RBC Centura Bank (now PNC Bank) Account	A	Interest	K	T					
5.									
6. IRA									
7. -AAETX (American Funds 2030 Target Date)	A	Int./Div.			Sold	11/7/12	L	C	
8. -TABRX (Templeton Bric Fund Class A)	A	Int./Div.			Sold	11/7/12	J		
9. -OIBAX (Oppenheimer Intl Bond Class A)	A	Int./Div.			Sold	11/7/12	K	A	
10. -NEWFX (New World Fund Class A)	A	Int./Div.			Sold	11/7/12	J		
11. -TEDIX (Mutual Series Discovery Class A)	A	Int./Div.			Sold	11/7/12	J	A	
12. -ITHAX (Hartford Capital Appreciation Fund)	A	Int./Div.			Sold	11/7/12	K		
13. -FRRAX (Franklin Real Return Fund Class A)	A	Int./Div.			Sold	11/7/12	K	A	
14. -RPFIX (Davis Financial Fund Class A)	A	Int./Div.			Sold	11/7/12	J	A	
15. -NYVTX (Davis New York Venture Class A)	A	Int./Div.			Sold	11/7/12	K	B	
16. -CWGIX (Capital World Growth & Income)	A	Int./Div.			Sold	11/7/12	K		
17. -CAIBX (Capital Income Builder Fund)	A	Int./Div.			Sold	11/7/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	L ~\$50,001 - \$100,000 M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Dever, James C.

Date of Report

05/06/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -AMCPX (AMCAP Fund Class A)	A	Int./Div.			Sold	11/7/12	L	D	
19. -Cash Deposit-- Northwestern Mutual Investment Services	A	Interest	J	T					
20. -ACINX (Columbia Acorn International Fund)	A	Int./Div.	J	T	Buy	11/7/12	J		
21. -DODFX (Dodge & Cox International Fund)	A	Int./Div.	K	T	Buy	11/7/12	K		
22. -DODFX (Dodge & Cox International Fund)					Sold (part)	11/9/12	J		
23. -FVHIX (Franklin High Income Fund Advisor)	A	Int./Div.	J	T	Buy	11/7/12	J		
24. -HADBX (Harbor Bond Fund Institutional Class Fund)			J	T	Buy	11/7/12	J		
25. -LSBRX (Loomis Sayles Bond Fund Retail Fund)	A	Int./Div.	J	T	Buy	11/7/12	J		
26. -JCSLX (Mainstay ICAP Select Equity Fund)	A	Int./Div.	K	T	Buy	11/7/12	K		
27. -ODMAX (Oppenheimer Developing Markets Fund)	A	Int./Div.	K	T	Buy	11/7/12	K		
28. -PARSX (Parnassus Small-Cap Fund)	A	Int./Div.	J	T	Buy	11/7/12	J		
29. -PCRPX (Pimco Commodity Real Return Fund)			K	T	Buy	11/7/12	K		
30. -UMBMX (Scout Mid Cap Fund)			J	T	Buy	11/7/12	J		
31. -UMBWX (Scout International Fund)	B	Int./Div.	K	T	Buy	11/7/12	K		
32. -TGBAX (Templeton Global Bond Fund Advisor)			K	T	Buy	11/7/12	K		
33. -MCGFX (Aston/Montag & Caldwell Growth Fund)	A	Int./Div.	K	T	Buy	11/7/12	K		
34. -VSISX (Vanguard Small-Cap Index Signal Fund)	A	Int./Div.	J	T	Buy	11/9/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Dever, James C.

Date of Report

05/06/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -VMISX (Vanguard Mid-Cap Index Signal Fund)	A	Int./Div.	J	T	Buy	11/9/12	J		
36. -VTSSX (Vanguard Total Stock Market Index Signal Fund)	A	Int./Div.	K	T	Buy	11/7/12	M		
37. -VTSSX					Sold (part)	11/9/12	J	A	
38. -VTSSX					Sold (part)	11/9/12	K	A	
39. -VTSSX					Sold (part)	11/9/12	J	A	
40. -VTSSX					Sold (part)	11/9/12	J	A	
41. -GLD (SPDR Gold Tr Gold Shs)			J	T	Buy	11/7/12	J		
42. -XLE (Select Sector SPDR Tr Energy)			J	T	Buy	11/7/12	J		
43. -DODIX (Dodge & Cox Income Fund)			J	T	Buy	11/9/12	J		
44. -HARRX (Harbor Real Return Fund Institutional)			J	T	Buy	11/9/12	J		
45. -VGRSX (Vanguard REIT Index Signal Fund)	A	Int./Div.	J	T	Buy	11/9/12	J		
46. -VIBSX (Vanguard Intermediate Term)			K	T	Buy	11/9/12	K		
47.									
48. Trust No. 1									
49. -Wachovia (now Wells Fargo) checking acct.	A	Interest	J	T					
50. -Wachovia (now Wells Fargo) savings acct.	A	Interest	J	T					
51. -Kinecta Federal Savings & Loan checking acct.	A	Interest	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Dever, James C.

Date of Report

05/06/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. -Kinecta Federal Savings & Loan savings acct.	A	Interest	J	T					
53.									
54.									
55. -Fidelity Cash Reserve acct.	A	Interest	L	T					
56. -New York Life whole life insurance policy	A	Int./Div.	K	T					
57. -New York Life family life insurance policy	A	Int./Div.	J	T					
58. -New York Life variable annuity	A	Int./Div.	J	T					
59. -USAA whole life insurance policy	B	Int./Div.	K	T					
60.									
61. -AT&T Inc stock	A	Dividend	J	T					
62.									
63. -Comcast Corp C1 A stock	A	Dividend	J	T					
64. -General Electric Co stock	B	Dividend	L	T					
65. -News Corp Class A New stock	A	Dividend	K	T					
66. -Northrop Grumman Corp stock	B	Dividend	L	T					
67. -Raytheon Company New stock	D	Dividend	N	T					
68. -3M Company stock	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Dever, James C.

Date of Report

05/06/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. -Wells Fargo CD (X)	A	Interest	K	T					
70. -Fidelity US Treasury Money Mkt (X)	A	Interest	M	T					
71. -Huntington Ingalls Indus stock (X)	A	Dividend	J	T					
72. -Huntington Ingalls Indus stock									
73. -Chevron Corp stock	D	Dividend	M	T					
74.									
75.									
76.									
77. -Morgan Stanley Smith Barney LLC Bank Deposit (X)	A	Interest	J	T					
78. Fidelity Portfolio Advisory Service	C	Int./Div.	M	T	Buy	4/18/12	M		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Dever, James C.

Date of Report

05/06/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Dever, James C.

Date of Report

05/06/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James C. Dever**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544