

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SINGLETON, JAMES K.	2. Court or Organization U.S. DISTRICT COURT, ALASKA	3. Date of Report 09/30/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE-SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address THE JAMES M. FITZGERALD UNITED STATES COURTHOUSE AND FEDERAL BUILDING 222 W. 7TH AVENUE ANCHORAGE, AK 99513-7524		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1/1/94	STATE OF ALASKA, JUDICIAL RETIREMENT
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	STATE OF ALASKA RETIREMENT AND BENEFITS PLAN- RETIREMENT INCOME	\$119,978.00
2. 2015	MERRILL LYNCH AS CUSTODIAN- RETIREMENT INCOME	\$2,194.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	TRANSAMERICA LIFE INSURANCE COMPANY- RETIREMENT INCOME
2. 2015	STATE OF ALASKA RETIREMENT AND BENEFITS PLAN FOR TEACHERS- RETIREMENT INCOME
3. 2015	MERRILL LYNCH AS CUSTODIAN- RETIREMENT INCOME
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. FNBA BANK ACCOUNT	A	Interest	L	T					
2. ALASKA SUPPLEMENTAL ANNUITY PLAN		None	N	T	Distributed	12/31/15	K	E	
3. MERRILL LYNCH WEALTH MANAGEMENT ACCOUNT (X) : (H)									
4. AUTOMATIC DATA PROC	A	Dividend			Buy (add'l)	01/05/15	J		
5.					Sold	03/03/15	J	A	
6. ABBOTT LABS		None	J	T	Buy	10/14/15	J		
7. ALTRIA GROUP INC		None	J	T	Buy	10/14/15	J		
8.					Sold (part)	10/16/15	J	A	
9. AMN ELEC POWER CO	A	Dividend	J	T	Buy	10/14/15	J		
10. BOEING COMPANY	A	Dividend	J	T	Buy	10/14/15	J		
11.					Sold (part)	05/04/15	J	A	
12. CH ROBINSON WORLDWIDE, INC., NEW	A	Dividend			Buy (add'l)	02/04/15	J		
13.					Sold	10/14/15	J	A	
14. COCA COLA COM	A	Dividend	J	T	Buy	10/14/15	J		
15. CONOCOPHILLIPS	A	Dividend	J	T	Buy	10/14/15	J		
16. CUMMINS INC	A	Dividend			Buy	03/03/15	J		
17.					Sold	10/14/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. CVS HEALTH CORP	A	Dividend	J	T	Buy	10/14/15	J		
19. DOMINION RES INC NEW VA	A	Dividend	J	T	Buy	10/14/15	J		
20. DOVER CORP	A	Dividend			Buy (add'l)	01/05/15	J		
21.					Sold	10/14/15	J	A	
22. DU PONT E I DE NEMOURS	A	Dividend			Buy (add'l)	06/02/15	J		
23.					Sold	03/03/15	J		
24. EXXON MOBIL CORP	A	Dividend	J	T	Buy (add'l)	10/14/15	J		
25.					Sold (part)	08/04/15	J	A	
26. EMERSON ELEC CO		None			Sold	01/22/15	J	A	
27. GENERAL ELECTRIC		None	J	T	Buy	10/14/15	J		
28. HOME DEPOT INC	A	Dividend	J	T	Buy	10/14/15	J		
29.					Sold (part)	10/16/15	J	A	
30. INTEL CORP	A	Dividend	J	T	Buy	10/14/15	J		
31. JOHNSON AND JOHNSON	A	Dividend	J	T	Buy	01/05/15	J		
32.					Sold (part)	10/02/15	J	A	
33. JPMORGAN CHASE & CO		None	J	T	Buy	10/14/15	J		
34. KRAFT (THE) HEINZ CO	A	Dividend	J	T	Buy	10/14/15	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. MCDONALDS CORP	A	Dividend	J	T	Buy	10/14/15	J		
36.					Sold (part)	10/16/15	J	A	
37. MICROSOFT CORP	A	Dividend	J	T	Buy	11/03/15	J		
38.			J	T	Buy (add'l)	11/03/15	J		
39.					Sold (part)	10/14/15	J	A	
40. NEXTERA ENERGY INC	A	Dividend	J	T	Buy	10/14/15	J		
41. NIKE INC	A	Dividend	J	T	Buy	10/14/15	J		
42.					Sold (part)	10/16/15	J	A	
43. NORFOLK SOUTHERN CORP	A	Dividend			Buy (add'l)	01/05/15	J		
44.					Sold	08/10/15	J	A	
45. NORTHROP GRUMMAN CORP		None			Sold	01/05/15	J	A	
46. OCCIDENTAL PETE CORP		None	J	T	Buy	10/14/15	J		
47. PARKER HANNIFIN CORP	A	Dividend			Buy	08/04/15	J		
48.					Sold	10/14/15	J	A	
49. PAYCHEX INC	A	Dividend			Buy (add'l)	01/05/15	J		
50.					Sold	10/14/15	J	A	
51. PFIZER INC	A	Dividend	J	T	Buy	10/14/15	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. PHILIP MORRIS INTL INC		None	J	T	Buy	10/14/15	J		
53. PROCTER & GAMBLE CO	A	Dividend	J	T	Buy	10/14/15	J		
54.					Sold (part)	08/06/15	J	A	
55. SCHLUMBERGER LTD		None	J	T	Buy	10/14/15	J		
56. SIMON PROPERTY GROUP DEL	A	Dividend	J	T	Buy	10/14/15	J		
57. STATE STREET CORP		None	J	T	Buy	10/14/15	J		
58. THERMO FISHER SCIENTIFIC		None	J	T	Buy	10/14/15	J		
59. WAL-MART STORES INC	A	Dividend	J	T	Buy (add'l)	08/04/15	J		
60.					Sold (part)	10/14/15	J	A	
61. VERIZON COMMUNICATNS		None	J	T	Buy	10/14/15	J		
62. 3M COMPANY	A	Dividend	J	T	Buy	01/05/15	J		
63.					Sold (part)	06/02/15	J	A	
64. CONSUMER DISCRETIONARY	A	Dividend	J	T	Sold (part)	04/27/15	J	A	
65. HEALTH CARE SELECT SPDR	A	Dividend	J	T	Sold (part)	04/27/15	J	A	
66. ISHARES MSCI EAFE	A	Dividend	J	T	Sold (part)	10/15/15	J	A	
67. ISHARES IBOXX \$	A	Dividend	J	T	Sold (part)	08/25/15	J	A	
68. ISHARES TIPS	A	Dividend	J	T	Sold (part)	08/25/15	J	A	

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69. ISHARES 3-7 YEAR	A	Dividend	J	T	Sold (part)	08/25/15	J	A	
70. ISHARES MBS ETF	A	Dividend	J	T	Sold (part)	10/15/15	J	A	
71. LOOMIS SAYLES STRATEGIC	B	Dividend	J	T					
72. MARKET VECTORS J.P.	A	Dividend	J	T	Sold (part)	10/15/15	J	A	
73. POWERSHARES GLOBAL	A	Dividend	J	T	Sold (part)	08/25/15	J	A	
74.									
75. SCHWAB US DIVIDEND EQTY	A	Dividend			Buy (add'l)	01/12/15	K		
76.					Sold	02/03/15	K	A	
77. SECTOR SPDR CONSMRS STPL	A	Dividend	J	T	Sold (part)	10/07/15	J	A	
78. SECTOR SPDR ENERGY	A	Dividend	J	T	Sold (part)	04/27/15	J	A	
79. SECTOR SPDR INDUSTRIAL	A	Dividend	J	T	Sold (part)	04/27/15	J	A	
80. SECTOR SPDR UTILITIES	A	Dividend	J	T	Sold (part)	04/27/15	J	A	
81. SPDR BARCLAYS	A	Dividend	J	T	Sold (part)	10/15/15	J	A	
82. VANGUARD FINANCIALS ETF	A	Dividend	J	T	Sold (part)	04/27/15	J	A	
83. VANGUARD INFORMATION TECH	A	Dividend	J	T	Sold (part)	04/27/15	J	A	
84. VANGUARD TELECOMM SRVCS	A	Dividend	J	T	Sold (part)	04/27/15	J	A	
85. VANGUARD INTERMEDIATE	A	Dividend	J	T	Sold (part)	08/25/15	J	A	

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86. VANGUARD SHORT TERM BOND	A	Dividend	J	T	Sold (part)	08/25/15	J	A	
87. GENUINE PARTS CO	A	Dividend			Sold	01/08/15	J	A	
88. HEWLETT PACKARD ENTERPRISE CO		None			Buy	10/14/15	J		
89.					Sold	11/03/15	J	A	
90. HP INC		None			Buy	10/14/15	J		
91.					Sold	11/03/15	J	A	
92. ISHARES INC CORE MSCI					Sold	08/25/15	J	A	
93. LINEAR TECHNOLOGY CORP	A	Dividend			Buy (add'l)	01/05/15	J		
94.					Sold	10/14/15	J	A	
95. QUALCOMM INC	A	Dividend			Buy (add'l)	01/01/15	J		
96.					Sold	10/14/15	J	A	
97. RAYTHEON CO DELAWARE NEW	A	Dividend			Buy (add'l)	02/03/15	J		
98.					Sold	10/14/15	J	A	
99. MATERIALS SELECT SECTOR	A	Dividend			Sold	04/27/15	J	A	
100. UNION PACIFIC CORP	A	Dividend			Buy	06/02/15	J		
101.					Sold	10/14/15	J	A	
102. UNITED TECHS CORP	A	Dividend			Buy (add'l)	04/02/15	J		

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103.					Sold	10/14/15	J	A	
104.									
105. MERRILL LYNCH WEALTH MANAGEMENT 60538 (H)									
106. MERRILL LYNCH AS CUSTODIAN RETIREMENT ACCOUNT	B	Distribution	K	T					
107. AT&T INC	A	Dividend	J	T	Buy (add'l)	02/03/15	J		
108. JPMORGAN CHASE & CO	A	Dividend	J	T					
109. WAL-MART STORES	A	Dividend	J	T	Buy (add'l)	06/02/15	J		
110. BLACKROCK EQTY DIVIDEND	B	Dividend	J	T					
111. LOOMIS SAYLES STRATEGIC	A	Dividend	J	T					
112. TEMPLETON GLBL BOND FD	A	Dividend	J	T					
113.									
114. MERRILL LYNCH WEALTH MANAGEMENT (H)									
115. MERRILL LYNCH AS CUSTODIAN RETIREMENT ACCOUNT	C	Distribution	L	T					
116. JPMORGAN CHASE & CO	A	Dividend	J	T					
117. VERIZON COMMUNICATIONS COM	A	Dividend	J	T					
118. BLACKROCK EQTY DIVIDEND	B	Dividend	J	T					
119. BLACKROCK GLOBAL	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. DELAFIELD FUND	A	Dividend	J	T					
121. FAIRHOLME FUND	A	Dividend	J	T					
122. GABELLI DIV & INC TR	A	Dividend	J	T					
123. LOOMIS SAYLESS STRATEGIC	B	Dividend	J	T					
124. TEMPLETON GLBL BOND FD	A	Dividend	J	T					
125.									
126. MERRILL LYNCH WEALTH MANAGEMENT ACCOUNT : (H)									
127. CONTINUED:									
128. POWERSHARES PREFERRED		Dividend	J	T	Buy				
129.	A	Dividend	J	T	Sold (part)	10/07/15	J	A	
130.									
131.									
132.									
133.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

This Financial Disclosure report has been amended in accordance with the Letter of Inquiry from the Committee on Financial Disclosure. As requested, the following changes have been made to ensure conformity with the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111).

In Part II, the information provided regarding, "Executive Life Insurance Company", has been removed. Additionally, in Part IIIA, the listed information for "Great-West Trust Company" was also removed. These two accounts are part of a defined contribution plan under 26USC §401(a), which is maintained by the State of Alaska as a substitute for Social Security. The administrator of this Plan is "Alaska Supplemental Annuity Plan." As such, Part VII, line 2, which was inadvertently listed as "Executive Life Insurance Company" on the original report and in prior years has now been changed to "Alaska Supplemental Annuity Plan." The value of this account is approximately \$400,000 and fluctuates daily. Over the life of the account it has not dropped below \$100,000.

Other corrections to the previously submitted report have been edited as follows:

In Part VII, lines 7 and 45, income information for Column B have been added for "Altria Group" and "Northrup Grumman Corp." These amounts were inadvertently left out as both had no income during 2015 and are now indicated as "none" per instructions.

In Part VII, line 74, "Powershares Preferred" was moved to line 128 and line 129 in order to preserve the original line number referencing made in the Committee's letter. As noted, there was no purchase information in the original 2015 disclosure report. These shares were purchased on 10/03/2014, but were not reported in the prior year's report as the total value of the shares were deemed negligible. We have included the purchase information in this amended report on line 128.

In Part VII, line 81, Column C information for "SPDR Barclays" has been added to reflect the value of the stock at the end of the reporting period.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ JAMES K. SINGLETON

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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