

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lorch, Basil H.	2. Court or Organization U.S. Bankruptcy Court	3. Date of Report 05/01/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 121 W. Spring Street New Albany, IN 47150		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Treasurer	National Conference of Bankruptcy Judges
2. Adjunct Professor	Indiana University Maurer School of Law
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Lorch, Basil H.

Date of Report

05/01/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Teaching - Indiana University Maurer School of Law	\$15,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bankruptcy Judges	April 6 - 8	Kansas City, MO	Business meeting and educational seminar	Meals, hotel, transportation
2. National Conference of Bankruptcy Judges	October 7 - 10	Chicago, IL	Business meeting and educational seminar	Meals, hotel, transportation
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Lorch, Basil H.

Date of Report

05/01/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Lorch, Basil H.

Date of Report

05/01/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. RJ Bank Deposit Program	A	Interest	J	T					
2. TIAA Cref Teachers Retirement Fund	B	Dividend	M	T	Sold (part)	03/20/14	M		
3. First Trust Market Strength Allocation	A	Dividend			Sold	03/24/14	J	A	
4. College Choice T Rowe Price Large Cap Growth	A	Dividend	J	T					
5. College Choice Pimco Total Return Class A	A	Dividend	J	T					
6. College Choice Core Bond Index Class A	A	Dividend	J	T					
7. College Choice Black Rock Large Cap Value Class A	A	Dividend	J	T					
8. College Choice 2025 Enrollment Portfolio Class A	A	Dividend	J	T					
9. First Trust Dividend Strength 16	A	Dividend			Sold	03/24/14	J	A	
10. First Trust MLP Clsd End Energy 15	A	Dividend			Sold	01/21/14	J	A	
11. AMG Times Sq Small Cap Growth Fund	A	Dividend	J	T	Buy	03/26/14	J		
12.					Sold (part)	05/05/14	J	A	
13. Wells Fargo Advantage Intl Value Fund	A	Dividend	J	T	Buy	03/26/14	J		
14. MFS Int'l Growth Fund Class I	A	Dividend	J	T	Buy	03/26/14	J		
15. Prudential Jennison Midcap Growth Fund Class Z	A	Dividend	J	T	Buy	03/26/14	J		
16. Prudential High Yield Fund Class Z	A	Dividend			Buy	03/26/14	J		
17.					Sold	08/18/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Lorch, Basil H.

Date of Report

05/01/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. DWS RREEF Global Real Estate Sec FD Class A	A	Dividend	J	T	Buy	03/26/14	J		
19. JP Morgan Core Bond Fund Class A	A	Dividend	J	T	Buy	03/26/14	J		
20. MFS Research Fund Class A	A	Dividend	J	T	Buy	03/26/14	J		
21. John Hancock Disciplined Value Fund Class A	A	Dividend	K	T	Buy	03/26/14	K		
22. Virtus Emerging Markets Opportunities Fund Class I	A	Dividend	J	T	Buy	03/26/14	J		
23.					Buy (add'l)	08/18/14	J		
24. Europacific Growth Fund Class F1	A	Dividend	J	T	Buy	03/26/14	J		
25.					Buy (add'l)	05/05/14	J		
26. Neuberger Berman Genesis Fund Investor Class	A	Dividend	J	T	Buy	03/26/14	J		
27. Pimco Total Return Fund Class P	A	Dividend	J	T	Buy	03/26/14	J		
28.					Buy (add'l)	08/18/14	J		
29. JP Morgan US Large Cap Core Plus Fund Select Class	A	Dividend	J	T	Buy	03/26/14	J		
30. JP Morgan Midcap Value Fund Institutional Shares	A	Dividend	J	T	Buy	03/26/14	J		
31. AQR Managed Futures Strategy Fund Class N	A	Dividend	J	T	Buy	03/26/14	J		
32.					Sold (part)	08/18/14	J	A	
33. Manning & Napier Equity Series	A	Dividend	J	T	Buy	03/26/14	J		
34. Aston/River Road Small Cap Value Fund Class I	A	Dividend	J	T	Buy	03/26/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Lorch, Basil H.

Date of Report

05/01/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Sold (part)	05/05/14	J	A	
36. Columbia Acorn Int'l Fund Class Z	A	Dividend	J	T	Buy	03/26/14	J		
37.					Sold (part)	08/18/14	J	A	
38. Brown Advisory Growth Equity Fund Investor Shares	A	Dividend	J	T	Buy	03/26/14	J		
39. JP Morgan Core Bond Fund Class A	A	Dividend	J	T	Buy	08/18/14	J		
40. Dodge & Cox Income Fund	A	Dividend	J	T	Buy	10/15/14	J		
41. Merrill Lynch PFD Cap TR V (X)	A	Dividend	J	T					
42. Merrill Lynch CUMUL PFD Cap TR III (X)	A	Dividend	J	T					
43. Black Rock Global ALLOC Investor CL C (X)	A	Dividend	J	T					
44. First Eagle Global CL C (X)	A	Dividend	K	T					
45. Ivy Balanced CL C (X)	A	Dividend	K	T					
46.					Buy (add'l)	12/08/14	J		
47. Ivy Asset STRAT CL C (X)	A	Dividend			Sold	12/08/14	J	A	
48. Janus Balanced CL C (X)	A	Dividend	K	T					
49. Loomis Sayles Strategic Income CL C (X)	A	Dividend	K	T					
50. Federated Government Cash SER (X)	A	Interest	J	T					
51. MFS Global (X)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Lorch, Basil H.

Date of Report

05/01/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Lorch, Basil H.

Date of Report

05/01/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Basil H. Lorch**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544