

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hickey, Susan O.	2. Court or Organization US District Court Western District of Arkansas	3. Date of Report 05/14/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 101 South Jackson Avenue Room 219 El Dorado, AR 71730		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	██████████, P.A.
2. 2018	BancorpSouth Advisory Board Fees
3. 2018	PMJ LLC - oil production
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	BancorpSouth	unsecured loan	None
2.	BancorpSouth	unsecured loan	None
3.	U.S. Bank	guaranteed loan	J
4.	Lasley Company Residential	guaranteed lease payments	J
5.	BancorpSouth	unsecured loan	K
6.	BancorpSouth	unsecured loan	K

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 5-4 The Beach LLC		None	L	U					
2. Six Siblings LLC		None	K	U					
3. PMJ LLC	B	Distribution	J	U					
4. [REDACTED], P.A.	E	Distribution	K	U					
5. Equitable Life Insurance Company Policy #1 (whole life)	B	Dividend	L	T					
6. Genworth Life Insurance Company #1 (Universal Life)	A	Dividend	K	T					
7. BancorpSouth Checking #1	A	Interest	J	T					
8. Brokerage Account #2 (H)									
9. Murphy Oil Corp (X)	A	Dividend	J	T					
10. Murphy USA (X)	A	Dividend	J	T					
11. American Funds - Fundamental Investors Fund Class A	C	Dividend	K	T					
12. American Funds - Growth Fund of America Class A	C	Dividend	K	T					
13. American Funds - New World Fund Class A	A	Dividend	K	T					
14. Profit Sharing Account #2 (H)									
15. Vanguard Money Market Fund	A	Interest	J	T					
16. SPDR Series Trust Portfolio Short ETF	A	Dividend	J	T	Buy	07/11/18	J		
17. SPDR Series Trust	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Vanguard Short Term Bond Fund ETF	D	Interest	N	T					
19.					Buy	03/07/18	J		
20.					Buy (add'l)	04/05/18	J		
21.					Buy (add'l)	07/02/18	J		
22.					Buy (add'l)	09/06/18	J		
23.					Buy (add'l)	11/14/18	J		
24. Vanguard Short Term Inflation Protected ETF	D	Dividend	N	T					
25.					Buy (add'l)	09/21/18	J		
26.					Buy (add'l)	10/03/18	J		
27.					Buy (add'l)	10/03/18	J		
28. Vanguard Short Term Corporate Bond ETF	D	Dividend	N	T					
29.					Buy	01/10/18	J		
30.					Buy (add'l)	06/26/18	J		
31. Vanguard CRSP US Mid Cap Value Index ETF	B	Dividend	L	T					
32.					Buy	12/12/18	J		
33. Vanguard CRSP US Mid Cap Growth Index ETF	A	Dividend	K	T					
34. DFA International Core Equity PTF Mutual Fund	C	Dividend	M	T					

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35.					Buy	12/03/18	J		
36. DFA Emerging Markets Core Equity I Mutual Fund	C	Dividend	M	T					
37. DFA US Small Cap Value Port Mutual Fund	A	Dividend	L	T					
38. DFA US Large Cap Value I Mutual Fund	D	Dividend	N	T					
39. DFA International Large Cap Growth Portfolio Mutual Fund	B	Dividend	K	T					
40. DFA US Small Cap Growth Instl Portfolio Mutual Fund	A	Dividend	K	T					
41. DFA US Large Cap Growth Portfolio Instl Mutual Fund	C	Dividend	M	T					
42. DFA Global Real Estate Securities PTF Mutual Fund	D	Dividend	M	T					
43. DFA International Value I Mutual Fund	C	Dividend	M	T					
44. Equitable Life Insurance Company Policy #2 (whole life)	D	Dividend	N	T					
45.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Susan O. Hickey**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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