

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Sacca, James R.	2. Court or Organization Bankruptcy Court, Northern District Georgia	3. Date of Report 10/18/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge, Full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 1480 U.S. Courthouse 75 Spring Street Atlanta, GA 30303		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Sacca, James R.

Date of Report

10/18/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Georgia Bar Assoc. Bankruptcy Section CLE Seminar	November 29-30, 2012	Greensboro, Georgia	Seminar	Hotel, Food
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Sacca, James R.

Date of Report

10/18/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Sacca, James R.

Date of Report

10/18/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Northwestern Mutual Whole Life Policy	C	Dividend	M	T					
2. Northwestern Mutual Variable Life Policy	B	Dividend	M	T					
3. -MSA Select Bond									
4. -MSA Money Market									
5. -MSA Index 500 Stock									
6. -MSA Mid Cap Growth Stock									
7. -MSA High Yield Bond									
8. -MSA Growth Stock									
9. -MSA Small Cap Growth Stock									
10. -MSA Small Cap Value									
11. -MSA Index 400 Stock									
12. -MSA International Growth									
13. -MSA Domestic Equity									
14. -MSA International Equity									
15. -MSA Asset Allocation									
16. -Russell Real Estate Securities									
17. IRA #1	D	Dividend	O	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Sacca, James R.

Date of Report

10/18/2013

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18.	-American Century Diversified Bond Investment Fund Class								
19.	-American Funds Europacific Growth Fund								
20.	-American Funds Fundamental Investors								
21.	-American Funds High Income TrustFund								
22.	-Invesco International Growth Fund								
23.	-Fidelity Advisor Newinsights Fund								
24.	-Fidelity Advisor Leveraged Company Stock Fund								
25.	-DWS Dremen Small Cap Value Fund								
26.	-Lazard Emerging Markets Portfolio Funnd								
27.	-MFS International Value Fund								
28.	-PIMCO Commodity Real Return Strategy Fund								
29.	-PIMCO Real Return Fund Class A								
30.	-PIMCO Total Return Class A								
31.	-Russell Global Real Estate Securities Fund								
32.	-Templeton Global Bond Fund								
33.	-Vanguard 500 Index Fund								
34.	Brokerage Account								

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Sacca, James R.

Date of Report

10/18/2013

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35. -American Funds Europacific Growth Fund	B	Dividend	L	T	Sold (part)	11/21/12	J		
36.									
37. -The Growth Fund of America	A	Dividend	L	T	Sold (part)	11/21/12	J		
38. -MFS Value Fund	B	Dividend	L	T	Sold (part)	11/21/12	J		
39. -PIMCO Commodity Real Return Strategy Fund	A	Dividend	K	T	Sold (part)	11/21/12	J		
40. -PIMCO Real Return Fund	A	Dividend	J	T	Sold (part)	11/21/12	J		
41. -PIMCO Total Return Fund	B	Dividend	L	T	Sold (part)	11/21/12	J		
42. -Russell Emerging Markets Fund	A	Dividend	K	T	Sold (part)	11/21/12	J		
43. -Russell International Developed Markets Fund	B	Dividend	K	T	Sold (part)	11/21/12	J		
44. -Russell Global Real Estate Securities Fund	B	Dividend	K	T	Sold (part)	11/21/12	J		
45. -Stratton Small Cap Value Fund	A	Dividend	K	T	Sold (part)	11/21/12	J		
46. -American Century Tax Free Bond Fund	B	Interest	J	T	Sold (part)	11/21/12	J		
47. -Vanguard Mid-Cap Stock Index Fund	A	Dividend	K	T	Sold (part)	11/21/12	J		
48. -Vanguard Index Trust Total Stock Market Portfolio Fund	B	Dividend	M	T	Sold (part)	11/21/12	J		
49. Serenity Partners, LLC-Astoria, Oregon	G	Distribution	J	W	Buy	1/1/12	N		Astoria Investments, LLC
50. SunTrust Bank deposit accounts	A	Interest	K	T					
51. Astoria Investments, LLC- Astoria, Oregon		None			Sold	01/01/12	N		Astoria Investments, LLC

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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Sacca, James R.

Date of Report

10/18/2013

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52. Virginia 529 Plan-American Funds	D	Dividend	N	T	Sold (part)	08/01/12	J	A	
53. -Capital World Growth and Income Fund									
54. -American Balanced Fund									
55. -AMCAP Fund									
56. -The Bond Fund of America									
57. Perkins Mid Cap Value Fund	B	Dividend	K	T	Sold (part)	11/21/12	J		
58. Templeton Global Bond Fund	B	Dividend	K	T	Sold (part)	11/21/12	J		
59. Great American Life Insurance Annuity	C	Distribution	M	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting	Date of Report
Sacca, James R.	10/18/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

With respect to Part VII, line 49 and 51, I owned a 50% interest in Astoria Investments, which owned a 5.6% interest in Serenity Partners, LLC. On January 1, 2012, in consideration for my withdrawal from Astoria Investments, LLC, I received half of its interest in Serenity Partners, LLC that being a 2.8% interest in Serenity Partners, LLC. Serenity Partners, LLC sold substantially all of its assets on 12/31/12, so while I still own a 2.8% interest in it, it has little or no value.

Part VII, Line 36 has been deleted. I thought the account was opened in late 2012 based on when I discussed opening the account with my financial advisor, but it was actually opened in January 2013, so it will be included in my 2013 report instead.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Sacca, James R.

Date of Report

10/18/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ James R. Sacca**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544