

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Huennekens, Kevin R.	2. Court or Organization Virginia - Bankruptcy Court	3. Date of Report 05/09/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 701 East Broad Street Fifth Floor Richmond, VA 23219-1888		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Wages Virginia Commonwealth University - Assistant Professor
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Marshal-Wythe School of Law, College of William and Mary	February 12-13, 2016	Williamsburg, VA	Moot Court Competition	Transportation, Meals, Hotel Room
2. NCBJ	March 14-15, 2016	San Antonio, TX	Attend Board Meeting at mid-year meeting	Transportation, Meal, Hotel Room
3. Virginia State Bar	March 17-18, 2016	Fairfax, VA	Faculty for legal education seminar	Transportation, Meals, Hotel Room
4. American College of Bankruptcy	March 18-19, 2016	Washington, DC	Attend Annual meeting	Transportation, Hotel Room
5. Northern Virginia Bankruptcy Bar Assoc	April 27-28, 2016	Faifax, VA	Faculty for legal education seminar	Transportation, Meals, Hotel Room

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6.	Virginia Bar Association	April 20-30, 2016	Williamsburg, VA	Faculty for legal education seminar	Transportation, Meals, Hotel Room
7.	Maryland Bar Association	May 12-14, 2016	Annapolis, MD	Faculty for legal education seminar	Transportation, Meals, Lodging
8.	Tidewater Bankruptcy Bar Association	May 19-21, 2016	Virginia Beach, VA	Faculty for legal education seminar	Transportation, Meals, Hotel Room
9.	Turnaround Management Association	June 7-9, 2016	Atlantic City, NJ	Faculty for legal education seminar	Transportation, Meals & Hotel Room
10.	American Bankruptcy Institute	August 4-7, 2016	Cambridge, MD	Faculty for legal education program	Transportation, Meals & Hotel Room
11.	Virginia State Bar	September 15-17, 2016	Charlottesville, VA	Faculty for legal education program	Transportation, Meals & Hotel Room
12.	International Bar Association	September 20-21, 2016	Washington, DC	Faculty for legal education program	Transportation, Meals & Hotel Room
13.	American Bankruptcy Institute	October 6-7, 2016	Washington, DC	Faculty for legal education program	Transportation, Meals & Hotel Room
14.	NCBJ	October 25-29, 2016	San Francisco, California	Attend Board Meeting at annual meeting	Transportation, Meals & Hotel Room

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Northwestern Mutual adjustable Complife Policy	C	Dividend	L	T					
2. First Nat'l Bank of Omaha - Health Savings Account	A	Interest	J	T					
3. IRA for MEH IMLBX	A	Int./Div.	K	T					
4. Wells Fargo Savings Account	A	Interest	J	T					
5. Suntrust Checking Account	A	Interest	J	T					
6. Wells Fargo Advisors Cap Account	A	Interest	K	T					
7. IRA #1 for KRH	D	Int./Div.	N	T					
8. - cash and sweep ballances in Wells Fargo Account	A	Interest	J	T					
9. - IJR	A	Int./Div.	K	T	Sold (part)	03/30/16	J	B	
10.					Sold (part)	04/28/16	J	B	
11.					Buy (add'l)	08/16/16	J		
12.					Sold (part)	11/28/16	J	A	
13. - CIU	A	Int./Div.			Sold (part)	03/30/16	J	A	
14.					Sold	04/28/16	K	C	
15. - LQD	A	Int./Div.			Sold (part)	01/07/16	J	A	
16.					Sold	02/11/16	J	A	
17. - CSJ	A	Interest	L	T	Sold (part)	03/30/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold (part)	08/16/16	J	A	
19. - DBC	A	Int./Div.	J	T	Buy (add'l)	01/07/16	J		
20.					Sold (part)	03/30/16	J	A	
21.					Sold (part)	08/16/16	J	A	
22. - JNK	A	Interest	J	T	Sold (part)	03/30/16	J		
23. - VWO	A	Int./Div.	K	T	Buy (add'l)	02/11/16	J		
24.					Sold (part)	03/30/16	J	A	
25.					Buy (add'l)	12/06/16	J		
26. - VEA	A	Int./Div.	K	T	Buy (add'l)	01/07/16	J		
27.					Buy (add'l)	02/11/16	K		
28.					Sold (part)	03/30/16	J	A	
29.					Sold (part)	04/28/16	J	A	
30.					Buy (add'l)	05/24/16	J		
31.					Buy (add'l)	08/16/16	J		
32. - IJH	B	Int./Div.	L	T	Sold (part)	03/30/16	J	A	
33.					Buy (add'l)	08/16/16	J		
34.					Sold (part)	12/06/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. - XLF	A	Int./Div.	K	T	Sold (part)	03/30/16	J	A	
36. - XLV	A	Int./Div.	K	T	Sold (part)	03/30/16	J	A	
37.					Buy (add'l)	05/24/16	J		
38.					Buy (add'l)	11/08/16	J		
39. - XLY	A	Int./Div.	K	T	Sold (part)	03/30/16	J	A	
40. - XLI	A	Int./Div.	J	T	Sold (part)	03/24/16	J	A	
41.					Sold (part)	03/30/16	J	A	
42. - VNQ	A	Int./Div.	K	T	Buy (add'l)	01/07/16	J		
43.					Sold (part)	03/30/16	J	A	
44.					Buy (add'l)	11/28/16	J		
45. - VOX		None	J	T	Sold (part)	03/30/16	J		
46.					Sold (part)	05/24/16	J	A	
47.					Buy (add'l)	11/08/16	J		
48. - XLP	A	Int./Div.	J	T	Sold (part)	03/30/16	J	A	
49.					Buy (add'l)	09/23/16	J		
50.					Sold (part)	11/28/16	J	A	
51. - VGT	A	Int./Div.	K	T	Sold (part)	03/30/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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52.					Sold (part)	09/23/16	J	A	
53. - XLB	A	Int./Div.	J	T	Sold (part)	03/30/16	J	A	
54. - XLE	A	Int./Div.	J	T	Sold (part)	11/08/16	J	A	
55. - BND	A	Interest	J	T	Sold (part)	03/30/16	J	A	
56.					Sold (part)	08/16/16	J	A	
57. - EWU		None			Sold	02/11/16	J	A	
58. - IGN		None			Sold	01/07/16	J	A	
59. - EWW		None			Sold	02/11/16	J		
60. - FEZ		None			Sold	02/11/16	K	B	
61. - XRT	A	Int./Div.	J	T	Sold (part)	03/30/16	J	A	
62. - ECON	A	Int./Div.			Sold	08/16/16	J	A	
63. - EWG		None			Sold	02/11/16	J	B	
64. - IEF		None			Sold (part)	01/07/16	J	A	
65.					Sold (part)	03/30/16	J	A	
66.					Sold	04/28/16	J	A	
67. - PFF	A	Int./Div.	J	T					
68. - SPY	A	Int./Div.	J	T	Sold (part)	03/24/16	J	A	

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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69.					Sold (part)	03/30/16	J	A	
70. - AGG	A	Interest	L	T	Buy	04/28/16	K		
71.					Buy (add'l)	08/16/16	J		
72.					Buy (add'l)	09/23/16	J		
73.					Buy (add'l)	11/28/16	J		
74. - HYG	A	Interest	J	T	Buy	03/24/16	J		
75.					Sold (part)	03/30/16	J	A	
76. - PCY		None	J	T	Buy	08/16/16	J		
77. - XLRE		None	J	T	Buy	09/22/16	J		
78. - VGK		None			Buy	02/11/16	K		
79.					Sold (part)	04/28/16	J		
80.					Sold	05/24/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII - Line 7 - Entry isted in Column A is a header. Each asset held in this account is listed seperately at lines 8 through 80..

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Kevin R. Huennekens

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544