

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Alvarez, Micaela	2. Court or Organization District Court - S.District-TX	3. Date of Report 06/09/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 1701 W. Bus. 83 McAllen, Texas 78501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Texas Exes, University of Texas
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental property-Donna, Hidalgo County, TX - see Part VIII	B	Rent	J	R					
2. American Funds:Growth Fund of America, Inc		None			Sold	01/04/11	J	A	
3. American Funds: Capital Income BLDR FD-Class A		None			Sold	01/04/11	J	A	
4. American Funds: Capital Income BLDR FD-Class B		None			Sold	01/04/11	J	A	
5. American Funds:Capital World Growth&Income Fund Inc.-Class A		None			Sold	01/04/11	J	A	
6. American Centy Mut. FDS Growth Fund	A	Dividend	J	T	Buy	01/10/11	J		
7. American Centy Invt. TR Diversified BD INSTL CL	A	Dividend	J	T	Buy	01/10/11	J		
8. Artisan FDS Inc Small Cap Value FD ARTVX	A	Dividend	J	T	Buy	01/11/11	J		
9. Dodge & Cox FDS INTL STK FD DODFX	A	Dividend	J	T	Buy	01/10/11	J		
10. Eaton Vance SER II Income Fund Goston CL I EIBIX	A	Dividend	J	T	Buy	01/10/11	J		
11. Eaton Vance Large Cap Value Fund Class I EILVX	A	Dividend	J	T	Buy	02/07/11	J		
12. Goldman Sachs TR Finl Square Treas Instrs FD INSTL CL FTIXX	A	Dividend	J	T	Buy	02/07/11	J		
13. Harbor Fund Cap Appreciation FD INSTL CL HACAX	A	Dividend	J	T	Buy	01/10/11	J		
14. Harbor FD INTL Crowth Fund ISTL Class HAIGX	A	Dividend	J	T	Buy	01/10/11	J		
15. Janus INVT FD Flexible BD FD Class I JFLEX	A	Dividend	J	T	Buy	02/07/11	J		
16. JPMorgan Core Bond FD Slect WOBDX	A	Dividend	J	T	Buy	01/10/11	J		
17. Munder Ser TR Midcap Core Grwth FD CL Y MGOYX	A	Dividend	J	T	Buy	01/10/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Nuveen INVT FDS Inc Real Estate Secs Fund CL I FARCX	A	Dividend	J	T	Buy	02/07/11	J		
19. Pimco FDS Pac Invst Mgmt Ser Total Return FD Instl CL PTTRX	A	Dividend	J	T	Buy	01/10/11	J		
20. Pimco FDS Pac Invst Mgmt Ser-Commodity Real Return Strat FD I	B	Dividend	J	T	Buy	01/10/11	J		
21. Pioneer Ser Tr III Cullen Value FD CL Y CVFYX	A	Dividend	J	T	Buy	01/10/11	J		
22. Pioneer Ser TR I Pioneer OAD Ridge Small Cap Growth Fund CL	A	Dividend	J	T	Buy	01/10/11	J		
23. Dreyfus Emerging Mkts Fd CL I DRPEX	A	Dividend	J	T	Buy	02/07/11	J		
24. Royce Funds Value Plus Fund-Inv RVPHX	A	Dividend	J	T	Buy	01/10/11	J		
25. Wt Mut FD Crm Mid Cap Value FD Instl CL CRIMX	A	Dividend	J	T	Buy	01/10/11	J		

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2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, Positions - this position commenced July 2009

The property listed in Part VII, line 1 was purchased on July 25, 2003 for the price of \$14,500.00.

In Part VII, lines 6 - 9, those assets were sold and the moneys paid directly to myself.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Micaela Alvarez**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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