

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Carnes, Edward E.	2. Court or Organization 11th Circuit Court of Appeals	3. Date of Report 10/17/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. Courthouse One Church Street, Room 403 Montgomery, AL 36104		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-executor of estate	Estate 1
2.	Co-trustee of trust	Trust 1
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 14

Name of Person Reporting

Carnes, Edward E.

Date of Report

10/17/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2015	State of Alabama - Retirement Income	\$29,899.00
2. 2015	Duke Law School - Teaching	\$5,208.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Center for Judicial Studies at Duke Law School	06/07/2015	Durham, North Carolina	Judicial educational activities	Travel expenses
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 14

Name of Person Reporting

Carnes, Edward E.

Date of Report

10/17/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 14

Name of Person Reporting

Carnes, Edward E.

Date of Report

10/17/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Checking account - Regions Bank, Montgomery, AL	A	Interest	M	T					
2. Savings account - Sterling Bank, Montgomery, AL	A	Interest	M	T					
3. RSA-1 Deferred Compensation Account (State of Alabama)	C	Interest	L	T					
4. PEIRAF Deductible Emp. Contributions (State of Alabama)	C	Interest	L	T					
5. IRA Account - Vanguard Health Care Mutual Fund	A	Dividend	L	T					
6. Vanguard Health Care Mutual Fund	D	Dividend	L	T					
7. Vanguard Prime Money Market Fund	A	Dividend	L	T					
8. Vanguard Star Fund	B	Dividend			Buy	04/28/15	K		
9. Vanguard Star Fund		None			Sold	12/31/15	K		
10. Vanguard S&P 500 Index ETF	A	Dividend	K	T					
11. Office Building, Albertville, AL \$86,500 (X)		None	L	S					
12. Car Wash, Highway 431, Albertville, AL \$282,600 (X)	C	Rent	N	S					
13. Office Building, Guntersville, AL \$98,900 (X)	C	Rent	L	S					
14. Timber land, Bledsoe County, TN \$66,375 (X)		None	L	S					
15. Estate 1 - Checking Account - Vantage Bank, Albertville, AL (X)		None	L	T					
16. Trust 1 - Vanguard - Abbott Laboratories (X)	A	Dividend			Sold (part)	11/16/15	K	B	
17. Trust 1 - Vanguard - Abbott Laboratories (X)		None			Sold	11/24/15	J	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	C = \$2,501 - \$5,000 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	D = \$5,001 - \$15,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	E = \$15,001 - \$50,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
2. Value Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 14

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18. Estate 1 - Wells Fargo - Alcoa Inc (X)	A	Dividend			Sold	06/09/15	J	A	
19. Estate 1 - Wells Fargo - American Capital Agency Corp (X)	A	Dividend			Sold	06/09/15	J	A	
20. Trust 1 - Vanguard - Amgen Inc (X)	A	Dividend			Sold	11/16/15	K	B	
21. Trust 1 - Vanguard - Analog Devices Inc (X)	A	Dividend			Sold	11/16/15	K	C	
22. Estate 1 - Wells Fargo - Apache Corp Common (X)	A	Dividend			Sold	06/09/15	J	A	
23. Estate 1 - Wells Fargo - Applied Materials Inc (X)	A	Dividend			Sold	06/09/15	J	A	
24. Estate 1 - Wells Fargo - AT&T Inc (X)	A	Dividend			Sold	06/09/15	J	A	
25. Trust 1 - Vanguard - Bank New York Mellon Corp (X)	A	Dividend			Sold	11/16/15	K	B	
26. Trust 1 - Vanguard - BB&T Corp (X)	A	Dividend			Sold	11/16/15	K	A	
27. Trust 1 - Vanguard - Berkshire Hathaway Inc Series B (X)		None			Sold	11/16/15	J	A	
28. Estate 1 - Wells Fargo - BP Plc Spons ADR (X)	A	Dividend			Sold	06/09/15	J	A	
29. Trust 1 - Vanguard - California Res Corp (X)	A	Dividend			Sold	11/16/15	J	A	
30. Trust 1 - Vanguard - Chubb Corp (X)	A	Dividend			Sold	11/16/15	K	D	
31. Trust 1 - Vanguard - Comcast Corp Cl A Spl (X)	A	Dividend			Sold	11/16/15	K	C	
32. Estate 1 - Wells Fargo - Covidien Plc Cov (X)	A	Dividend			Sold	01/27/15	J	B	
33. Trust 1 - Vanguard - CVS Health Corporation (X)	A	Dividend			Sold	11/16/15	K	C	
34. Estate 1 - Wells Fargo - Devon Energy Corp (X)	A	Dividend			Sold	06/09/15	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 14

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35. Trust 1 - Vanguard - Dow Chemical Company (X)	A	Dividend			Sold	11/16/15	K	A	
36. Trust 1 - Vanguard - Du Pont E.I. DE Nemours and Company (X)	A	Dividend			Sold	11/16/15	J	A	
37. Trust 1 - Vanguard - Duke Energy Corp Com New (X)	A	Dividend			Sold	11/16/15	J	A	
38. Trust 1 - Vanguard - Eaton Corp Plc (X)	A	Dividend			Sold	11/16/15	J	A	
39. Trust 1 - Vanguard - Endurance Specialty Hldg (X)	A	Dividend			Sold	11/16/15	K	B	
40. Estate 1 - Wells Fargo - Entergy Corp New (X)	A	Dividend			Sold	06/09/15	J	A	
41. Trust 1 - Vanguard - Ford Motor Company (X)	A	Dividend			Sold	11/16/15	J	A	
42. Trust 1 - Vanguard - General Electric Company (X)	A	Dividend			Sold	11/16/15	K	C	
43. Estate 1 - Wells Fargo - Glaxosmithkline PLC-ADR (X)	A	Dividend			Sold	06/09/15	J	A	
44. Estate 1 - Wells Fargo - HCP Inc (X)	A	Dividend			Sold	06/09/15	J	A	
45. Trust 1 - Wells Fargo - Health Care Reit/ Welltower Inc (X)	A	Dividend			Sold	11/16/15	J	A	
46. Estate 1 - Wells Fargo - Hewlett-Packard Company (X)	A	Dividend			Sold	06/09/15	J	A	
47. Estate 1 - Wells Fargo - iShares iBoxx & ET Investment Grade Corp (X)	A	Dividend			Sold	06/09/15	K	A	
48. Estate 1 - Wells Fargo - Johnson & Johnson (X)	A	Dividend			Sold	06/09/15	J	A	
49. Trust 1 - Vanguard - Medtronic Plc (X)	A	Dividend			Sold	11/16/15	J	A	
50. Trust 1 - Vanguard - New York Community Bancorp Inc (X)	A	Dividend			Sold	11/16/15	K	A	
51. Trust 1 - Vanguard - Occidental Pete Corp (X)	A	Dividend			Sold	11/16/15	J	B	

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Page 7 of 14

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52. Trust 1 - Vanguard - Old Republic Intl Corp (X)	A	Dividend			Sold	11/16/15	K	C	
53. Trust 1 - Vanguard - Oracle Corporation (X)	A	Dividend			Sold	11/16/15	J	A	
54. Trust 1 - Vanguard - Pepsico Incorporated (X)	A	Dividend			Sold	11/16/15	J	A	
55. Estate 1 - Wells Fargo - Procter & Gamble Co (X)	A	Dividend			Sold	06/09/15	J	A	
56. Trust 1 - Vanguard - Quest Diagnostics Inc (X)	A	Dividend			Sold	11/16/15	K	B	
57. Trust 1 - Vanguard - Semptra Energy (X)	A	Dividend			Sold	11/16/15	J	A	
58. Trust 1 - Vanguard - St Jude Medical Inc (X)	A	Dividend			Sold	11/16/15	K	A	
59. Trust 1 - Vanguard - Staples Inc (X)	A	Dividend			Sold	11/16/15	J	A	
60. Trust 1 - Vanguard - Sysco Corporation (X)	A	Dividend			Sold	11/16/15	K	B	
61. Trust 1 - Vanguard - Time Warner Inc New (X)	A	Dividend			Sold	11/16/15	J	A	
62. Trust 1 - Vanguard - Unilever (X)	A	Dividend			Sold	11/16/15	K	B	
63. Estate 1 - Wells Fargo - Vanguard Intermediate Term Corp Bond (X)	A	Dividend			Redeemed	06/09/15	K	A	
64. Estate 1 - Wells Fargo - Vanguard Long Term ET Corp Bond (X)	A	Dividend			Redeemed	06/09/15	K	A	
65. Trust 1 - Vanguard - Vanguard Short Term ET Corp Bond (X)	A	Dividend			Redeemed	11/16/15	M	A	
66. Estate 1 - Wells Fargo - Verizon Communications Com (X)	A	Dividend			Sold	06/09/15	J	A	
67. Trust 1 - Vanguard - Vodafone Group Plc New Sponsored ADR (X)	A	Dividend			Sold	11/16/15	J	A	
68. Trust 1 - Vanguard - Yahoo Inc (X)		None			Sold	11/16/15	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 8 of 14

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69. Trust 1 - Vanguard - Zimmer Holdings Inc (X)	A	Dividend			Sold	11/16/15	K	A	
70. Trust 1 - Vanguard - 3M Co (X)	A	Dividend			Sold	11/16/15	J	B	
71. Trust 1 - Vanguard - Vanguard Healthcare Investor (X)	A	Dividend	K	T	Buy	12/02/15	K		
72. Trust 1 - Vanguard - Vanguard Growth & Income Admiral (X)	A	Dividend	L	T	Buy	12/02/15	L		
73. Trust 1 - Vanguard - Vanguard Limited Term Tax Exempt Investor (X)	A	Dividend	K	T	Buy	12/02/15	K		
74. Trust 1 - Vanguard - Vanguard Global Minimum Volatility Admiral (X)	B	Dividend	L	T	Buy	12/02/15	L		
75. Trust 1 - Vanguard - Vanguard Morgan Growth Admiral (X)	A	Dividend	L	T	Buy	12/18/15	L		
76. Trust 1 - Vanguard - Vanguard Short Term Corp Bond Index (X)	B	Dividend	K	T	Buy	12/02/15	K		
77. Trust 1 - Vanguard - US Growth Admiral (X)	A	Dividend	L	T	Buy	12/02/15	L		
78. Trust 1 - Vanguard - Chemours Company (X)	A	Dividend			Buy	07/01/15	J		
79. Trust 1 - Vanguard - Chemours Company (X)		None			Sold (part)	07/01/15	J	A	
80. Trust 1 - Vanguard - Chemours Company (X)		None			Sold	11/16/15	J	A	
81. Estate 1 - Wells Fargo - Hoover AL Brd Ed Cap Outlay WTS G/L Ltd (X)	A	Interest			Redeemed	02/17/15	K	A	
82. Estate 1 - Wells Fargo - Mobile AL W&S Commrs Wtr & Swr Rev B/E (X)	A	Interest			Redeemed	06/09/15	K	A	
83. Estate 1 - Wells Fargo - Mobile AL Pub Edl Bldg Auth Ref Ltg Oblig (X)	A	Interest			Redeemed	06/09/15	K	A	
84. Estate 1 - Wells Fargo - Huntsville AL Nat Gas Rev Wts B/E OID (X)	A	Interest			Redeemed	06/09/15	J	A	
85. Estate 1 - Wells Fargo - Alabama Wtr Pollution Ctl Auth Ref Revltn (X)	A	Interest			Redeemed	06/09/15	K	A	

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Page 9 of 14

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86. Estate 1 - Wells Fargo - Alabama St Port Auth Docks Facs Rev Rfdg (X)	A	Interest			Redeemed	06/09/15	K	A	
87. Estate 1 - Wells Fargo - Alabama St Port Auth Docks Ser (X)	A	Interest			Redeemed	06/09/15	J	A	
88. Estate 1 - Wells Fargo - Invesco High Yield Muni FD Cl A Aetfx (X)	A	Dividend			Sold	06/09/15	K	A	
89. Estate 1 - Wells Fargo - Franklin Tax Free TR Ala Tax Free Income (X)	A	Dividend			Sold	06/09/15	K	A	
90. Estate 1 - Wells Fargo - Altria Group Inc (X)	A	Dividend	J	T					
91. Estate 1 - Wells Fargo - American Electric Power Inc (X)	A	Dividend	J	T					
92. Estate 1 - Wells Fargo - AT&T Inc (X)	A	Dividend	J	T					
93. Estate 1 - Wells Fargo - BCE Inc (X)	A	Dividend	J	T					
94. Estate 1 - Wells Fargo - BP Plc Spons ADR (X)	A	Dividend	J	T					
95. Estate 1 - Wells Fargo - Bristol Myers Squibb Co (X)	A	Dividend	J	T					
96. Estate 1 - Wells Fargo - Care Cap PPTYS Inc (X)	A	Dividend	J	T					
97. Estate 1 - Wells Fargo - Chevron Corporation (X)	A	Dividend	J	T					
98. Estate 1 - Wells Fargo - Coca-Cola Company (X)	A	Dividend	J	T					
99. Estate 1 - Wells Fargo - ConocoPhillips (X)	A	Dividend	J	T					
100. Estate 1 - Wells Fargo - Dominion Res Inc Va New (X)	A	Dividend	J	T					
101. Estate 1 - Wells Fargo - Duke Energy Corp Com New (X)	A	Dividend	J	T					
102. Estate 1 - Wells Fargo - Eli Lilly & Co (X)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 14

Name of Person Reporting

Carnes, Edward E.

Date of Report

10/17/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Estate 1 - Wells Fargo - Glaxosmithkline PLC-ADR (X)	A	Dividend	J	T					
104. Estate 1 - Wells Fargo - Halyard Health Inc (X)		None	J	T					
105. Estate 1 - Wells Fargo - HCP Inc (X)	A	Dividend	J	T					
106. Estate 1 - Wells Fargo - Health Care Reit/ Welltower Inc (X)	A	Dividend	J	T					
107. Estate 1 - Wells Fargo - Johnson & Johnson (X)	A	Dividend	J	T					
108. Estate 1 - Wells Fargo - Kimberly-Clark Corp (X)	A	Dividend	J	T					
109. Estate 1 - Wells Fargo - Kinder Morgan Inc (X)	A	Dividend	J	T					
110. Estate 1 - Wells Fargo - Kraft Foods Group (X)	A	Dividend	J	T					
111. Estate 1 - Wells Fargo - McDonalds Corp (X)	A	Dividend	J	T					
112. Estate 1 - Wells Fargo - Merck & Co Inc New (X)	A	Dividend	J	T					
113. Estate 1 - Wells Fargo - National Grid Plc Spon ADR New (X)	A	Dividend	J	T					
114. Estate 1 - Wells Fargo - Pepsico Incorporated (X)	A	Dividend	J	T					
115. Estate 1 - Wells Fargo - Philip Morris International Inc (X)	A	Dividend	J	T					
116. Estate 1 - Wells Fargo - PPL Corporation (X)	A	Dividend	J	T					
117. Estate 1 - Wells Fargo - Procter & Gamble Co (X)	A	Dividend	J	T					
118. Estate 1 - Wells Fargo - Realty Income Corp Reit (X)	A	Dividend	J	T					
119. Estate 1 - Wells Fargo - Reynolds American Inc (X)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 14

Name of Person Reporting

Carnes, Edward E.

Date of Report

10/17/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Estate 1 - Wells Fargo - RMR Grp Inc (X)	A	Distribution	J	T					
121. Estate 1 - Wells Fargo - Royal Dutch Shell PLC (X)	A	Dividend	J	T					
122. Estate 1 - Wells Fargo - Senior Housing Prop TR Reit (X)	A	Dividend	J	T					
123. Estate 1 - Wells Fargo - Talen Energy Corp (X)	A	Distribution	J	T					
124. Estate 1 - Wells Fargo - The Southern Company (X)	A	Dividend	J	T					
125. Estate 1 - Wells Fargo - Total S.A. Spons ADR (X)	A	Dividend	J	T					
126. Estate 1 - Wells Fargo - Unilever Plc Spons ADR (X)	A	Dividend	J	T					
127. Estate 1 - Wells Fargo - Ventas Inc (X)	A	Dividend	J	T					
128. Estate 1 - Wells Fargo - Verizon Communications Com (X)	A	Dividend	J	T					
129. Estate 1 - Wells Fargo - Vodafone Group Plc New (X)	A	Dividend	J	T					
130. Estate 1 - Wells Fargo - Williams Companies (X)	A	Dividend	J	T					
131. Estate 1 - Wells Fargo - US Treasury Notes (X)	B	Interest	L	T					
132. Estate 1 - Wells Fargo - FNMA Pass Thru Pool (X)	B	Interest	K	T					
133. Estate 1 - Wells Fargo - FHLMC Gold Pass Thru Pool (X)	A	Interest	J	T					
134. Estate 1 - Wells Fargo - Money Market (X)	A	Interest	M	T					
135. Trust 1 - Checking Account - Vantage Bank, Albertville, AL (X)		None	J	T					
136. Trust 1 - Vanguard - Vanguard Prime Money Market Fund (X)	A	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 14

Name of Person Reporting

Carnes, Edward E.

Date of Report

10/17/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Estate 1-Wells Fargo -California Res (X) - Transferred 2015 to Line 29		None			Closed	05/22/15	J		
138.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 14

Name of Person Reporting

Carnes, Edward E.

Date of Report

10/17/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Became co-executor in Estate 1 on October 18, 2014. All assets in that estate are identified by '(X).'

Became co-trustee in Trust 1 on May 12, 2015. All assets in that trust are identified by '(X).'

The checking account asset of the estate listed as item #15 was opened on October 22, 2014 with a balance of \$5,000. I did not list it on my 2014 Financial Disclosure Report because of reliance on the Committee's prior interpretation that checking accounts that pay no interest and therefore provide no income need not be listed. This year I discovered that sometime in the past the Committee had changed that interpretation so that checking accounts should now be listed even if they pay no interest. This note should be treated as an amendment to my 2014 Financial Disclosure Report.

This report was amended to add Line 137 due to Inquiry in August 2015. Line 137 is shown on the report as an addition to the trust on Line 29 and then tied to the estate by adding Line 137.

FINANCIAL DISCLOSURE REPORT

Page 14 of 14

Name of Person Reporting

Carnes, Edward E.

Date of Report

10/17/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Edward E. Carnes

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544