

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                          |                                                                  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Lewis, Mary G.                                                                                                        | <b>2. Court or Organization</b><br><br>United States District Court, South Carolina                                                                                                                                                                                                      | <b>3. Date of Report</b><br><br>04/02/2015                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>United States District Judge - Active Status                   | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2015<br>to<br>12/31/2015 |
| <b>7. Chambers or Office Address</b><br><br>201 Magnolia Street<br>Spartanburg, South Carolina 29306                                                                                       |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

|    | <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|----|-----------------|------------------------------------|
| 1. | Trustee         | Trust #1                           |
| 2. | Member          | Quincunx, LLC                      |
| 3. | Trustee         | Trust #2                           |
| 4. | Member          |                                    |
| 5. |                 |                                    |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u>                                                                    |
|-------------|---------------------------------------------------------------------------------------------|
| 1. 2013     | Agreement with L&B Holding, LLC. See VIII on the 2012 Financial Disclosure Report for terms |
| 2. 2013     | Participant in the 401(K) Plan                                                              |
| 3.          |                                                                                             |

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 25

Name of Person Reporting

Lewis, Mary G.

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME  
(yours, not spouse's)

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015 \_\_\_\_\_ - Income derived from practice of law

2. 2015 \_\_\_\_\_ - Income derived from practice of law

3. \_\_\_\_\_

4. \_\_\_\_\_

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

5. \_\_\_\_\_

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 25

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

|    | <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|----|---------------|--------------------|--------------|
| 1. |               |                    |              |
| 2. |               |                    |              |
| 3. |               |                    |              |
| 4. |               |                    |              |
| 5. |               |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

|    | <u>CREDITOR</u>                                       | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|----|-------------------------------------------------------|--------------------|-------------------|
| 1. | Bank of America (Merrill Lynch<br>(Wealth Management) | Line of Credit     | N                 |
| 2. | American Express                                      | Credit Card        | J                 |
| 3. |                                                       |                    |                   |
| 4. |                                                       |                    |                   |
| 5. |                                                       |                    |                   |

# FINANCIAL DISCLOSURE REPORT

Page 4 of 25

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Geiger Partnership, partnership interest with no control                                                                 | A                                       | Distribution                                  | M                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. Quincunx, LLC. member of LLC (H)                                                                                         | D                                       | Distribution                                  | L                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. - Rental office building & land, Columbia, SC                                                                            |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 4. [REDACTED] partnership interest                                                                                          | A                                       | Distribution                                  | M                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. BB&T Common Stock                                                                                                        | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. Investment Rental Property, Columbia, SC                                                                                 | D                                       | Rent                                          | L                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. Investment Property, Georgetown, SC                                                                                      |                                         | None                                          | O                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. Investment Property, Georgetown, SC                                                                                      |                                         | None                                          | O                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. Investment Rental Property, Columbia, SC                                                                                 | E                                       | Rent                                          | O                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. Investment Rental Property, Columbia, SC                                                                                | E                                       | Rent                                          | M                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 11. Investment Rental Property, Columbia, SC                                                                                | D                                       | Rent                                          | M                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. Trust #1 (H)                                                                                                            |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 13. - Cocoa Cola Com                                                                                                        | C                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 14. - Fairpoint Communications Inc (Y)                                                                                      |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 15. - INTL Business Machines Corp IBM                                                                                       | C                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 16. - SPDR INDEX SHS FDS                                                                                                    | C                                       | Dividend                                      |                                                 |                                           | Sold                                            | 07/24/15                | L                               | C                              |                                                                   |
| 17. - VANGUARD GROWTH ETF                                                                                                   | B                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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Page 5 of 25

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                                            |   |          |   |   |             |          |   |   |  |
|----------------------------------------------------------------------------|---|----------|---|---|-------------|----------|---|---|--|
| 18. - BLACKROCK GLOBAL LONG SHORT CREDIT FUND CL I                         | B | Dividend | K | T | Buy (add'l) | 07/22/15 | J |   |  |
| 19. - FIRST EAGLE GLOBAL CLASS I                                           | B | Dividend | M | T | Buy (add'l) | 12/22/15 | K |   |  |
| 20. - GOLDMAN SACHS RISING DIVIDEND GROWTH FD INSTL                        | B | Dividend | M | T |             |          |   |   |  |
| 21. - GOLDMAN SACHS STRATEGIC INCOME FUND CL I                             | B | Dividend |   |   | Sold        | 12/22/15 | L |   |  |
| 22. - JP MORGAN STRATEGIC INCOME OPP FUND                                  | A | Dividend |   |   | Sold        | 07/22/15 | K |   |  |
| 23.                                                                        |   |          |   |   | Sold (part) | 02/13/15 | K |   |  |
| 24. - LOOMIS SAYLES SR FLOAT RTE & FIXD INC FD CL Y                        | D | Dividend | M | T |             |          |   |   |  |
| 25. - MAINSTAY MARKETFIELD FUND CL I                                       | F | Dividend |   |   | Sold        | 07/21/15 | K | C |  |
| 26.                                                                        |   |          |   |   | Sold (part) | 02/12/15 | K | C |  |
| 27. - T ROWE PRICE CAPITAL APPRECIATION FD RETAIL                          | D | Dividend | M | T | Buy (add'l) | 12/22/15 | K |   |  |
| 28. - THORNBURG INVSMT INCOME BUILDER FUND CL I                            | C | Dividend | M | T | Buy (add'l) | 12/22/15 | K |   |  |
| 29. - UAM FPA CRESCENT PORT                                                | D | Dividend | M | T | Buy (add'l) | 12/22/15 | K |   |  |
| 30. - PHILADELPHIA PA SCH DIST LT A RF SCH GTD                             | A | Dividend |   |   | Redeemed    | 08/03/15 | K |   |  |
| 31. - ALPHARETTA GA DEV AUTH REV GA ST UNIV FNDTN RF MAY09 05.000%NOV01 16 | A | Dividend | K | T |             |          |   |   |  |
| 32. - GRAND STRAND WSA SC WWKS-SS RV C RF TAXABLE NOV11 02.862%JUN01 18    | A | Interest | K | T |             |          |   |   |  |
| 33. - STEPUP RNG ACRU ISS BAC MAX CPN 8.35% DUE 1/18/2023 CALL             | A | Interest | J | T |             |          |   |   |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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Page 6 of 25

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|                                                         |   |          |   |   |                |          |   |   |  |
|---------------------------------------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 34. - ML BANK DEPOSIT PROGRAM                           | A | Interest | L | T |                |          |   |   |  |
| 35. - ANGELOAK MULT STRT INC I                          | C | Dividend | L | T | Buy<br>(add'l) | 08/07/15 | K |   |  |
| 36. - BLACKROCK STRATEGIC INCOME<br>OPPRNTS PTF INST    | B | Dividend | L | T | Buy<br>(add'l) | 12/22/15 | K |   |  |
| 37. - WELLS FARGO ADV ABSOLUTE<br>RETURN FD             | A | Dividend | K | T | Buy<br>(add'l) | 07/22/15 | J |   |  |
| 38. - GEB LEV STEPUP ISS BAC                            |   | None     | J | T |                |          |   |   |  |
| 39. -BLACKSTONE ALT MULTISTRATEGY<br>FUND CLASS I       | A | Dividend | K | T | Buy            | 07/22/15 | K |   |  |
| 40. -DEUTSCHE X-TRACKERS MSCI EAFE<br>HEDGED EQUITY ETF | A | Dividend | L | T | Buy            | 07/24/15 | L |   |  |
| 41. -HIGHLAND GLOBAL ATTCTN FD Y                        | C | Dividend |   |   | Sold           | 12/22/15 | L |   |  |
| 42.                                                     |   |          |   |   | Buy<br>(add'l) | 07/22/15 | L |   |  |
| 43.                                                     |   |          |   |   | Buy            | 02/13/15 | L |   |  |
| 44. -PIMCO FDS INCOME FD CL                             | B | Dividend | L | T | Buy            | 12/22/15 | L |   |  |
| 45. Trust #2 (H)                                        |   |          |   |   |                |          |   |   |  |
| 46. - ML BANK DEPOSIT PROGRAM                           | A | Interest | J | T |                |          |   |   |  |
| 47. - BLACKROCK GLOBAL LONG SHORT<br>CREDIT FUND CL I   | A | Dividend | J | T |                |          |   |   |  |
| 48. - DOUBLELINE TOTAL RETURN BOND<br>FUND CL I         | A | Dividend | J | T |                |          |   |   |  |
| 49. - FAIRHOLME FUND                                    | A | Dividend |   |   | Sold           | 02/11/15 | J | A |  |
| 50. - FIDELITY ADVISOR<br>BIOTECHNOLOGY FD CL I         | A | Dividend | J | T |                |          |   |   |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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Page 7 of 25

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 51. - GOLDMAN SACHS RISING DIVIDEND<br>GROWTH FD INSTL                                                                      | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 52. - GOLDMAN SACHS STRATEGIC<br>INCOME FUND CL I                                                                           | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 53. - KOPERNIK GLOBAL ALL CAP FUND<br>INSTL CLASS                                                                           | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 54. - MATTHEWS ASIA DIVIDEND FUND<br>INV CLASS                                                                              | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 02/11/15                | J                               | A                              |                                                                   |
| 55. - MAINSTAY MARKETFIELD FUND CL<br>I                                                                                     | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 56. - T ROWE PRICE CAPITAL<br>APPRECIATION FD RETAIL                                                                        | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 57. - DWS MANAGED MUNICIPAL BOND<br>FUND CL S                                                                               | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 58. - FIRST EAGLE GLOBAL CLASS I                                                                                            | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 59. - THORNBURG INVSMT INCOME<br>BUILDER FUND CL I                                                                          | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 60. - VANGUARD GROWTH ETF                                                                                                   | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/13/15                | J                               |                                |                                                                   |
| 61. - WELLS FARGO ABSOLUTE RETURN<br>FD                                                                                     | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 62. -ALPS ALERIAN MLP ETF                                                                                                   | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 09/10/15                | J                               |                                |                                                                   |
| 63.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/10/15                | J                               |                                |                                                                   |
| 64. -DB X-TRACKERS MSCI EAFE<br>HEDGED EQUITY FUND                                                                          | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 65. -FIDELITY ADV LMTD TERM MUNI<br>INCOME CL I                                                                             | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 07/01/15                | J                               |                                |                                                                   |
| 66. -UAM FPA CRESCENT PORT                                                                                                  | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 67. -SSGA ACTIVE GLOBAL ALLOCATION<br>ETF                                                                                   | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 02/13/15                | J                               | A                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                              |                                                                                          |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|--------------------------------------------------------------|------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000      | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000                                     | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | L = \$50,001 - \$100,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                              | T = Cash Market                                                                          |                         |

# FINANCIAL DISCLOSURE REPORT

Page 8 of 25

Name of Person Reporting

Lewis, Mary G.

Date of Report

04/02/2015

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 68. -GOTHAM ABS RTN FD INSTL (X)                                                                                            | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 69. -POPLAR FORREST PARTNERS FUND<br>CL I                                                                                   | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 70. -I SHARES RUSSELL TOP 200 VALUE<br>(Y)                                                                                  |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 71. -NEUBERGER BERMAN EMERG MKTS<br>EQUITY FD INST                                                                          | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 02/11/15                | J                               |                                |                                                                   |
| 72. -PIMCO INCOME FUND CL P                                                                                                 | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 73. -I SHARES MSCI EAFE SMALL CAP                                                                                           | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 07/06/15                | J                               |                                |                                                                   |
| 74. -TOCQUEVILLE GOLD FUND                                                                                                  | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 11/02/15                | J                               |                                |                                                                   |
| 75. -DEUTSCHE GLOBAL<br>INFRASTRUCTURE FUND CL S                                                                            | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 07/01/15                | J                               | A                              |                                                                   |
| 76.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/11/15                | J                               |                                |                                                                   |
| 77. -VANGUARD VALUE ETF                                                                                                     | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/10/15                | J                               |                                |                                                                   |
| 78. -WASATCH FRONTIER EMERG SML<br>CNTRY FD INV CL                                                                          | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 06/30/15                | J                               |                                |                                                                   |
| 79.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/11/15                | J                               |                                |                                                                   |
| 80. -SECTOR SPDR INDUSTRIAL                                                                                                 |                                         | None                                          |                                                 |                                           | Sold                                            | 02/13/15                | J                               | A                              |                                                                   |
| 81. -AQR MGD FUTURES STRAT 1                                                                                                | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 07/01/15                | J                               |                                |                                                                   |
| 82.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 02/11/15                | J                               |                                |                                                                   |
| 83. -BLCKSTONE ALT MULT STR 1                                                                                               | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 07/01/15                | J                               |                                |                                                                   |
| 84. -FID ADV MUNI INOCME 1                                                                                                  | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 07/01/15                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 9 of 25

Name of Person Reporting

Lewis, Mary G.

Date of Report

04/02/2015

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 85. -JP MORGAN GLOBAL ALLOCATION<br>FD SELECT CL                                                                            | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 12/01/15                | J                               |                                |                                                                   |
| 86. -HENDERSON EUROPEAN FOCUS<br>FUND CLASS I                                                                               | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 02/11/15                | J                               |                                |                                                                   |
| 87. -IVY ASSET STRATEGY FUND CL                                                                                             | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 07/01/15                | J                               |                                |                                                                   |
| 88.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 02/11/15                | J                               |                                |                                                                   |
| 89. -KINDER MORGAN INC                                                                                                      | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 09/10/15                | J                               |                                |                                                                   |
| 90. -AMEX TECHN LGY SELCT SPDR                                                                                              | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 02/13/15                | J                               |                                |                                                                   |
| 91. Individual Retirement Account (H)                                                                                       |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 92. - DB X-TRACKERS MSCI EAFE<br>HEDGED EQUITY FUND                                                                         | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/17/15                | J                               |                                |                                                                   |
| 93. - UAM FPA CRESCENT PORT (Y)                                                                                             |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 94. - GOLDMAN SACHS RISING DIVIDEND<br>GROWTH FD INSTL                                                                      | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 95. - POPLAR FOREST PARTNERS FUND<br>CL I                                                                                   | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 96. - KOPERNIK GLOBAL ALL CAP FUND<br>INSTL CLASS                                                                           | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 97. - MAINSTAY MARKETFIELD FUND CL<br>I                                                                                     | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 98. - T ROWE PRICE CAPITAL<br>APPRECIATION FD RETAIL                                                                        | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 99. - FIRST EAGLE GLOBAL CLASS I                                                                                            | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 100. - THORNBURG INVSMT INCOME<br>BUILDER FUND CL I (Y)                                                                     |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 101. - VANGUARD VALUE ETF                                                                                                   | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/17/15                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 10 of 25

Name of Person Reporting

Lewis, Mary G.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                               |   |          |   |   |      |          |   |  |  |
|---------------------------------------------------------------|---|----------|---|---|------|----------|---|--|--|
| 102. - VANGUARD GROWTH ETF                                    | A | Dividend | J | T |      |          |   |  |  |
| 103. - WASATCH FRONTIER EMERG<br>SMALL CNTRY FD INV CLASS (Y) |   |          |   |   |      |          |   |  |  |
| 104. - WELLS FARGO ABSOLUTE RETURN<br>FD                      | A | Dividend | J | T |      |          |   |  |  |
| 105. -ALPS ALERIAN MLP ETF (Y)                                |   |          |   |   |      |          |   |  |  |
| 106. -FIDELITY ADV BIOTECH (Y)                                |   |          |   |   |      |          |   |  |  |
| 107. -NB EMERG MKTS EQTY FD I (X)                             | A | Dividend |   |   | Sold | 02/12/15 | J |  |  |
| 108. -OAKMARK INTL SML CAP FD                                 | A | Dividend | J | T |      |          |   |  |  |
| 109. -DEUTSCHE GLB INFRSTRCT (Y)                              |   |          |   |   |      |          |   |  |  |
| 110. -BLKRK CLB LNG SHRT (X)                                  | A | Dividend | J | T |      |          |   |  |  |
| 111. -DOUBLELINE TOT RTRN BD (X)                              | A | Dividend | J | T |      |          |   |  |  |
| 112. -GOTHAM ABSOLUTE RETURN FUND<br>INSTL CLASS (X)          | A | Dividend | J | T |      |          |   |  |  |
| 113. -GOLDMAN SACHS STG INC FD C (X)                          | A | Dividend | J | T |      |          |   |  |  |
| 114. -HENDERSON EUROPEAN FOCUS<br>FUND CLASS 1 (X)            | A | Dividend | J | T |      |          |   |  |  |
| 115. -MATTHEWS ASIA DIVIDEND FUND<br>(X)                      | A | Dividend | J | T |      |          |   |  |  |
| 116. -PIMCO FDS INCOME (X)                                    | A | Dividend | J | T |      |          |   |  |  |
| 117. -AMEX TECHNOLOGY SELCT SPDR<br>(X)                       | A | Dividend | J | T |      |          |   |  |  |
| 118. -AQR MGD FUTURES STRAT 1 (X)                             | A | Dividend | J | T |      |          |   |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 11 of 25

Name of Person Reporting

Lewis, Mary G.

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 119. -BLKSTONE ALT MLT STR I                                                                                                | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 07/01/15                | J                               |                                |                                                                   |
| 120. -JP MORGAN GLOBAL ALLOCATION<br>FD                                                                                     | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 12/21/15                | J                               |                                |                                                                   |
| 121. Individual Retirement Account (H)                                                                                      |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 122. - ACE LIMITED                                                                                                          | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/24/15                | J                               |                                |                                                                   |
| 123. - AUTOMATIC DATA PROC                                                                                                  | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 124. - AMERICAN WTR WKS CO INC NEW                                                                                          | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 125. - AMER EXPRESS COMPANY                                                                                                 | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 02/24/15                | J                               | A                              |                                                                   |
| 126.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/17/15                | J                               |                                |                                                                   |
| 127. - BLACKROCK GLOBAL LONG SHORT<br>CREDIT FUND CL I                                                                      | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 07/01/15                | J                               |                                |                                                                   |
| 128. - CITIGROUP INC COM NEW                                                                                                | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 129. - CARDINAL HEALTH INC OHIO                                                                                             | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 130. - CATERPILLAR INC DEL                                                                                                  | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 08/06/15                | J                               |                                |                                                                   |
| 131. - COMCAST CORP NEW CL A                                                                                                | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 132. - CUMMINS INC COM                                                                                                      | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 12/09/15                | J                               |                                |                                                                   |
| 133. - COSTCO WHOLESALE CRP DEL                                                                                             | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 134. - CVS CAREMARK CORP                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 135. - DOMINION RES INC NEW VA                                                                                              | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 136. - DB X-TRACKERS MSCI EAFE<br>HEDGED EQUITY FUND                                                                        | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 02/17/15                | J                               |                                |                                                                   |
| 137. - DOUBLELINE TOTAL RETURN BOND<br>FUND CL I                                                                            | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 138. - DRIEHAUS EMERGING MKTS SM<br>CAP GROWTH FD CL NONE                                                                   |                                         | None                                          |                                                 |                                           | Sold                                            | 02/12/15                | J                               |                                |                                                                   |
| 139. - FAIRHOLME FUND                                                                                                       |                                         | None                                          |                                                 |                                           | Sold                                            | 02/12/15                | J                               |                                |                                                                   |
| 140. - FIDELITY ADVISOR<br>BIOTECHNOLOGY FD CL I                                                                            | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 02/12/15                | J                               | A                              |                                                                   |
| 141.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 01/06/15                | J                               | A                              |                                                                   |
| 142. - FEDEXCORP DELAWARE COM                                                                                               | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 143. - UAM FPA CRESCENT PORT                                                                                                | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 144. - GOTHAM ABSOLUTE RETURN FUND<br>INSTL CLASS                                                                           | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 07/01/15                | J                               |                                |                                                                   |
| 145. - GOLDMAN SACHS RISING DIVIDEND<br>GROWTH FD INSTL                                                                     | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 02/12/15                | J                               | A                              |                                                                   |
| 146.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 01/06/15                | J                               | A                              |                                                                   |
| 147. - GOLDMAN SACHS STRATEGIC<br>INCOME FUND CL I                                                                          | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/12/15                | J                               |                                |                                                                   |
| 148. - HOME DEPOT INC                                                                                                       | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 149. - HESS CORP                                                                                                            | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/17/15                | J                               |                                |                                                                   |
| 150. - HONEYWELL INTL INC DEL                                                                                               | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 151. - HEWLETT PACKARD CO DEL                                                                                               | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 12/09/15                | J                               | A                              |                                                                   |
| 152.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 01/06/15                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 13 of 25

Name of Person Reporting

Lewis, Mary G.

Date of Report

04/02/2015

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 153. - FIA CARD SVS NA RASP                                                                                                 | A                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 154. - POPLAR FOREST PARTNERS FUND<br>CL I                                                                                  | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 155. - IVY ASSET STRATEGY FUND CL I                                                                                         |                                         | None                                          |                                                 |                                           | Sold                                            | 07/01/15                | J                               |                                |                                                                   |
| 156.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 01/06/15                | J                               |                                |                                                                   |
| 157. - JANUS SHORT TERM BOND FUND CL<br>I                                                                                   | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 02/12/15                | J                               |                                |                                                                   |
| 158. - JP MORGAN STRATEGIC INCOME<br>OPP FUND                                                                               | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 07/01/15                | J                               |                                |                                                                   |
| 159.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/12/15                | J                               |                                |                                                                   |
| 160. - KOPERNIK GLOBAL ALL CAP FUND<br>INSTL CLASS                                                                          | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 02/12/15                | J                               |                                |                                                                   |
| 161.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 01/06/15                | J                               |                                |                                                                   |
| 162. - LOOMIS SAYLES SR FLOAT RTE &<br>FIXD INC FD CL Y                                                                     | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 163. - MATTHEWS ASIA DIVIDEND FUND<br>INV CLASS                                                                             | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 07/01/15                | J                               |                                |                                                                   |
| 164. - MAINSTAY MARKETFIELD FUND CL<br>I                                                                                    |                                         | None                                          |                                                 |                                           | Sold                                            | 07/01/15                | J                               |                                |                                                                   |
| 165.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/12/15                | J                               |                                |                                                                   |
| 166. - MONSANTO CO NEW DEL COM                                                                                              | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 09/15/15                | J                               |                                |                                                                   |
| 167. - NEUBERGER BERMAN EMERG<br>MARKETS EQUITY FUND INST                                                                   |                                         | None                                          |                                                 |                                           | Sold                                            | 02/12/15                | K                               |                                |                                                                   |
| 168.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 01/06/15                | J                               |                                |                                                                   |
| 169. - NIKE INC CL B                                                                                                        | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 14 of 25

Name of Person Reporting

Lewis, Mary G.

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 170. - ORACLE CORP \$0.01 DEL                                                                                               | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/17/15                | J                               |                                |                                                                   |
| 171. - PIMCO INCOME FUND CL P                                                                                               | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/12/15                | J                               |                                |                                                                   |
| 172. - T ROWE PRICE CAPITAL<br>APPRECIATION FD RETAIL                                                                       | B                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 173. - FIRST EAGLE GLOBAL CLASS I                                                                                           | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 174. - SCHLUMBERGER LTD                                                                                                     | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 175. - TOCQUEVILLE GOLD FUND                                                                                                |                                         | None                                          |                                                 |                                           | Sold                                            | 11/02/15                | J                               |                                |                                                                   |
| 176.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 01/06/15                | J                               |                                |                                                                   |
| 177. - THORNBURG INVSMT INCOME<br>BUILDER FUND CL I                                                                         | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 178. - THERMO FISHER SCIENTIFIC INC                                                                                         | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/17/15                | J                               |                                |                                                                   |
| 179. - UNITED TECHS CORP COM                                                                                                | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 08/06/15                | J                               |                                |                                                                   |
| 180. - VISA INC CL A SHRS                                                                                                   | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 181. - VALERO ENERGY CORP NEW                                                                                               | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 03/18/15                | J                               | A                              |                                                                   |
| 182. - VANGUARD VALUE ETF                                                                                                   | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 11/13/15                | J                               |                                |                                                                   |
| 183.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/17/15                | K                               |                                |                                                                   |
| 184. - VANGUARD GROWTH ETF                                                                                                  | A                                       | None                                          | K                                               | T                                         | Buy<br>(add'l)                                  | 07/06/15                | J                               |                                |                                                                   |
| 185.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/17/15                | J                               |                                |                                                                   |
| 186. - VERIZON COMMUNICATNS COM                                                                                             | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
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# FINANCIAL DISCLOSURE REPORT

Page 15 of 25

Name of Person Reporting

Lewis, Mary G.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 187. - WASATCH FRONTIER EMERG<br>SMALL CNTRY FD INV CLASS                                                                   | A                                       | None                                          |                                                 |                                           | Sold                                            | 07/01/15                | J                               |                                |                                                                   |
| 188.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/12/15                | J                               |                                |                                                                   |
| 189.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 01/06/15                | J                               |                                |                                                                   |
| 190. - WELLS FARGO ABSOLUTE RETURN<br>FD                                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 07/01/15                | J                               |                                |                                                                   |
| 191.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/12/15                | J                               |                                |                                                                   |
| 192. - WELLS FARGO & CO NEW DEL                                                                                             | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 09/10/15                | J                               |                                |                                                                   |
| 193. -ALPS ALERIAN MLP ETF                                                                                                  | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 02/17/15                | J                               |                                |                                                                   |
| 194.                                                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 195. -AMERICAN TOWER REIT INC                                                                                               | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 196. -BLKRRK STRINC OP PTF INST                                                                                             | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 02/12/15                | J                               |                                |                                                                   |
| 197. -DISNEY (WALT) CO                                                                                                      | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 198. -HIGHLAND GLBL ALLCTN FD Y                                                                                             | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 12/21/15                | J                               |                                |                                                                   |
| 199.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 07/01/15                | J                               |                                |                                                                   |
| 200.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/12/15                | J                               |                                |                                                                   |
| 201. -HENDERSON EUROPEAN FOCUS<br>FUND                                                                                      |                                         | None                                          | J                                               | T                                         | Buy<br>(add'l)                                  | 07/01/15                | J                               |                                |                                                                   |
| 202. -FIRST TRUST HY ETF                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/17/15                | J                               |                                |                                                                   |
| 203. -JANUS CONTRARIAN FUND                                                                                                 | A                                       | None                                          |                                                 |                                           | Sold                                            | 07/01/15                | J                               |                                |                                                                   |

|                         |                                  |                             |                                |                                 |                         |
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| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
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# FINANCIAL DISCLOSURE REPORT

Page 16 of 25

Name of Person Reporting

Lewis, Mary G.

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
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| 204.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/12/15                | J                               |                                |                                                                   |
| 205. -MONDELEZ INTL INC                                                                                                     | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/17/15                | J                               |                                |                                                                   |
| 206. -THE OAKMARK INTL SML CAP FD                                                                                           | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 07/01/15                | J                               |                                |                                                                   |
| 207.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/12/15                | J                               |                                |                                                                   |
| 208.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 01/06/15                | J                               |                                |                                                                   |
| 209. -MARKET VECTORS OIL SERVICE ETF                                                                                        | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 02/17/15                | J                               |                                |                                                                   |
| 210. -ST JUDE MEDICAL INC                                                                                                   | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 02/17/15                | J                               |                                |                                                                   |
| 211. -THIRD AVENUE FOCUSED CREDIT FD                                                                                        |                                         | None                                          |                                                 |                                           | Sold                                            | 02/12/15                | J                               |                                |                                                                   |
| 212. -DEUTSCHE GLOBAL<br>INFRASTRUCTURE FD                                                                                  | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 01/06/15                | J                               |                                |                                                                   |
| 213. -SECTOR SPDR INDUSTRIAL ETF                                                                                            |                                         | None                                          |                                                 |                                           | Sold                                            | 02/17/15                | J                               |                                |                                                                   |
| 214. -AMEX TECHNOLOGY SELECT SPDR                                                                                           | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 07/06/15                | J                               |                                |                                                                   |
| 215.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/17/15                | J                               |                                |                                                                   |
| 216. -AQR MGD FUTURES STRAT 1                                                                                               | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 07/01/15                | J                               |                                |                                                                   |
| 217.                                                                                                                        |                                         |                                               |                                                 |                                           | Buy                                             | 02/12/15                | J                               |                                |                                                                   |
| 218. -BLACKROCK INC                                                                                                         | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 02/24/15                | J                               |                                |                                                                   |
| 219. -BLACKSTONE ALT MULTI STRATEGY<br>FUND CLASS 1                                                                         | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 07/01/15                | J                               |                                |                                                                   |
| 220. -CITIZENS FINAL GROUP INC                                                                                              |                                         | None                                          | J                                               | T                                         | Buy                                             | 12/09/15                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 17 of 25

Name of Person Reporting

Lewis, Mary G.

Date of Report

04/02/2015

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                         |   |          |   |   |                            |          |   |   |  |
|-----------------------------------------|---|----------|---|---|----------------------------|----------|---|---|--|
| 221. -DANAHER CORP                      | A | Dividend | J | T | Buy                        | 08/06/15 | J |   |  |
| 222. -DEVON ENERGY CORP                 | A | Dividend | J | T | Buy                        | 03/18/15 | J |   |  |
| 223. -ECOLAB INC                        | A | Dividend | J | T | Buy                        | 09/15/15 | J |   |  |
| 224. -JP MORGAN GLOBAL ALLOCATION<br>FD |   | None     | J | T | Buy                        | 12/21/15 | J |   |  |
| 225. -HEWLETT PACKARD ENTERPRISE CO     |   | None     |   |   | Sold                       | 11/10/15 | J |   |  |
| 226.                                    |   |          |   |   | Spinoff<br>(from line 151) | 11/05/15 | J |   |  |
| 227. -KINDER MORGAN INC                 | A | Dividend | J | T | Buy<br>(add'l)             | 12/07/15 | J |   |  |
| 228.                                    |   |          |   |   | Buy                        | 09/10/15 | J |   |  |
| 229. -LOCKHEED MARTIN COPR              | A | Dividend | J | T | Buy                        | 08/06/15 | J |   |  |
| 230. -MEDTRONIC PLC                     | A | Dividend | J | T | Buy                        | 02/17/15 | J |   |  |
| 231. TRUST #1 (H)                       |   |          |   |   |                            |          |   |   |  |
| 232. -ML BANK DEPOSIT PROGRAM           | A | Dividend | J | T |                            |          |   |   |  |
| 233. -ACE LIMITED                       | A | Dividend | K | T | Buy<br>(add'l)             | 02/24/15 | J |   |  |
| 234. -AUTOMATIC DATA PROC               | A | Dividend | K | T |                            |          |   |   |  |
| 235. -AMERICAN WTR WKS CO INC NEW       | A | Dividend | K | T | Sold<br>(part)             | 11/05/15 | J | A |  |
| 236. -AMER EXPRESS COMPANY              | A | Dividend |   |   | Sold                       | 02/24/15 | K | A |  |
| 237. -CITIGROUP INC COM NEW             | A | Dividend | K | T |                            |          |   |   |  |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
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| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
|                         | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| 3. Value Method Codes   | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
| (See Column C2)         | U = Book Value                   | V = Other                   | W = Estimated                  |                                 |                         |

# FINANCIAL DISCLOSURE REPORT

Page 18 of 25

Name of Person Reporting

Lewis, Mary G.

Date of Report

04/02/2015

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                 |   |          |   |   |                |          |   |   |  |
|---------------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 238. - CARDINAL HEALTH INC OHIO | A | Dividend | K | T | Sold<br>(part) | 04/20/15 | K | A |  |
| 239. - CATERPILLAR INC DEL      | A | Dividend |   |   | Sold           | 08/06/15 | K |   |  |
| 240.                            |   |          |   |   | Buy<br>(add'l) | 07/25/15 | J |   |  |
| 241. - COMCAST CORP NEW CL A    | A | Dividend | K | T |                |          |   |   |  |
| 242. - COSTCO WHOLESALE CRP DEL | B | Dividend | K | T |                |          |   |   |  |
| 243. - CVS CAREMARK CORP        | A | Dividend | K | T | Sold<br>(part) | 11/05/15 | J | A |  |
| 244. - DOMINION RES INC NEW VA  | A | Dividend | J | T |                |          |   |   |  |
| 245. - FEDEX CORP DELAWARE COM  | A | Dividend | J | T | Sold<br>(part) | 11/05/15 | J | A |  |
| 246. - HOME DEPOT INC           | A | Dividend | J | T | Sold<br>(part) | 11/05/15 | J | B |  |
| 247. - HESS CORP                | A | Dividend | J | T | Sold<br>(part) | 11/05/15 | J |   |  |
| 248.                            |   |          |   |   | Buy<br>(add'l) | 07/24/15 | J |   |  |
| 249. - HONEYWELL INTL INC DEL   | A | Dividend | J | T | Sold<br>(part) | 11/05/15 | J | A |  |
| 250. - MONSANTO CO NEW DEL COM  | A | Dividend |   |   | Sold           | 09/15/15 | J |   |  |
| 251.                            |   |          |   |   | Buy<br>(add'l) | 07/24/15 | J |   |  |
| 252. - NIKE INC CL B            | A | Dividend | J | T | Sold<br>(part) | 07/24/15 | J | A |  |
| 253. - ORACLE CORP \$0.01 DEL   | A | Dividend | K | T |                |          |   |   |  |
| 254. - SCHLUMBERGER LTD         | A | Dividend | J | T | Buy<br>(add'l) | 11/05/15 | J |   |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 19 of 25

Name of Person Reporting

Lewis, Mary G.

Date of Report

04/02/2015

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                     |   |          |   |   |             |          |   |   |  |
|-------------------------------------|---|----------|---|---|-------------|----------|---|---|--|
| 255. - THERMO FISHER SCIENTIFIC INC | A | Dividend | J | T | Sold (part) | 11/05/15 | J | A |  |
| 256. - UNITED TECHS CORP COM        | A | Dividend |   |   | Sold        | 08/06/15 | J | D |  |
| 257. - VISA INC CL A SHRS           | A | Dividend | J | T | Sold (part) | 07/24/15 | J |   |  |
| 258. - VALERO ENERGY CORP NEW       | A | Dividend |   |   | Sold        | 03/18/15 | K |   |  |
| 259. -WELLS FARGO & CO NEW DEL      | A | Dividend | K | T |             |          |   |   |  |
| 260. -AMERICAN TOWER REIT INC       | A | Dividend | K | T |             |          |   |   |  |
| 261. -CUMMINS INC                   | A | Dividend |   |   | Sold        | 12/07/15 | J |   |  |
| 262.                                |   |          |   |   | Sold (part) | 12/04/15 | J |   |  |
| 263.                                |   |          |   |   | Buy (add'l) | 11/05/15 | J |   |  |
| 264. -DISNEY (WALT) CO              | A | Dividend | J | T | Sold (part) | 07/24/15 | J | B |  |
| 265. -HEWLETT PACKARD CO            | A | Dividend |   |   | Sold        | 12/08/15 | J |   |  |
| 266.                                |   |          |   |   | Sold (part) | 11/16/15 | J |   |  |
| 267.                                |   |          |   |   | Buy (add'l) | 11/10/15 | J |   |  |
| 268.                                |   |          |   |   | Buy (add'l) | 04/23/15 | J |   |  |
| 269. -MONDELEZ INTERNATIONAL INC    | A | Dividend | J | T | Sold (part) | 11/05/15 | J | A |  |
| 270. -ST JUDE MEDICAL INC           | A | Dividend |   |   | Sold        | 02/02/15 | K |   |  |
| 271. -VERIZON COMMUNICATIONS COM    | A | Dividend | K | T |             |          |   |   |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 20 of 25

Name of Person Reporting

Lewis, Mary G.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                     |   |          |   |   |                            |          |   |  |  |
|-------------------------------------|---|----------|---|---|----------------------------|----------|---|--|--|
| 272. -BLACKROACK INC                | A | Dividend | K | T | Buy<br>(add'l)             | 11/05/15 | J |  |  |
| 273.                                |   |          |   |   | Buy                        | 02/24/15 | J |  |  |
| 274. -CITIZENS FINL GROUP INC SHS   |   | None     | K | T | Buy<br>(add'l)             | 12/08/15 | J |  |  |
| 275.                                |   |          |   |   | Buy                        | 12/07/15 | J |  |  |
| 276. -DANAHER COPR DEL COM          | A | Dividend | K | T | Buy                        | 08/06/15 | K |  |  |
| 277. -DEVON ENERGY CORP             | A | Dividend | J | T | Buy<br>(add'l)             | 11/05/15 | J |  |  |
| 278.                                |   |          |   |   | Buy                        | 03/18/15 | K |  |  |
| 279. -ECOLAB INC                    | A | Dividend | J | T | Buy                        | 09/15/15 | J |  |  |
| 280. -HEWLETT PACKARD ENTERPRISE CO |   | None     |   |   | Sold                       | 11/20/15 | J |  |  |
| 281.                                |   |          |   |   | Spinoff<br>(from line 265) | 11/05/15 | J |  |  |
| 282. -LOCKHEED MARTIN CORP          | A | Dividend | K | T | Buy                        | 08/06/15 | K |  |  |
| 283. -MEDTRONIC PLC                 | A | Dividend | K | T | Buy                        | 02/02/15 | K |  |  |
| 284. -MICROSOFT CORP                |   | None     | K | T | Buy                        | 12/22/15 | K |  |  |
| 285. BROKERAGE ACCOUNTS (H)         |   |          |   |   |                            |          |   |  |  |
| 286. - ML BANK DEPOSIT PROGRAM      | A | Dividend | J | T |                            |          |   |  |  |
| 287. - ML BANK DEPOSIT PROGRAM      | A | Dividend | J | T |                            |          |   |  |  |
| 288. - DAVIS NY VENTURE FD CL A     | A | Dividend | J | T |                            |          |   |  |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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# FINANCIAL DISCLOSURE REPORT

Page 21 of 25

Name of Person Reporting

Lewis, Mary G.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 289. - FIRST EAGLE GLOBAL CLASS C                                                                                           | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 290. - GOLDMAN SACHS RISING DIVIDEND<br>GROWTH FD CL C                                                                      | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 291. - THORNBURG INCOME BUILDER<br>FUND CLASS C                                                                             | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 292. - ML BANK DEPOSIT PROGRAM                                                                                              | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 293. - BLACKROCK GLOBAL ALLOCATION<br>FD INC C                                                                              | C                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 294. -ML BANK DEPOSIT PROGRAM (X)                                                                                           | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 295. -CD CAPITAL ONE BANK (X)                                                                                               | A                                       | Dividend                                      |                                                 |                                           | Redeemed                                        | 07/02/15                | K                               |                                |                                                                   |
| 296. -CD GOLDMAN SACHS BK (X)                                                                                               | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 297. -ANGEL OAK MULTI STRATEGY INC<br>FD                                                                                    | B                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 12/22/15                | J                               |                                |                                                                   |
| 298. -BLKRR GLBL LNG/SHRT CREDIT FD                                                                                         | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 299. -FIDELITY ADVISOR BIOTECH FD                                                                                           | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 300. -UAM FPA CRESCENT                                                                                                      | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 301. -GOTHAM ABSOLUTE RETURN FD (Y)                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 302. -GENERAL ELEC CAP CORP BOND (X)                                                                                        | B                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 303. -GOLDMAN SACHS RISING DIVIDEND<br>GROWTH FD INSTL                                                                      | A                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 304. -HENDERSON EUROPEAN FOCUS FD                                                                                           |                                         | None                                          | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 305. -HARDING LOEVNER EMERG MKT PT<br>FD                                                                                    |                                         | None                                          |                                                 |                                           | Sold                                            | 10/14/15                | K                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 22 of 25

Name of Person Reporting

Lewis, Mary G.

Date of Report

04/02/2015

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                       |   |          |   |   |             |          |   |  |  |
|-------------------------------------------------------|---|----------|---|---|-------------|----------|---|--|--|
| 306. -POPLAR FOREST PARTNERS FD                       | A | Dividend | L | T |             |          |   |  |  |
| 307. -LOOMIS SAYLES SR FLT RTE & FIXD INC FD          | C | Dividend | K | T |             |          |   |  |  |
| 308. -MATTHEWS ASIA DIVIDEND FUND                     | B | Dividend | K | T |             |          |   |  |  |
| 309. -MAINSTAY MARKET FIELD FD                        |   | None     |   |   | Sold        | 10/14/15 | K |  |  |
| 310. -MARKET VECTORS OIL SVCS ETF                     | A | Dividend | J | T |             |          |   |  |  |
| 311. -PIMCO INCOME FD                                 | B | Dividend | K | T | Buy (add'l) | 12/22/15 | J |  |  |
| 312. -FIRST EAGLE GLOBAL                              | A | Dividend | K | T |             |          |   |  |  |
| 313. -THORNBURG INVSTMT INCOME BUILDER FD             | A | Dividend | K | T |             |          |   |  |  |
| 314. -TEMPELTON GLOBAL TOTAL RETURN FD                | A | Dividend |   |   | Sold        | 05/12/15 | K |  |  |
| 315. -VANGUARD VALUE ETF                              | B | Dividend | L | T |             |          |   |  |  |
| 316. -VANGUARD GROWTH ETF                             | B | Dividend | M | T |             |          |   |  |  |
| 317. -WALMART CORP BOND (X)                           | B | Interest | K | T |             |          |   |  |  |
| 318. -AMEX TECHNOLOGY SELECT SPDR                     | A | Dividend | J | T |             |          |   |  |  |
| 319. -BLKRK STRINC OP PTF INST                        | A | Dividend | K | T | Buy (add'l) | 12/22/15 | J |  |  |
| 320.                                                  |   |          |   |   | Buy         | 05/12/15 | K |  |  |
| 321. -BLKSTONE ALT MLT STR I                          | A | Dividend | K | T | Buy         | 10/14/15 | K |  |  |
| 322. -DEUTSCHE X-TRACKERS MSCI EAFE HEDGED EQUITY ETF | A | Dividend | K | T | Buy         | 10/16/15 | K |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 23 of 25

Name of Person Reporting

Lewis, Mary G.

Date of Report

04/02/2015

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 323. -AQR STYLE PREMIA ALTERNATIVE<br>FUND CL I                                                                             |                                         | None                                          | K                                               | T                                         | Buy                                             | 12/22/15                | K                               |                                |                                                                   |
| 324. Investors Title common stock                                                                                           | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 325. FutureScholar529ColgeSvgsPlnColumbiaConservativeCX-<br>AggTrack (H) (Y)                                                |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 326. Wells Fargo Bank Deposit Sweep (H)                                                                                     |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

# FINANCIAL DISCLOSURE REPORT

Page 25 of 25

Name of Person Reporting

Lewis, Mary G.

Date of Report

04/02/2015

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mary G. Lewis**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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Washington, D.C. 20544