

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hudson, Henry E.	2. Court or Organization USDC, Eastern District of VA	3. Date of Report 04/14/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge -- Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 701 East Broad Street Suite 6312 Richmond, Virginia 23219-1833		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Executive Advisory Committee	National Center for Judicial Security - U.S. Marshals Service
2. Board of Directors	Federal Judges Association
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1998	Virginia Retirement System, Judicial pension (retirement effective September 1, 2002; payment commenced January, 2003)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Adjunct Professor, University of Richmond School of Law	\$1,000.00
2. 2013	Loft Press, book royalties	\$1,500.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Navy Federal Credit Union	VISA and Mastercard (credit cards)	J
2.	Exxon Mobil	Credit card	J
3.	Shell Oil	Credit card	J
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America (Accounts)	A	Interest	J	T					
2. Branch Bank & Trust (BB&T) (Accounts) (CDs)	A	Interest	K	T					
3. Cardinal Bank (Stock)	A	Dividend	K	T					
4. Navy Federal Credit Union (Accounts) (CDs)	A	Interest	L	T					
5. Campaign Solutions, Inc.	C	Dividend	K	W					
6. Franklin High-Yield Fund	C	Interest	L	T					
7. Dominion Resources, Inc.	C	Dividend	M	T					
8. American Fund - Capital World Growth and Income Fund	A	Interest	K	T					
9. American Legacy II - Lincoln National Life	D	Dividend	M	T					
10. Virginia Retirement System	E	Dividend	K	T					
11. Blackrock Funds - Int'l Opportunities	A	Dividend	J	T					
12. Blair Williams Int'l Growth Fund	A	Dividend	J	T	Sold (part)	10/15/13	J	A	
13. Diamond Hills Funds - Small Cap Fund	A	Dividend	J	T					
14. Franklin Federal Intermediate Term Tax Free Income Fund	C	Dividend	L	T					
15. Franklin Virginia Tax Free Income Fund	C	Dividend	M	T					
16. Goldman Sachs Tr. Fin. Square MMKT	A	Dividend			Sold	05/28/13	J	A	
17. Goldman Sachs TR Fin. Square Treas. Intrs.	A	Dividend			Sold	05/28/13	J	B	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Hotchkis & Wiley Core Value Fund	A	Dividend	J	T	Sold (part)	09/04/13	J	A	
19. Pimco-Commodity Fund	A	Dividend			Sold	10/18/13	J	A	
20. Wells Fargo Advantage Endeavor Select Fund	A	Dividend	J	T					
21. Wells Fargo Advantage Small Cap	A	Dividend	J	T					
22. John Hancock Classic Value Fund	A	Dividend	J	T	Sold (part)	10/18/13	J	A	
23. Hartford Int'l Growth Fund	A	Dividend	J	T					
24. Managers Time SSQ	A	Dividend	J	T	Sold (part)	09/30/13	J	A	
25. Cambiar Opportunity Fund	A	Dividend	J	T	Sold (part)	12/09/13	J	A	
26. Harbor Fund INSTL Class	A	Dividend	J	T	Sold (part)	08/29/13	J	A	
27. Keeley Small Cap Value	A	Dividend	J	T	Sold (part)	09/30/13	J	A	
28. Security Equity Fund Mid Cap Value	A	Dividend	J	T	Sold (part)	08/29/13	J	A	
29. Touchstone Large Cap Growth Fund	A	Dividend	J	T	Sold (part)	09/30/13	J	A	
30. Ford Motor Co.	A	Dividend	J	T					
31. AIM Global Real Estate	A	Dividend	J	T	Sold (part)	08/16/13	J	A	
32. Dreyfus App. Fund	A	Dividend	J	T	Sold (part)	09/30/13	J	A	
33. RS Invt. Emerging Mkt	A	Dividend			Sold	02/20/13	J	B	
34. Genworth Financial	A	Dividend	J	T	Buy (add'l)	11/08/13	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Toyota MTRCorp.	A	Dividend			Sold	06/15/13	J	B	
36. Citigroup, Inc.	A	Dividend	J	T					
37. DWS Small Cap Value Fund	A	Dividend			Sold	09/04/13	J	A	
38. Morgan Stanley Mid Cap Growth Fund	A	Dividend	J	T	Sold (part)	08/29/13	J	B	
39. Oppenheimer Dev. Mkt	A	Dividend	J	T	Sold (part)	02/21/13	J	A	
40. Sentinel Small Co.	A	Dividend	J	T					
41. General Elec. Co.	A	Dividend	J	T					
42. Smithfield Foods, Inc.	A	Dividend			Sold	09/03/13	J	A	
43. Mainstay Large Cap Growth	A	Dividend			Sold	09/30/13	J	A	
44. Delaware Group Equity Fund	A	Dividend	J	T	Sold (part)	11/29/13	J	A	
45. First Eagle Fund Sogen Overseas Fund	A	Dividend	J	T	Sold (part)	08/29/13	J	A	
46. First Eagle Cap Growth Fund	A	Dividend	J	T	Sold (part)	11/08/13	J	A	
47. Lazard Emerging Mkts	A	Dividend	J	T	Buy	02/21/13	J		
48. Victory Small Co. Oppty	A	Dividend	J	T	Buy	09/04/13	J		
49. Verizon Comm.	A	Dividend	J	T	Buy	09/03/13	J		
50. Carmax	A	Dividend	J	T	Buy	08/16/13	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Henry E. Hudson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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