

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Berg, Terrence G.	2. Court or Organization United States District Court, Eastern District of Michigan	3. Date of Report 05/13/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United States Courthouse 600 Church Street Flint, Michigan 48502		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	President, Board of Directors	Caritas Welcome Center
2.	Member, Board of Directors	Catholic Lawyers' Society of Detroit
3.	Member, Board of Directors	Historical Society for the United States District Court for the Eastern District of Michigan
4.	Member, Board of Trustees	Legacy DMC
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

Gesu Catholic School Salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 401K #1	G	Distribution			Closed	01/14/13	M		
2. -American Funds Europacific Growth Fund									
3. -ING Small Cap Growth Equity									
4. - Ridge Worth Smaill Cap Value Equity Fund									
5. - SSgA S&P 500 Index Fund									
6. - SSgA S&P Mid Cap Index Fund									
7. - SSgA Target Retirement 2025									
8. - SSgA Yield-Enhanced Short Term Investment Fund									
9. Calvert Tax Free Municipal Bond Fund	A	Int./Div.			Distributed (part)	07/15/13	J	D	
10.					Distributed (part)	09/30/13	J	D	
11.					Closed	11/15/13	J	D	
12. First Eagle Global Fund Class A	A	Dividend			Closed	12/16/13	K	E	
13. Virtus Global Fund Class A	A	Distribution	J	T					
14. Coca Cola Enterprises	A	Distribution	J	T					
15. Michigan 529-Aggressive Age Based Option Age 18+ HMS Berg		None	J	T					
16. Michigan 529-Moderate Age Based Option Age 18+ C Berg	D	Distribution	J	T	Distributed (part)	07/26/13	J		
17.					Distributed (part)	12/30/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Michigan 529-Moderate Age Based Option Age 15-17 TBerg		None	K	T					
19. Peoples Trust Credit Union Accounts	A	Int./Div.	K	T					
20. Phoenix Life Insurance Whole Life Policy		None			Closed	01/01/13	K		
21. Midland National Life Insurance Whole Life Policy X		None	K	T	Open	01/01/13	K		
22. IRA-Fidelity Investment ITS Asset Management, LP X	B	Dividend	K	T	Open	02/28/13	K		
23. - Alliance Bernstein High Income Class A			J	T	Buy	03/01/13	J		
24. - Blackrock Heath Sciences Opp Prt A			J	T	Buy	03/01/13	J		
25. - Columbia Bond Class Z			J	T	Buy	03/01/13	J		
26.			J	T	Sold (part)	10/10/13	J		
27. - Columbia U.S. Govt Mortgage Class A			J	T	Buy	04/10/13	J		
28. - Fidelity International Discovery			J	T	Buy	03/01/13	J		
29.			J	T	Sold (part)	07/10/13	J		
30. - Fidelity Cash Reserves			K	T	Buy	02/28/13	K		
31.			K	T	Sold (part)	03/04/13	K		
32. - Fidelity Cash Fund			K	T	Sold (part)	02/28/13	K		
33. - Fidelity Total Bond			J	T	Buy	03/01/13	K		
34. - Fidelity Government Income			J	T	Buy	04/10/13	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - Fidelity Strategic Income			J	T	Buy	03/01/13	J		
36. - First Eagle Gold Class A			J	T	Buy	10/10/13	J		
37. - Goldman Sachs Strategic Income Fund Class A			J	T	Buy	03/01/13	J		
38. - Oakmark International Class 1			J	T	Buy	03/01/13	J		
39. - JP Morgan Core Bond Fund Class A			J	T	Buy	03/01/13	J		
40. - PIMCO Diversified Income Fund Class D			J	T	Buy	03/01/13	J		
41. - Rydex S&P 500 Pure Growth H					Buy	03/01/13	J		
42.					Sold	04/10/13	J		
43. - Rydex Inverse S&P 500 Strategy Inv			J	T	Buy	07/10/13	J		
44. - Rydex Health Care Investor Class			J	T	Buy	03/01/13	J		
45.			J	T	Sold (part)	07/10/13	J		
46.			J	T	Sold (part)	10/10/13	J		
47. - Rydex Precious Metals			J	T	Buy	04/10/13	J		
48.			J	T	Sold (part)	07/10/13	J		
49. - TCW Total Return Bond Class N			J	T	Buy	10/10/13	J		
50. - Transamerica Short Term Bond Class A			J	T	Buy	07/10/13	J		
51. - Van Eck International Inv			J	T	Buy	03/01/13	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52.			J	T	Sold (part)	03/10/13	J		
53. - Legg Mason BW Global Opportunities Bond FI			J	T	Buy	03/01/13	J		
54.			J	T	Sold (part)	07/10/13	J		
55. - Franklin Biotechnology Discovery A			J	T	Buy	03/01/13	J		
56.			J	T	Sold (part)	07/10/13	J		
57. - Oppenheimer Gold & Spl Minerals			J	T	Buy	03/01/13	J		
58.			J	T	Sold (part)	07/10/13	J		
59. Allianz Vision Deferred Variable Annuity X		None	M	T	Open	02/19/13	M		
60. - AZL T. Rowe Price Capital App			J	T	Buy	02/19/13	J		
61.			J	T	Sold (part)	05/18/13	J		
62.			J	T	Buy (add'l)	05/18/13	J		
63.			J	T	Sold (part)	08/18/13	J		
64.			J	T	Buy (add'l)	08/18/13	J		
65.			J	T	Sold (part)	11/18/13	J		
66.			J	T	Buy (add'l)	11/18/13	J		
67. - AZL MS Mid Cap Growth			J	T	Buy	02/19/13	J		
68.			J	T	Sold (part)	05/18/13	J		

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69.			J	T	Buy (add'l)	05/18/13	J		
70.			J	T	Sold (part)	08/18/13	J		
71.			J	T	Buy (add'l)	08/18/13	J		
72.			J	T	Sold (part)	11/18/13	J		
73.			J	T	Buy (add'l)	11/18/13	J		
74. - AZL Franklin Templeton FS Plus			J	T	Buy	02/19/13	J		
75.			J	T	Sold (part)	05/18/13	J		
76.			J	T	Buy (add'l)	05/18/13	J		
77.			J	T	Sold (part)	08/18/13	J		
78.			J	T	Buy (add'l)	08/18/13	J		
79.			J	T	Sold (part)	11/18/13	J		
80.			J	T	Buy (add'l)	11/18/13	J		
81. - AZL BlackRock Cap Appreciation			J	T	Buy	02/19/13	J		
82.			J	T	Sold (part)	05/18/13	J		
83.			J	T	Buy (add'l)	05/18/13	J		
84.			J	T	Sold (part)	08/18/13	J		
85.			J	T	Buy (add'l)	08/18/13	J		

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86.			J	T	Sold (part)	11/18/13	J		
87.			J	T	Buy (add'l)	11/18/13	J		
88. - Mutual Shares Securities			J	T	Buy	02/19/13	J		
89.			J	T	Sold (part)	05/18/13	J		
90.			J	T	Buy (add'l)	05/18/13	J		
91.			J	T	Sold (part)	08/18/13	J		
92.			J	T	Buy (add'l)	08/18/13	J		
93.			J	T	Sold (part)	11/18/13	J		
94.			J	T	Buy (add'l)	11/18/13	J		
95. - AZL Columbia MCap Value Fund			J	T	Buy	02/19/13	J		
96.			J	T	Sold (part)	05/18/13	J		
97.			J	T	Buy (add'l)	05/18/13	J		
98.			J	T	Sold (part)	08/18/13	J		
99.			J	T	Buy (add'l)	08/18/13	J		
100.			J	T	Sold (part)	11/18/13	J		
101.			J	T	Buy (add'l)	11/18/13	J		
102. - PIMCO VIT All Asset			J	T	Buy	02/19/13	J		

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103.			J	T	Sold (part)	05/18/13	J		
104.			J	T	Buy (add'l)	05/18/13	J		
105.			J	T	Sold (part)	08/18/13	J		
106.			J	T	Buy (add'l)	08/18/13	J		
107.			J	T	Sold (part)	11/18/13	J		
108.			J	T	Buy (add'l)	11/18/13	J		
109. - PIMCO VIT Real Return			J	T	Buy	02/19/13	J		
110.			J	T	Sold (part)	05/18/13	J		
111.			J	T	Buy (add'l)	05/18/13	K		
112.			J	T	Sold (part)	08/18/13	J		
113.			J	T	Buy (add'l)	08/18/13	K		
114.			J	T	Sold (part)	11/18/13	K		
115.			J	T	Buy (add'l)	11/18/13	K		
116. - AZL S&P 500 Index			J	T	Buy	02/19/13	J		
117.			J	T	Sold (part)	05/18/13	J		
118.			J	T	Buy (add'l)	05/18/13	J		
119.			J	T	Sold (part)	08/18/13	J		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.			J	T	Buy (add'l)	08/18/13	J		
121.			J	T	Sold (part)	11/18/13	J		
122.			J	T	Buy (add'l)	11/18/13	J		
123. - PIMCO VIT Total Return			J	T	Buy	02/19/13	J		
124.			J	T	Sold (part)	05/18/13	K		
125.			J	T	Buy (add'l)	05/18/13	K		
126.			J	T	Sold (part)	08/18/13	J		
127.			J	T	Buy (add'l)	08/18/13	K		
128.			J	T	Sold (part)	11/18/13	K		
129.			J	T	Buy (add'l)	11/18/13	K		
130. - Templeton Global Bond Securities			J	T	Buy	02/19/13	J		
131.			J	T	Sold (part)	05/18/13	J		
132.			J	T	Buy (add'l)	05/18/13	J		
133.			J	T	Sold (part)	08/18/13	J		
134.			J	T	Buy (add'l)	08/18/13	J		
135.			J	T	Sold (part)	11/18/13	J		
136.			J	T	Buy (add'l)	11/18/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - AZL JPM International Opportunities			J	T	Buy	02/19/13	J		
138.			J	T	Sold (part)	05/18/13	J		
139.			J	T	Buy (add'l)	05/18/13	J		
140.			J	T	Sold (part)	08/18/13	J		
141.			J	T	Buy (add'l)	08/18/13	J		
142.			J	T	Sold (part)	11/18/13	J		
143.			J	T	Buy (add'l)	11/18/13	J		
144. - AZL INV International Equity			J	T	Buy	02/19/13	J		
145.			J	T	Sold (part)	05/18/13	J		
146.			J	T	Buy (add'l)	05/18/13	J		
147.			J	T	Sold (part)	08/18/13	J		
148.			J	T	Buy (add'l)	08/18/13	J		
149.			J	T	Sold (part)	11/18/13	J		
150.			J	T	Buy (add'l)	11/18/13	J		
151.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Berg, Terrence G.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Terrence G. Berg**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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