

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Moreno, Federico A.	2. Court or Organization Southern District of Florida	3. Date of Report 05/10/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) CHIEF U.S. DISTRICT JUDGE - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address United States Courthouse 400 North Miami Avenue Room 13-3 Miami, Florida 33128 IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. _____
2. _____
3. _____
4. _____
5. _____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1. _____
2. _____
3. _____

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Moreno, Federico A.

Date of Report

05/10/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2. 1/11-5/11	Adjunct Professor Stipend - University of Miami Law School	\$3,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2. 1/11-12/11	Law Firm's Income from Murai, Wald, Biondo, & Moreno is not reportable
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

Moreno, Federico A.

Date of Report

05/10/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Moreno, Federico A.

Date of Report

05/10/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Prudential (I.R.A. Mutual Funds)	A	Interest	K	T					
2. Prudential (I.R.A. Mutual Funds)	A	Interest	K	T					
3. Coconut Grove Bank (Checking Account)	C	Interest	N	T					
4. Prudential Securities - Gas System Revenue Series	A	Int./Div.	K	T					
5. AXA Equitable Variable Investments	A	Int./Div.	L	T					
6. Prudential Variable Investments	A	Int./Div.	K	T					
7. Mutual Funds MET/AIM Cap App. Port, Legg Mason Inv. Agg. Growth	A	Int./Div.	M	T					
8. Sabadell Bank Acct#1(formerly Mellon)	C	Interest	N	T					
9. Marquis Bank	A	Interest	M	T	Open	09/08/11	M	A	Certificate
10. Coconut Grove Bank (Certificate of Deposit #1)	C	Interest	M	T	Closed	09/28/11	M	A	Certificate Cashed 9/28/11
11. Coconut Grove Bank (Certificate of Deposit #2)	C	Interest	N	T	Closed	09/14/11	N	A	Certificate Cashed 9/14/11
12. Coconut Grove Bank(Certificate of Deposit #3)	B	Interest	L	T	Closed	8/31/11	L	A	Certificate Cashed 8/31/11
13. First Bank of Florida Money Market Acct #1	C	Interest	O	T	Open	8/31/11	N	A	Account Opened 8/31/11
14. First Bank of Florida Checking Acct #2	A	Interest	K	T	Open	8/31/11	K	A	Account Opened 8/31/11
15. Sabadell Bank MoneyMkt.Acct#2	B	Interest	K	T	Open				
16. Exxon Mobil Corp.	A	Dividend	K	T	Buy	8/19/11	K		
17. McDonalds Corp.	A	Dividend	K	T	Buy	08/19/11	K		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Moreno, Federico A.

Date of Report

05/10/2011

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Power Shares DB Gold DBL ETN 2X			J	T	Buy	8/19/11	J		
19. Procter & Gamble Co.	A	Dividend	J	T	Buy	8/19/11	J		
20. CSX Corp.	A	Dividend	J	T	Buy	8/19/11	J		
21. Sabadell Bank	A	Interest	M	T	Open	1/3/11	M	A	Cert of Dep Closed 3/31/11
22.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Moreno, Federico A.

Date of Report

05/10/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

This section provides additional information that did not fit in the allotted space in section VII Investments and Trusts.

For #7, the description of the assets did not fit. The description of the assets should read:

Mutual Funds MET/AIM Cap App. Port, Legg Mason Inv. Agg. Growth including: AMERICAN FNDG GRWTH FD OF AM-R3, MFS CASH RESERVE FUND-R3, MFS VALUE FUND-R3, COLUMBIA LIFE GOAL BALANCED GR-A, COLUMBIA LIFE GOAL GROWTH FD-A

For #15 through #19, section (B)(2), the type of income reads Dividend. The dividends were issued in December, 2011.

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Moreno, Federico A.

Date of Report

05/10/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Federico A. Moreno**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544