

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kent, Jordan A.	2. Court or Organization Third Circuit Court of Appeals	3. Date of Report 05/12/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 844 N. King Street Unit 10 Wilmington, DE 19801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Board Member and Secretary	American Inns of Court
2.	Board Member	Ministry of Caring
3.	Board Member	Sacred Heart Village
4.	Board Member	Sedona Conference Judicial Advisory Board
5.	Board Member	Federal Judicial Center
6.	Adjunct Professor of Law	University of Pennsylvania School of Law
7.	Adjunct Professor of Law	Vanderbilt University Law School

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Kent, Jordan A.

Date of Report

05/12/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	Vanderbilt University - teaching	\$8,955.00
2. 2016	Vanderbilt University - teaching	\$10,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Webster Dermatology - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Vanderbilt University	1/31-2/6/16	Nashville, TN	teaching	transportation, food and lodging
2. American Inns of Court	2/12-13/16	Alexandria, VA	Gov. Task Force Meeting	transportation, food and lodging
3. Fondazione Marcianum	3/7-12/16	Venice, Italy	Judge international moot court	transportation, food and lodging
4. American Inns of Court	4/4-5/16	Pittsburgh, PA	panelist	transportation, food and lodging
5. Yale University	4/11-12/16	New Haven, CT	Judge moot court	transportation, food and lodging
6. Brigham Young University	4/20-22/16	Salt Lake City, UT	Commencement speaker	transportation, food and lodging
7. American Inns of Court	5/13-14/16	Alexandria, VA	Spring board meeting	transportation, food and lodging

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

Kent, Jordan A.

Date of Report

05/12/2017

8.	American Inns of Court	9/23/16	Washington, DC	Judge moot court competition	transportation, food and lodging
9.	Vanderbilt University	10/2-8/16	Nashville, TN	teaching	transportation, food and lodging
10.	Stanford Law School	10/17-18/16	Palo Alto, CA	panelist	transportation, food and lodging
11.	The Sedona Conference	10/23-25/16	Washington, DC	panelist	transportation, food and lodging
12.	American Inns of Court	11/4-5/16	Washington, DC	Fall board meeting	transportation, food and lodging
13.	Waseda University	12/1-4/16	Waseda, Japan	panelist	transportation, food and lodging

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Kent, Jordan A.

Date of Report

05/12/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Kent, Jordan A.

Date of Report

05/12/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Schwab Bank Deposit Account	A	Interest	J	T					
2. Schwab U.S. Small Cap	A	Dividend	J	T	Buy	12/08/16	J		
3. Schwab U.S. Broad Market	A	Dividend	L	T					
4. Metropolitan West Total Return Bond M: MWTRX	A	Dividend	J	T					
5. Deutsche Floating Rate CLE S: DFRPX	A	Dividend			Sold	03/04/16	J		
6. JOHCM Int'l SLCT FD CL II: JOHAX		None			Sold	06/17/16	J		
7. Vanguard Health Care FD Investors Shares: VGHXC0	A	Dividend	J	T					
8. SPDR S&P 500 ETF		None	J	T	Buy	12/13/16	J		
9. Texaco/Chevron Corp. Common Stock (Y)									
10. Texaco/Chevron Corp. Common Stock (Y)									
11. Capital One - Accounts	A	Interest	K	T					
12. TD Bank - Account	A	Interest	J	T					
13. Essential LLC	D	Interest	M	U					
14. IRA #1 -Equity Trust (H)									
15. Land Solutions Ptnrs East Meadows LLC	A	Interest	M	U					
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Kent, Jordan A.

Date of Report

05/12/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII Line 1 -- Schwab U.S. Treasury Money Fund was sold on 12/09/15 and moved into Schwab Bank Deposit Account on 12/15/15.

VII Line 8 -- No dividends or interest accrued on this account in 2016.

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Kent, Jordan A.

Date of Report

05/12/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Jordan A. Kent**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544