

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) DOMINGUEZ, DANIEL R.	2. Court or Organization US DISTRICT COURT PUERTO RICO	3. Date of Report 10/25/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US DISTRICT JUDGE (Senior with 100% caseload)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address JOSE V. TOLEDO US COURTHOUSE SUITE 348 300 RECINTO SUR ST. SAN JUAN, PR 00901		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. UBS Resource Management Account-See Part VIII	E	Int./Div.	O	T					
2. UBS Portfolio - See Part VIII									
3. PR GNMA PL	B	Interest	K	T	Redeemed	12/17/12	K	A	
4. PR MTG BCKD & US GOVT SECS	B	Dividend	L	T					
5. PR GNMA & US GOVT TARGET MAT FD	A	Dividend	J	T					
6. UBS IRA - See Part VIII	A	Int./Div.	K	T					
7. -Puerto Rico AAA Portfolio Bond Fund									
8. -Puerto Rico Investors Bond Fund									
9. UBS Portfolio - See Part VIII									
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.									
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1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII is hereby clarified and AMENDED as follows:

The UBS Resource Management Account provides unlimited check writing along with free debt and credit cards with rewards. The "traditional bank account" feature is available to me because I have a UBS Portfolio, listed in Part VII - Line 2, and a UBS IRA, listed in Part VII - Line 3. There are no other assets in this account. All my assets are listed either under UBS Portfolio or UBS IRA.

The PR GNMA P [REDACTED] was redeemed by UBS on December 17, 2012 with a \$25,000.00 redemption. The redeemed monies were reinvested on PUERTO RICO AAA PORTFOLIO BOND FUND INC. on 01/04/13. Hence, this investment will be reported in the FDR 2013.

In my FDR for the year 2011, which was subject to revision by the Commission with the assistance of an agent of the Commission, there was a typing error in the Gross Value of my UBS Resource Management Account investment, Part VII, Line 1. By mistake my investment was valued as "K". All of my previous reports show that my investments have been reported at a Gross Value of "O", that is, from \$500,000.00 to \$1,000,000.00. The agent of the Commission prepared a suggested report for my signature, which the undersigned did not notice that the Gross Value of my investments was incorrectly expressed. A review of my reports filed in the last ten years reveals that my investments have been consistently valued between \$500,000.00 to \$1,000,000.00.

The UBS Portfolio consists of [REDACTED] PR GNMA Pool Units, and Municipal Securities, listed as follows:

Asset backed securities:

PR GNMA POOL [REDACTED]
PR GNMA POOL [REDACTED]
PR GNMA POOL [REDACTED]
PR GNMA POOL [REDACTED]
PR GNMA POOL [REDACTED]
PR GNMA POOL [REDACTED]
PR GNMA POOL [REDACTED]
PR GNMA POOL [REDACTED]
PR GNMA POOL [REDACTED]
PR GNMA POOL [REDACTED]
PR GNMA POOL [REDACTED]
PR GNMA POOL [REDACTED]
PR GNMA POOL [REDACTED]
PR GNMA POOL [REDACTED]
PR GNMA POOL [REDACTED]

Each of the assets listed above are valued at "K" and the interest is valued at "T".

Municipal securities:

PR SALES TAX FING CORP S

CUSIP [REDACTED]

The totality of the Municipal Securities is valued at "M" and the dividends earned are valued at "T". Asset PR SALES TAX FING CORP S was purchased in the year 2009. I have remained with this asset since then. The auditor who assisted me did not include this asset in the revision dated 01/03/2013. Notwithstanding, these assets are included in the UBS Portfolio as to total value, which has not changed in any way since the years 2009 until 2012. Please refer to the FDR dated 05/11/2010, and the detailed explanation provided therein as to the assets sold and purchased during the year 2009 and the reason for the changes.

The UBS IRA consists of:

-Puerto Rico AAA Portfolio Bond Fund
-Puerto Rico Investors Bond Fund

There is no change as to the UBS IRA from the information reported in the years 2011 and 2012. The assets remain the same, and are managed and purchased at the exclusive determination of UBS.

The assets listed above and at Part VII under UBS Portfolio and UBS IRA constitute a list of all my assets, as of December 31, 2012, which are managed solely by UBS. As to the UBS Portfolio, the undersigned has the final word as to the purchase of an asset that may substitute a redeemed asset or the purchase of an asset in exchange of another asset.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **DANIEL R. DOMINGUEZ**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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