

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Spaulding, Karla R.	2. Court or Organization U.S. District Court, M.D. Fla.	3. Date of Report 05/01/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full-time Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. Courthouse 401 W. Central Boulevard Suite 5-500 Orlando, Florida 32801-0550		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Suntrust Bank Accounts	A	Interest	K	T					
2. TSK Investment Partnership, Ltd.	B	Distribution			Distributed	12/24/16	J	A	
3. Pentagon Federal Credit Union (Bank Account)	A	Interest	J	T					
4. USAA Tax-Exempt Money Market USEXX	A	Dividend	K	T					
5. USAA Money Market -- USAXX	A	Interest	J	T					
6. USAA Federal Savings Bank -- Bank Account	A	Interest	M	T					
7. UMAFX USAA Managed Allocation	A	Dividend	K	T	Sold (part)	02/17/16	J		
8.					Sold (part)	05/31/16	J	A	
9. USGRX USAA Growth & Income	A	Dividend			Buy (add'l)	02/01/16	J		
10.					Sold	03/11/16	L		
11. TX5LG7 Corpus Christi Tx Util Sy Rv Rf Bond	B	Interest	K	T					
12. TX8TB4 Washington Cnty Tx Jr. College Dist Rev Comb Fee Bond	A	Interest	K	T					
13. TX31LP0 Pearland Tx Wtrwks & Swr Sys Rev Ref Bond	A	Interest	K	T					
14. WA1UY6 Pierce Cnty WA Sch Dist #403 Bethel Ref Bond	A	Interest	K	T					
15. COPEE4 Eagle Garfield & Routt Cntys Co Sch Dist No Bond	B	Interest			Matured	12/01/16	K		
16. AZ5MMB Mesa Ariz Util Sys Rev Bond	B	Interest	K	T					
17. TX7D34 Univ Tex Univ Rev Rf-06D Bond	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. EFA iShares TR MSCI EAFE IDX		None			Sold	01/29/16	J		
19. Houston Tx Ref-Pub Imp-Ser A Bond	A	Interest			Sold	02/08/16	K	B	
20. TFI SPDR Series Barclays Municipal Bond ETF	A	Dividend			Sold	01/29/16	J	A	
21. MUB iShares National Amt-Free Muni Bond ETF		None			Sold	01/29/16	J	A	
22. LKSMX LKCM Small-Mid Cap Equity Institutional Fund		None			Sold (part)	01/29/16	J		
23.					Sold	02/17/16	J		
24. USSTX USAA Tax Exempt Short Term	A	Dividend	K	T	Sold (part)	01/29/16	J		
25.					Buy (add'l)	10/13/16	J		
26. HNVIX Heartland Value Plus Instl		None			Sold (part)	01/29/16	J		
27.					Sold	02/17/16	J		
28. HWSIX Hotchkiss & Wiley FDS S Cap Val CL I		None			Sold (part)	01/29/16	J		
29.					Sold	02/17/16	J		
30. UIEMX USAA Emerging Markets Fund Institutional Shares	A	Dividend	J	T	Sold (part)	01/29/16	J		
31. UIINX USAA Income Fund Institutional Shares	C	Dividend	M	T	Buy (add'l)	02/17/16	J		
32.					Buy (add'l)	05/19/16	J		
33.					Sold (part)	05/31/16	J	A	
34.					Buy (add'l)	09/15/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. UIPMX USAA Precious Metals & Minerals Fund Inst'l Shares		None			Sold (part)	01/29/16	J		
36.					Sold	02/17/16	J		
37. UISBX USAA Short-Term Bond Fund Institutional Shares	B	Dividend	L	T	Sold (part)	01/29/16	K		
38.					Sold (part)	05/19/16	J	A	
39.					Sold (part)	05/31/16	J	A	
40.					Sold (part)	09/15/16	J		
41. UIRRX USAA Real Return Institutional Shares		None			Sold	02/17/16	J		
42. UIHIX USAA High Income Fund Institutional Shares	B	Dividend	K	T	Sold (part)	02/17/16	J		
43. ABEYX American Beacon Int'l Equity-Y	B	Dividend	L	T	Buy (add'l)	02/01/16	J		
44.					Buy (add'l)	02/08/16	J		
45.					Buy (add'l)	02/17/16	J		
46.					Sold (part)	08/10/16	J		
47. HLMIX Harding Loevner Intl Equity Portfolio Fund Class Inst	A	Dividend	L	T	Buy (add'l)	02/08/16	J		
48.					Buy (add'l)	02/17/16	J		
49.					Buy (add'l)	08/10/16	J		
50. LISIX Lazard Intl Strategic Equity Portfolio Fund Class Inst	A	Dividend	K	T	Sold (part)	01/29/16	J		
51.					Sold (part)	02/17/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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52. SEMNX Hartford Schroders Emerging Market Equity CL I (name change)	A	Dividend	J	T	Sold (part)	01/29/16	J		
53. IWD iShares Russell 1000 Value ETF	A	Dividend	J	T	Sold (part)	01/29/16	J		
54.					Buy (add'l)	09/07/16	J		
55.					Sold (part)	11/07/16	J		
56.					Buy (add'l)	12/09/16	J		
57. IWN iShares Russell 2000 Value ETF		None			Sold	01/29/16	J		
58. AustinTx Cmnty Clg Dist Pub Fac Corp 5% 8/1/27 Lease (Appro	B	Dividend	K	T					
59. Omaha NE Public Pwr Dist Ref Ser A 5% 2/1/25 Multi Oblgs	B	Dividend	K	T					
60. Pennsylvania St 1st Ser 5% 6/1/24	A	Dividend	K	T					
61. VGK Vanguard FTSE Europe EFT		None			Sold	01/29/16	J		
62. Washington St. Fuel Tax Go Ref Bds Ser	B	Dividend	K	T					
63. Connecticut St. Go Bds Ser 2014A	B	Dividend	K	T					
64. EMBIX Lazard Emerging Mkts Eq Blend Instl	A	Dividend	J	T	Sold (part)	02/17/16	J		
65. DDIVX Delaware Value Fund Institutional	C	Dividend	M	T	Buy (add'l)	03/14/16	K		
66.					Sold (part)	05/31/16	J	A	
67. MFEIX MFS Growth Port Instl Class	B	Dividend	M	T	Sold (part)	12/17/16	J		
68.					Buy (add'l)	03/14/16	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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69.					Sold (part)	05/31/16	J	A	
70. BCOIX Baird Core Plus Bond Institutional	C	Dividend	M	T	Buy (add'l)	02/17/16	J		
71.					Sold (part)	05/31/16	J		
72. TGEIX TCW Emerging Mkts Income CL 1	A	Dividend	J	T	Buy (add'l)	02/17/16	J		
73. IIBWX Voya Intermediate Bond Class W	B	Dividend	L	T	Buy (add'l)	02/17/16	J		
74.					Sold (part)	05/31/16	J	A	
75. Conroe Tex Indpt Sch Dist Ultl Tax Ref Bond	B	Dividend	K	T					
76. King Cnty Wash Sch Dist No 409 Tacoma	B	Dividend	K	T					
77. AQR Small Cap Multi Style Fd CL R6	B	Dividend	K	T	Buy (add'l)	02/08/16	J		
78.					Buy (add'l)	02/17/16	J		
79. VOO Vanguard Intl Equity Index FDS FTSE		None			Sold	02/25/16	J	A	
80. Vanguard Intl Equity Index Fund Emerging Mkts ETF (VWO)	A	Dividend	J	T	Buy	05/11/16	J		
81.					Buy (add'l)	11/07/16	J		
82.					Sold (part)	12/09/16	J	A	
83. Mass. St. Go Ref. Bds Ser. 2016B		None	K	T	Buy	12/14/16	K		
84. AHLIX Am Beacon AHL Mngd Futrs Strt Inst		None	K	T	Buy	02/01/16	J		
85.					Buy (add'l)	02/08/16	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86.					Buy (add'l)	02/17/16	J		
87. AQR Style Premia Alternative FD CL R6	A	Dividend	K	T	Buy	02/01/16	J		
88.					Buy (add'l)	02/08/16	J		
89.					Buy (add'l)	02/17/16	K		
90.					Buy (add'l)	08/10/16	J		
91. VEVIX Victory Sycamore Estab. Value I	A	Dividend	K	T	Buy	02/01/16	K		
92.					Buy (add'l)	02/17/16	K		
93. HYG iShares TR IBoxx Hi Yd Etf	A	Dividend	J	T	Buy	02/03/16	J		
94.					Sold (part)	02/17/16	J		
95.					Buy	12/21/16	J		
96. iShares TR JP Mor Em Mk ETF	A	Dividend			Buy	02/03/16	J		
97.					Buy (add'l)	04/14/16	J		
98.					Buy (add'l)	04/18/16	J		
99.					Sold (part)	02/25/16	J	A	
100.					Sold	11/23/16	J		
101. iShares IBoxx Investment Grade Corp Bond ETF	A	Dividend			Buy	02/17/16	J		
102.					Buy (add'l)	07/21/16	J		

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103.					Sold (part)	09/17/16	J	A	
104.					Sold	12/21/16	J	A	
105. iShares Trust Core MSCI EAFE ETF		None			Buy	02/03/16	J		
106.					Sold	05/11/16	J	A	
107. iShares 7-10 Yr Treasury Bond ETF	A	Dividend			Buy	02/29/16	J		
108.					Sold	07/21/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Spaulding, Karla R.

Date of Report

05/01/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Spaulding, Karla R.

Date of Report

05/01/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Karla R. Spaulding**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544