

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pratter, Gene E.	2. Court or Organization U.S. District Court, E.D. Pa.	3. Date of Report 05/06/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 7614 United States Courthouse Sixth & Market Streets Philadelphia, PA 19106-1752		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	University of Pennsylvania Law School (Adjunct Professor)	\$10,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Duane Morris LLC (Attorney)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Credit Card	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Adams Harkness Small Growth - Retirement #5		None	J	T					
2. DFA US Small Cap Value - Retirement #5	A	Dividend	J	T					
3. DFA US Large Cap Value - Retirement #5	A	Dividend	J	T					
4. Federated US Gov't Ultrashort - Retirement #5	A	Dividend	K	T					
5. First Eagle Overseas - Retirement #5	A	Dividend	K	T					
6. Janus Fund - Retirement #5	A	Dividend	J	T					
7. Janus Overseas - Retirement #5	A	Dividend	J	T					
8. Schwab Money Market - Retirement #5	A	Dividend	J	T					
9. Westcore MIDCO Growth - Retirement #5	A	Dividend	J	T					
10. William Blair Int'l Small Growth - Retirement #5	A	Dividend	J	T					
11. Federated Gov't Ultrashort - Retirement #6	A	Dividend	K	T	Buy (add'l)	02/1/12	J		
12. Baron Growth - Retirement #6	A	Dividend	J	T					
13. First Eagle Overseas - Retirement #6	A	Dividend	J	T					
14. Royce Opportunity - Retirement #6	A	Dividend	J	T					
15. Schwab Money Market - Retirement #6	A	Dividend	J	T					
16. Janus Overseas - Retirement #6	A	Dividend	J	T					
17. Janus Fund - Retirement #6	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Sound Shore - Retirement #6		None			Sold	02/1/12	J	A	
19. Third Avenue Int'l Value - Retirement #6	A	Dividend	J	T					
20. Baron Growth - Retirement #7	D	Dividend	L	T					
21. DFA US Micro Cap - Retirement #7	C	Dividend	L	T	Buy (add'l)	12/13/12	J		
22. DFA Emerging Markets Core Equity - Retirement #7	B	Dividend	L	T					
23. DFA International Small Value - Retirement #7	B	Dividend	L	T					
24. DFA International Value - Retirement #7	C	Dividend	M	T					
25. DFA One Year Fixed - Retirement #7	B	Dividend	N	T	Buy (add'l)	04/12/12	K		
26. DFA One Year Fixed - Retirement #7		None			Buy (add'l)	10/11/12	J		
27. DFA US Small Cap Value - Retirement #7	D	Dividend	M	T	Sold (part)	04/12/12	J	A	
28. DFA US Large Cap Value - Retirement #7	C	Dividend	M	T	Sold (part)	10/12/12	J	B	
29. Dodge & Cox Stock - Retirement #7	B	Dividend	L	T	Sold (part)	02/01/12	J	B	
30. Federated Gov't Ultrashort - Retirement #7	B	Dividend	N	T	Buy (add'l)	04/12/12	K		
31. Federated Gov't Ultrashort - Retirement #7		None			Buy (add'l)	10/11/12	J		
32. First Eagle Overseas - Retirement #7	C	Dividend	L	T					
33. Hotchkis & Wiley Mid Cap Value - Retirement #7	A	Dividend	M	T	Sold (part)	02/01/12	K	C	
34. Hotchkis & Wiley Mid Cap Value - Retirement #7		None			Sold (part)	04/12/12	J	C	

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35. Hotchkis & Wiley Mid Cap Value - Retirement #7		None			Sold (part)	10/11/12	J	C	
36. Morgan Stanley International Equity - Retirement #7	C	Dividend	M	T	Sold (part)	02/01/12	J	A	
37. Morgan Stanley International Equity - Retirement #7		None			Sold (part)	04/12/12	J	A	
38. Morgan Stanley Emerging Markets - Retirement #7	A	Dividend	L	T					
39. Royce Micro Cap - Retirement #7	A	Dividend			Sold	12/12/12	J	A	
40. Royce Opportunity - Retirement #7	C	Dividend	L	T	Buy (add'l)	8/1/12	K		
41. Schwab Money Market - Retirement #7	A	Dividend	K	T					
42. Westcore Intl Small Cap - Retirement #7	A	Dividend	L	T	Sold (part)	04/12/12	J	C	
43. Westcore Mid Cap Value - Retirement #7	B	Dividend	L	T	Sold (part)	2/1/12	K	D	
44. Westcore MIDCO Growth - Retirement #7	A	Dividend	L	T	Sold (part)	2/1/12	K	C	
45. William Blair International Growth - Retirement #7	C	Dividend	L	T	Sold (part)	4/12/12	J	A	
46. Janus Fund - Retirement #7	A	Dividend	L	T	Sold (part)	2/1/12	K	A	
47. Janus Fund - Retirement #7		None			Sold (part)	04/12/12	J	A	
48. Janus Fund - Retirement #7		None			Sold (part)	10/11/12	J	A	
49. Janus Overseas - Retirement #7	B	Dividend	L	T					
50. Hotchkis & Wiley Large Cap Value - Retirement #7	B	Dividend	L	T	Sold (part)	2/1/12	K	A	
51. Hotchkis & Wiley Large Cap Value - Retirement #7		None			Sold (part)	4/12/12	J	A	

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52. Royce Value Plus - Retirement #7		None			Sold	8/1/12	K	D	
53. Third Avenue International Value - Retirement #7	A	Dividend	L	T					
54. Turner Large Growth - Retirement #7	A	Dividend	L	T	Sold (part)	2/1/12	K	A	
55. Turner Large Growth - Retirement #7		None			Sold (part)	4/12/12	J	A	
56. William Blair Large Growth - Retirement #7		None	L	T	Sold (part)	4/12/12	J	B	
57. Adams Harkness Small Growth - Retirement #7		None	L	T	Sold (part)	2/1/12	K	A	
58. Adams Harkness Small Growth - Retirement #7		None			Sold (part)	10/11/12	J	A	
59. William Blair Int'l Small Growth - Retirement #7	B	Dividend	L	T					
60. Metropolitan West Total Return - Retirement #7	E	Dividend	N	T	Buy (add'l)	4/12/12	J		
61. BlackRock Low Duration - Retirement #7	D	Dividend	N	T	Buy (add'l)	4/12/12	K		
62. BlackRock Low Duration - Retirement #7		None			Buy (add'l)	10/11/12	J		
63. Thornburg International Value - Retirement #7	A	Dividend	L	T					
64. Ivy Mid Cap Growth - Retirement #7	A	Dividend	L	T	Sold (part)	2/1/12	J	A	
65. Ivy Mid Cap Growth - Retirement #7		None			Sold (part)	4/12/12	J	A	
66. William Blair Mid Cap Growth - Retirement #7	B	Dividend	L	T	Sold (part)	2/1/12	K	A	
67. William Blair Mid Cap Growth - Retirement #7		None			Sold (part)	4/12/12	J	A	
68. DFA Global Real Estate - Retirement #7	C	Dividend	L	T					

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69. RS Global Natural Resources - Retirement #7	A	Dividend	L	T	Buy	2/1/12	K		
70. RS Global Natural Resources - Retirement #7		None			Buy (add'l)	4/12/12	J		
71. EII Global Property - Retirement #7	B	Dividend	L	T	Buy	2/1/12	L		
72. MainGate MLP - Retirement #7		None	M	T	Buy	2/1/12	M		
73. Schwab Money Market - Trust #1	A	Dividend	J	T					
74. Dodge & Cox Stock - Trust #1	A	Dividend	K	T	Sold (part)	2/1/12	J	A	
75. Baron Growth - Trust #1	B	Dividend	K	T	Sold (part)	8/1/12	J	A	
76. Federated Short Term Muni - Trust #1	A	Dividend	K	T					
77. First Eagle Overseas - Trust #1	A	Dividend	K	T					
78. Hotchkis & Wiley Large Cap Value - Trust #1	A	Dividend	J	T	Sold (part)	2/1/12	J	A	
79. Hotchkis & Wiley Mid Cap Value - Trust #1	A	Dividend	K	T	Sold (part)	2/1/12	J	A	
80. Hotchkis & Wiley Mid Cap Value - Trust #1		None			Sold (part)	8/1/12	J	A	
81. Janus Fund - Trust #1	A	Dividend	K	T	Sold (part)	2/1/12	J	B	
82. Janus Overseas - Trust #1	A	Dividend	K	T	Buy (add'l)	8/1/12	J		
83. Morgan Stanley International Equity - Trust #1	A	Dividend	K	T					
84. Morgan Stanley Emerging Markets - Trust #1	A	Dividend	K	T					
85. Royce Opportunity - Trust #1	B	Dividend	K	T					

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86. Turner Large Growth - Trust #1	A	Dividend	K	T	Sold (part)	2/1/12	J	A	
87. Westcore Mid Cap Value - Trust #1	A	Dividend	K	T	Sold (part)	2/1/12	J	A	
88. Westcore MIDCO Growth - Trust #1	A	Dividend	K	T	Sold (part)	2/1/12	J	A	
89. DFA Tax Managed Marketwide Value - Trust #1	A	Dividend	K	T					
90. DFA US Micro Cap - Trust #1	A	Dividend	J	T					
91. Third Avenue International Value - Trust #1	A	Dividend	K	T					
92. Westcore Intl Small Cap - Trust #1	A	Dividend	K	T					
93. DFA Int'l Value - Trust #1	A	Dividend	K	T					
94. DFA US Small Value - Trust #1	B	Dividend	K	T					
95. William Blair Mid Growth - Trust #1	A	Dividend	K	T	Sold (part)	2/1/12	J	B	
96. DFA Short Term Muni - Trust #1	A	Dividend	K	T					
97. Metropolitan West Total Return - Trust #1	B	Dividend	K	T					
98. Thornburg International Value - Trust #1	A	Dividend	K	T	Buy (add'l)	8/1/12	J		
99. William Blair Small Cap Growth - Trust #1	A	Dividend	J	T	Sold (part)	2/1/12	J	A	
100. DFA Global Real Estate - Trust #1	A	Dividend	J	T					
101. EII Global Property - Trust #1	A	Dividend	J	T	Buy	2/1/12	J		
102. MainGate MLP - Trust #1		None	K	T	Buy	2/1/12	K		

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103. RS Global Resources - Trust #1	A	Dividend	J	T	Buy	2/1/12	J		
104. RS Global Resources - Trust #1		None			Buy (add'l)	8/1/12	J		
105. Baron Growth - Brokerage #1	B	Dividend	J	T	Sold (part)	10/12/12	J	A	
106. DFA Tax Mgd US Marketwide Value - Brokerage #1	A	Dividend	K	T	Sold (part)	4/12/12	J	A	
107. DFA Tax Mgd US Marketwide Value - Brokerage #1		None			Sold (part)	10/12/12	J	A	
108. DFA US Small Value - Brokerage #1	A	Dividend	J	T	Sold (part)	2/1/12	J	A	
109. DFA US Small Value - Brokerage #1		None			Sold (part)	8/14/12	J	B	
110. DFA Emerging Markets Core Equity - Brokerage #1	A	Dividend	K	T	Sold (part)	4/12/12	J	A	
111. DFA Emerging Markets Core Equity - Brokerage #1		None			Sold (part)	12/27/12	J	A	
112. DFA Tax Mgd International Value - Brokerage #1	A	Dividend	K	T	Sold (part)	12/27/12	J	A	
113. East River Bank Stock - Brokerage #1		None	K	U					
114. Federated Short Term Muni - Brokerage #1	B	Dividend	L	T	Sold (part)	12/27/12	J	A	
115. First Eagle Overseas - Brokerage #1	A	Dividend	J	T					
116. Janus Fund - Brokerage #1	A	Dividend	K	T	Sold (part)	2/1/12	J	A	
117. Janus Fund - Brokerage #1		None			Sold (part)	7/3/12	J	B	
118. Janus Fund - Brokerage #1		None			Sold (part)	10/12/12	J	B	
119. Janus Overseas - Brokerage #1	A	Dividend	J	T	Sold (part)	12/27/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Morgan Stanley International Equity - Brokerage #1	A	Dividend	J	T	Sold (part)	2/1/12	J	A	
121. Morgan Stanley International Equity - Brokerage #1		None			Sold (part)	7/3/12	J	A	
122. Morgan Stanley International Equity - Brokerage #1		None			Sold (part)	8/14/12	J	A	
123. Schwab Money Market - Brokerage #1	A	Dividend	J	T					
124. Sound Shore - Brokerage #1		None			Sold	2/1/12	J	A	
125. Westcore MIDCO Growth - Brokerage #1	A	Dividend	K	T	Sold (part)	4/12/12	J	A	
126. Hotchkis & Wiley Large Cap Value - Brokerage #1	A	Dividend	J	T	Sold (part)	2/1/12	J	A	
127. Hotchkis & Wiley Large Cap Value - Brokerage #1		None			Sold (part)	8/14/12	J	A	
128. Hotchkis & Wiley Mid Cap Value - Brokerage #1	A	Dividend	K	T	Sold (part)	2/1/12	J	A	
129. Hotchkis & Wiley Mid Cap Value - Brokerage #1		None			Sold (part)	8/14/12	J	A	
130. Royce Value Plus - Brokerage #1		None			Sold (part)	4/12/12	J	A	
131. Royce Value Plus - Brokerage #1		None			Sold	7/3/12	J	A	
132. Third Avenue International Value - Brokerage #1	A	Dividend	J	T	Sold (part)	8/14/12	J	A	
133. Thornburg Int'l Value - Brokerage #1	A	Dividend	J	T					
134. William Blair Int'l Small Growth - Brokerage #1	A	Dividend	K	T	Sold (part)	2/1/12	J	A	
135. William Blair Large Growth - Brokerage #1		None	K	T	Sold (part)	2/1/12	J	A	
136. William Blair Large Growth - Brokerage #1		None			Sold (part)	4/12/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. William Blair Mid Cap Growth - Brokerage #1	A	Dividend	J	T	Sold (part)	10/12/12	J	A	
138. William Blair Small Cap Growth - Brokerage #1	A	Dividend	J	T	Sold (part)	7/3/12	J	A	
139. Westcore Mid Cap Value - Brokerage #1	A	Dividend	J	T	Sold (part)	2/1/12	J	A	
140. Westcore Mid Cap Value - Brokerage #1		None			Sold (part)	4/12/12	J	A	
141. DFA Int'l Small Value - Brokerage #1	A	Dividend	J	T	Sold (part)	4/12/12	J	A	
142. DFA Short Term Muni - Brokerage #1	A	Dividend	K	T	Sold (part)	7/3/12	J	A	
143. DFA Short Term Muni - Brokerage #1		None			Sold (part)	10/12/12	J	A	
144. Metropolitan West Total Return - Brokerage #1	C	Dividend	K	T	Sold (part)	7/3/12	J	A	
145. Royce Micro Cap - Brokerage #1	A	Dividend			Sold (part)	7/3/12	J	A	
146. Royce Micro Cap - Brokerage #1		None			Sold (part)	10/12/12	J	A	
147. Royce Micro Cap - Brokerage #1		None			Sold	12/13/12	J	A	
148. William Blair Int'l Growth - Brokerage #1	A	Dividend	J	T	Sold (part)	4/12/12	J	A	
149. Thornburg Limited Term Municipal - Brokerage #1	B	Dividend	L	T					
150. DFA Global Real Estate - Brokerage #1	B	Dividend	K	T	Buy (add'l)	2/1/12	J		
151. DFA Global Real Estate - Brokerage #1		None			Sold (part)	8/14/12	J	A	
152. Dodge & Cox Stock - Brokerage #1	A	Dividend	J	T	Buy	2/1/12	J		
153. MainGate MLP - Brokerage #1		None	K	T	Buy	2/1/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. RS Global Resources - Brokerage #1	A	Dividend	J	T	Buy	2/1/12	J		
155. Royce Opportunity - Brokerage #1	A	Dividend	J	T	Buy	7/3/12	J		
156. DFA US Micro Cap - Brokerage #1		None	J	T	Buy	12/13/12	J		
157. Baron Growth - Brokerage #2	B	Dividend	K	T	Sold (part)	2/1/12	J	A	
158. DFA US Micro Cap - Brokerage #2	A	Dividend	J	T					
159. DFA Tax Mgd Marketwide Value - Brokerage #2	A	Dividend	K	T	Sold (part)	4/12/12	J	B	
160. DFA US Small Value - Brokerage #2	A	Dividend	J	T					
161. DFA Tax Mgd Int'l Value - Brokerage #2	A	Dividend	K	T					
162. Dodge & Cox Stock - Brokerage #2	A	Dividend	J	T	Sold (part)	2/1/12	J	A	
163. Federated Short Term Muni - Brokerage #2	B	Dividend	L	T	Buy (add'l)	4/12/12	K		
164. First Eagle Overseas - Brokerage #2	A	Dividend	J	T					
165. Hotchkis & Wiley Large Cap Value - Brokerage #2	A	Dividend	J	T					
166. Hotchkis & Wiley Mid Cap Value - Brokerage #2	A	Dividend	K	T	Sold (part)	2/1/12	J	B	
167. Janus Fund - Brokerage #2	A	Dividend	J	T	Sold (part)	2/1/12	J	A	
168. Janus Fund - Brokerage #2		None			Sold (part)	4/12/12	J	B	
169. Janus Overseas - Brokerage #2	A	Dividend	J	T					
170. Morgan Stanley International Equity - Brokerage #2	A	Dividend	J	T	Sold (part)	4/12/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Morgan Stanley Emerging Markets - Brokerage #2	A	Dividend	K	T					
172. Royce Opportunity - Brokerage #2	A	Dividend	J	T	Sold (part)	4/12/12	J	A	
173. Schwab Money Market - Brokerage #2	A	Dividend	J	T					
174. Third Avenue International Value - Brokerage #2	A	Dividend	K	T					
175. Turner Large Growth - Brokerage #2	A	Dividend	J	T	Sold (part)	2/1/12	J	A	
176. Westcore Mid Cap Value - Brokerage #2	A	Dividend	J	T	Sold (part)	2/1/12	J	A	
177. Westcore Mid Cap Value - Brokerage #2		None			Sold (part)	4/12/12	J	A	
178. Westcore MIDCO Growth - Brokerage #2	A	Dividend	K	T	Sold (part)	2/1/12	J	A	
179. Westcore MIDCO Growth - Brokerage #2		None			Sold (part)	4/12/12	J	A	
180. Westcore International Small Cap - Brokerage #2	A	Dividend	J	T	Sold (part)	4/12/12	J	A	
181. Metropolitan West Total Return - Brokerage #2	B	Dividend	K	T					
182. Thornburg Int'l Value - Brokerage #2	A	Dividend	J	T					
183. DFA Short Term Muni - Brokerage #2	A	Dividend	L	T					
184. DFA Global Real Estate - Brokerage #2	A	Dividend	K	T	Buy (add'l)	2/1/12	J		
185. MainGate MLP - Brokerage #2		None	J	T	Buy	2/1/12	J		
186. RS Global Resources - Brokerage #2	A	Dividend	J	T	Buy	2/1/12	J		
187. DFA One Year Fixed - Retirement #8	B	Dividend	M	T	Buy (add'l)	4/12/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. DFA One Year Fixed - Retirement #8		None			Buy (add'l)	10/11/12	J		
189. Federated Gov't Ultrashort - Retirement #8	A	Dividend	M	T	Buy (add'l)	10/11/12	J		
190. Adams Harkness Small Growth - Retirement #8		None	K	T	Sold (part)	4/12/12	J	A	
191. Baron Growth - Retirement #8	C	Dividend	L	T					
192. DFA Emerging Markets Core Equity - Retirement #8	A	Dividend	K	T					
193. DFA International Value - Retirement #8	C	Dividend	L	T					
194. DFA US Large Value - Retirement #8	B	Dividend	L	T	Sold (part)	4/12/12	J	A	
195. DFA US Large Value - Retirement #8		None			Sold (part)	10/11/12	J	A	
196. DFA US Small Value - Retirement #8	C	Dividend	L	T	Sold (part)	10/11/12	J	A	
197. DFA US Micro Cap - Retirement #8	B	Dividend	K	T	Sold (part)	2/1/12	J	A	
198. DFA US Micro Cap - Retirement #8		None			Sold (part)	10/11/12	J	A	
199. Dodge & Cox Stock - Retirement #8	A	Dividend	L	T	Sold (part)	2/1/12	J	A	
200. DFA Int'l Small Cap Value - Retirement #8	B	Dividend	K	T					
201. First Eagle Overseas - Retirement #8	B	Dividend	K	T					
202. Hotchkis & Wiley Large Cap Value - Retirement #8	B	Dividend	K	T	Sold (part)	2/1/12	K	A	
203. Hotchkis & Wiley Mid Cap Value - Retirement #8	A	Dividend	L	T	Sold (part)	4/12/12	J	A	
204. Hotchkis & Wiley Mid Cap Value - Retirement #8		None			Sold (part)	10/11/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Pratter, Gene E.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Janus Fund - Retirement #8	A	Dividend	K	T	Sold (part)	2/1/12	K	D	
206. Janus Fund - Retirement #8		None			Sold (part)	4/12/12	J	B	
207. Janus Fund - Retirement #8		None			Sold (part)	10/11/12	J	B	
208. Janus Overseas - Retirement #8	B	Dividend	K	T					
209. Morgan Stanley Emerging Markets - Retirement #8	A	Dividend	K	T					
210. Morgan Stanley International Equity - Retirement #8	B	Dividend	L	T	Sold (part)	4/12/12	J	A	
211. Royce Opportunity - Retirement #8	C	Dividend	L	T	Buy (add'l)	8/1/12	K		
212. Royce Value Plus - Retirement #8		None			Sold	8/1/12	K	D	
213. Schwab Money Market - Retirement #8	A	Dividend	K	T					
214. Third Avenue International Value - Retirement #8	A	Dividend	L	T					
215. Turner Large Growth - Retirement #8	A	Dividend	K	T					
216. Westcore Intl Small Cap - Retirement #8	A	Dividend	K	T	Sold (part)	4/12/12	J	B	
217.		None			Sold (part)	10/11/12	J	B	
218. Westcore Mid Cap Value - Retirement #8	A	Dividend	L	T	Sold (part)	2/1/12	K	B	
219. Westcore MIDCO Growth - Retirement #8	C	Dividend	K	T	Sold (part)	2/1/12	J	A	
220. Westcore MIDCO Growth - Retirement #8		None			Sold (part)	4/12/12	J	A	
221. William Blair International Growth - Retirement #8	B	Dividend	L	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. William Blair Large Cap Growth - Retirement #8		None	L	T	Sold (part)	2/1/12	J	B	
223.		None			Sold (part)	4/12/12	J	B	
224. William Blair Intl Small Growth - Retirement #8	A	Dividend	K	T					
225. BlackRock Low Duration - Retirement #8	D	Dividend	M	T	Buy (add'l)	4/12/12	K		
226. BlackRock Low Duration - Retirement #8		None			Buy (add'l)	10/11/12	J		
227. Metropolitan West Total Return - Retirement #8	D	Dividend	M	T	Buy (add'l)	10/11/12	J		
228. Thornburg Int'l Value - Retirement #8	A	Dividend	K	T					
229. William Blair Small Growth - Retirement #8	A	Dividend	K	T	Sold (part)	10/11/12	J	A	
230. William Blair Mid Growth - Retirement #8	B	Dividend	K	T	Sold (part)	2/1/12	J	A	
231. William Blair Mid Growth - Retirement #8		None			Sold (part)	4/12/12	J	A	
232. Ivy Mid Growth - Retirement #8	A	Dividend	K	T	Sold (part)	2/1/12	K	A	
233. Ivy Mid Growth - Retirement #8		None			Sold (part)	4/12/12	J	A	
234. DFA Global Real Estate - Retirement #8	C	Dividend	L	T					
235. EHI Global Property - Retirement #8	A	Dividend	K	T	Buy	2/1/12	K		
236. MainGate MLP - Retirement #8		None	L	T	Buy	2/1/12	L		
237. MainGate MLP - Retirement #8		None			Buy (add'l)	4/12/12	J		
238. RS Global Resources - Retirement #8	A	Dividend	K	T	Buy	2/1/12	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. Equitable Variable Life - Alliance Common Stock		None	M	T	Buy (add'l)	01/13/12	J		
240. Equitable Variable Life - Alliance Common Stock		None			Buy (add'l)	04/26/12	J		
241. Equitable Variable Life - Alliance Common Stock		None			Buy (add'l)	07/23/12	J		
242. Equitable Variable Life - Alliance Common Stock		None			Buy (add'l)	10/16/12	J		
243. Equitable Variable Life - Alliance International		None	K	T	Buy (add'l)	01/13/12	J		
244. Equitable Variable Life - Alliance International		None			Buy (add'l)	04/26/12	J		
245. Equitable Variable Life - Alliance International		None			Buy (add'l)	07/23/12	J		
246. Equitable Variable Life - Alliance International		None			Buy (add'l)	10/16/12	J		
247. Carlyle Real Estate LLP	A	Dividend	J	T					
248. Wheeler Terrace LTD	A	Dividend	J	T					
249. PNC Bank Accounts	A	Dividend	J	T					
250. Nantucket Bank Account	A	Dividend	J	T					
251. Wescott Nova LP		None	J	T					
252. Rental Property #1, Philadelphia, PA (2010 \$760,000)	D	Rent			Sold	12/30/12	O	A	Aaron Perrine & P. Pratter

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Gene E. Pratter**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544